

**Slip Characteristics of San Andreas Fault Transition Zone Segments**

by

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The dissertation of Ingrid Anne Johanson is approved.

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Chair

Date

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University of California, Berkeley

Spring 2006

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Ingrid Anne Johanson

## Abstract

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Transition zones are areas of mixed behavior that divide areas of velocity strengthening and velocity weakening frictional parameters. Their slip characteristics have implications for the underlying mechanism for interseismic creep, the relationship between aseismic slip and earthquakes, and the seismic potential of the transition zones. Two transition zones on the San Andreas fault in California, USA are included in this work; the San Juan Bautista and the Parkfield segments. They are analyzed in three phases of the earthquake cycle; the interseismic, coseismic and postseismic.

The San Juan Bautista segment currently undergoes only moderate seismicity. However, six  $M \geq 6$  earthquakes occurred near the SJB segment between 1840 and 1899. A joint inversion of Global Positioning System (GPS) and Interferometric Synthetic Aperture Radar (InSAR) measurements was performed to determine its current rate and distribution of interseismic creep. The model resolves two low-slip asperities surrounded by creep, indicating that its behavior arises from the heterogeneous distribution of fault frictional properties.

InSAR and GPS data were also used to constrain models of coseismic and post-

seismic slip in the 2004 Parkfield earthquake. The models indicate that coseismic and postseismic slip occurred in separate regions of the fault, suggesting that the distribution of frictional parameters on the fault exerted some control over the size of the earthquake. The postseismic model included nearly equal amounts of slip as the coseismic, suggesting that this is an important method of relieving stress along areas of the fault that slip aseismically and that these areas may not participate in earthquakes.

The sensitivity of the Parkfield segment to outside stresses was also explored. Static stress changes from the 2003 San Simeon earthquake encouraged right-lateral strike slip on the Parkfield segment. While there is no clear correlation between the distribution of slip in the 2004 Parkfield earthquake and stress changes from the San Simeon earthquake, the 2004 event's hypocenter occurred in an area that experienced increased shear stress. Small stress changes from San Simeon postseismic slip also correlate with the 2004 event's hypocenter, suggesting that the Parkfield segment may have responded very sensitively to the small stress changes imparted by the previous events.

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Professor Roland Bürgmann  
Dissertation Committee Chair

In loving memory of my father...

Dr. Robert C. Johanson

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# Chapter 1

## Introduction

The mechanical behavior of an active fault can be thought of as occurring on a spectrum, whose end-members are a fully-locked and freely-slipping fault surface. Locked fault segments experience all of the four phases of the earthquake cycle. The interseismic phase is the period between earthquakes when stress on the fault is being accumulated in response to plate tectonic motion. The earthquake itself is another phase of the cycle, where a frictional instability leads to runaway slip. The postseismic phase is a period of accelerated slip and/or viscous relaxation of the lower crust/upper mantle, which occurs as the fault system re-equilibrates itself after the earthquake. A preseismic phase is not always observed, but is defined as preparatory slip or crustal strain leading up to an earthquake.

On the other side of the spectrum, freely-slipping faults do not accumulate stress at all and do not produce large earthquakes. Instead, the fault slips steadily and aseismically, in response to plate tectonic motions. A fault on this end of the spectrum would not produce large earthquakes and would experience only the interseismic phase of the earthquake cycle. Accordingly, the aseismic slip that occurs under these conditions is called interseismic creep. Interseismic creep is allowed in the stable

or conditionally-stable frictional regimes. These regimes suppress the existence of a frictional instability that would result in runaway slip; a consequence of velocity-weakening frictional parameters. In the stable regime, fault zone materials have velocity-strengthening frictional properties. Which is to say, that as slip on the fault speeds up, the frictional resistance to slip increases. In the conditionally-stable regime friction is velocity-weakening, but the weakening occurs at a slower rate than the response time of the surrounding crust [*Scholz, 1990*].

Both fully-locked and freely-slipping segments occur on the San Andreas fault in California, USA. The San Andreas is a predominantly right-lateral strike-slip plate boundary fault that accomodates the relative motions of the Pacific and North American plates from the Gulf of California to the Mendocino Triple Junction in Northern California. The creeping section of the San Andreas fault is a  $\sim 100$  km long segment in central California which currently slips interseismically and does not produce large earthquakes [*Burford and Harsh, 1980*]. The creeping section separates locked fault segments to the north and south. To the south of the creeping section, the San Andreas fault last slipped in the  $M_w 8.0$  1857 Fort Tejon earthquake and to the north, the fault last ruptured in the  $M_w 7.9$  1906 San Francisco earthquake.

In between the creeping section and the locked fault segments to the north and south, there are  $\sim 30$ -km-long fault segments that accommodate the transition from one end member of mechanical behavior to the next; these are the transition zones. They are areas which fall in the middle of the spectrum and exhibit mixed mechanical behavior. These transition zones produce moderate sized earthquakes and experience the full earthquake cycle, much like a locked fault, but also undergo interseismic creep, like a freely-slipping fault. This juxtaposition of behaviors produces a variety of slip phenomena, including steady creep [*Burford and Harsh, 1980; Murray and Segall, 2002*], slow earthquakes [*Linde et al., 1996; Murray and Segall, 2005*], triggered creep



[*Behr et al.*, 1997; *Toda and Stein*, 2002] and earthquakes [*Uhrhammer et al.*, 1999; *Bakun and Lindh*, 1985].

The characteristics of slip on the transition zones have implications for how the transition from locked to creeping takes place. If the transition zone is the result of the gradual replacement of velocity-weakening fault material with velocity-strengthening, then the transition zone may be a segment where materials with both types of frictional parameters are jumbled together. The product would be a fault segment with heterogeneous mechanical behavior and including zones (asperities) that are locked and produce earthquakes and asperities that undergo aseismic slip. The transition also might occur homogeneously in response to a gradual decrease in the normal stress (clamping) on the fault surface. The amount of fault clamping could be lowered by the presence of pressurized pore fluids or by a rotation of the regional stress tensor relative to the fault. The transition zones are also natural laboratories for studying the interactions between aseismic slip and earthquakes. Interactions which may be played out on a larger scale [*Lynch et al.*, 2003; *Ben-Zion et al.*, 1993].

In this thesis, I present a study of how slip occurs on transition segments of the San Andreas fault during three phases of the earthquake cycle and how their slip phenomena are related to each other. Chapter one contains an analysis of the interseismic slip occurring on the San Juan Bautista segment of the San Andreas fault, which is the northern transition zone. In chapter two, I present a study of slip during the 2004 Parkfield earthquake, which occurred on the southern transition zone and the postseismic creep which followed. In chapter three, I examine the effect on the Parkfield segment (southern transition zone) from an earthquake which occurred  $\sim 70$  km away.

# Chapter 2

## Creep and quakes on the northern transition zone of the San Andreas fault from GPS and InSAR data

### 2.1 Introduction

Surface creep on the San Juan Bautista (SJB) segment has been studied since the 1960's with both creepmeters and alignment array surveys (see *Bokelmann and Kovach* [2003] and *Galehouse and Lienkaemper* [2003] for summaries). These creepmeter records include observations of episodic creep, which take place over hours to days and involve slip in the upper 500 m of the fault [*Gladwin et al.*, 1994]. In 1992, a slow earthquake was detected on the SJB segment by creepmeters and strainmeters. This event occurred in the same section of the fault as the episodic creep, but involved transient slip down to a depth of 4 - 8 km [*Linde et al.*, 1996]. Further slow earthquakes have occurred in 1996, 1998, 2003 and 2004, with equivalent magnitudes of  $M_w \sim 5$ , and on time scales of weeks [*Gwyther et al.*, 2000; *Gladwin*, 2004]. Surface

creep rates significantly accelerated along the SJB segment, in response to the 1989 Loma Prieta earthquake, and have not returned to pre-earthquake levels [Behr *et al.*, 1997; Bokelmann and Kovach, 2003].

The largest recent earthquake on the SJB segment was a  $M_w$ 5.1 event which immediately preceded the 1998 slow earthquake [Gwyther *et al.*, 2000; Uhrhammer *et al.*, 1999]. However, historic records indicate that the SJB segment produced a series of six  $M \geq 6$  earthquakes between 1840 and 1899 [Toppozada *et al.*, 2002]. Since then, Toppozada *et al.* [2002] report only two  $M$ 5.8 earthquakes in 1910 and 1916 in the same area. Given the history of slip transients in the SJB area, does the seismic quiescence of the 20th century, relative to the 19th century, indicate that the amount of creep has increased, possibly in response to increased stress on the SJB segment from the 1906 San Francisco earthquake? In this study, we use a decade of GPS and SAR data to determine the subsurface distribution and rate of creep. The slip model illuminates the relationship between subsurface creep, slow earthquakes, and earthquakes. We estimate a slip budget for the SJB segment to determine if current creep conditions would allow a similar rate of large earthquake production as seen in the 19th century.

## 2.2 Data

### 2.2.1 GPS Data

We completed campaign GPS surveys in 2001, 2002, and 2003 of up to 50 benchmarks throughout the southern San Francisco Bay Area. These sites had previous observations from as far back as 1994. The data is processed in GAMIT together with BARD and IGS stations to produce daily unconstrained solutions. The daily solutions are combined with data from throughout the San Francisco Bay Area in the

BÄVÜ dataset [*d’Alessio et al.*, 2005] and stabilized in a North American reference frame using GLOBK. More detail on the data and data processing is available in *d’Alessio et al.* [2005]. The GPS velocities (and InSAR range-change rate samples) used in this study are available in the auxiliary materials.

## 2.2.2 InSAR Data

### Interferogram processing

We complement the high-precision GPS velocities with high-spatial-resolution InSAR range-change rates (change in line-of-sight distance between the satellite and the ground). A set of 10 ERS1 & ERS2 scenes were processed using the `Roi_pac` software developed at JPL. The contribution of topography to the interferogram phase was removed using a USGS 30 m DEM. `Roi_pac` re-estimates satellite orbit parameters by fitting and removing a low-order polynomial from the interferogram phase data. We preserve the phase gradient due to regional deformation by removing a GPS derived model of interseismic deformation before orbit parameter re-estimation and replacing it after phase unwrapping. Phase unwrapping was performed using SNAPhU [*Chen and Zebker*, 2001].

### Stacking and sampling

The challenge in applying InSAR data to study interseismic deformation is that the tectonic signal is generally very small, producing less than one phase cycle of range-change per year, and is easily obscured by atmospheric delay errors. Furthermore, in the San Juan Bautista area, interferometric pairs spanning more than a year suffer from severe temporal decorrelation, leading to poor spatial coherence. We address both of these problems by stacking together short time-span pairs. We choose

Table 2.1. Component interferograms for stack from ERS 1 & 2 data on track 299, shifted frame 2861

Begin Date	End Date	$\perp$ Baseline (m)	$\Delta$ time (yrs)
8/13/95	5/19/96	47	0.77
5/19/96	5/20/96	80	0.00
5/20/96	6/24/96	88	0.10
6/24/96	7/14/97	78	1.05
7/14/97	8/18/97	-267	0.10
8/18/97	10/12/98	-70	1.15
10/12/98	11/1/99	-12	1.05
11/1/99	8/7/00	-79	0.77
8/7/00	5/14/01	-39	0.77
Total time span			5.75

our input interferograms such that the ending scene in one pair is the beginning scene in the next (Table 2.1). With this selection method, atmospheric errors from repeated dates cancel each other and the stack is equivalent to a single 5.75 year interferogram. The nine interferograms are georeferenced, added together and scaled by the total time span to produce a map of yearly range-change rates (Figure 2.1). Areas which could not be unwrapped in any single interferogram were not included in the final stack.

The stack is subsampled to reduce the number of observations to a computationally tenable number and to account for correlations between samples introduced by filtering, resampling to the DEM spacing and by any remaining atmospheric errors. We sample on a grid with 500 meter spacing within a 75 x 25 km box around the SJB segment and on a 2 km spaced grid outside this box. The denser near-fault spacing increases our resolution on the SJB segment, while the sparser far-field spacing provides information on the regional fault system. Because the actual uncertainties in

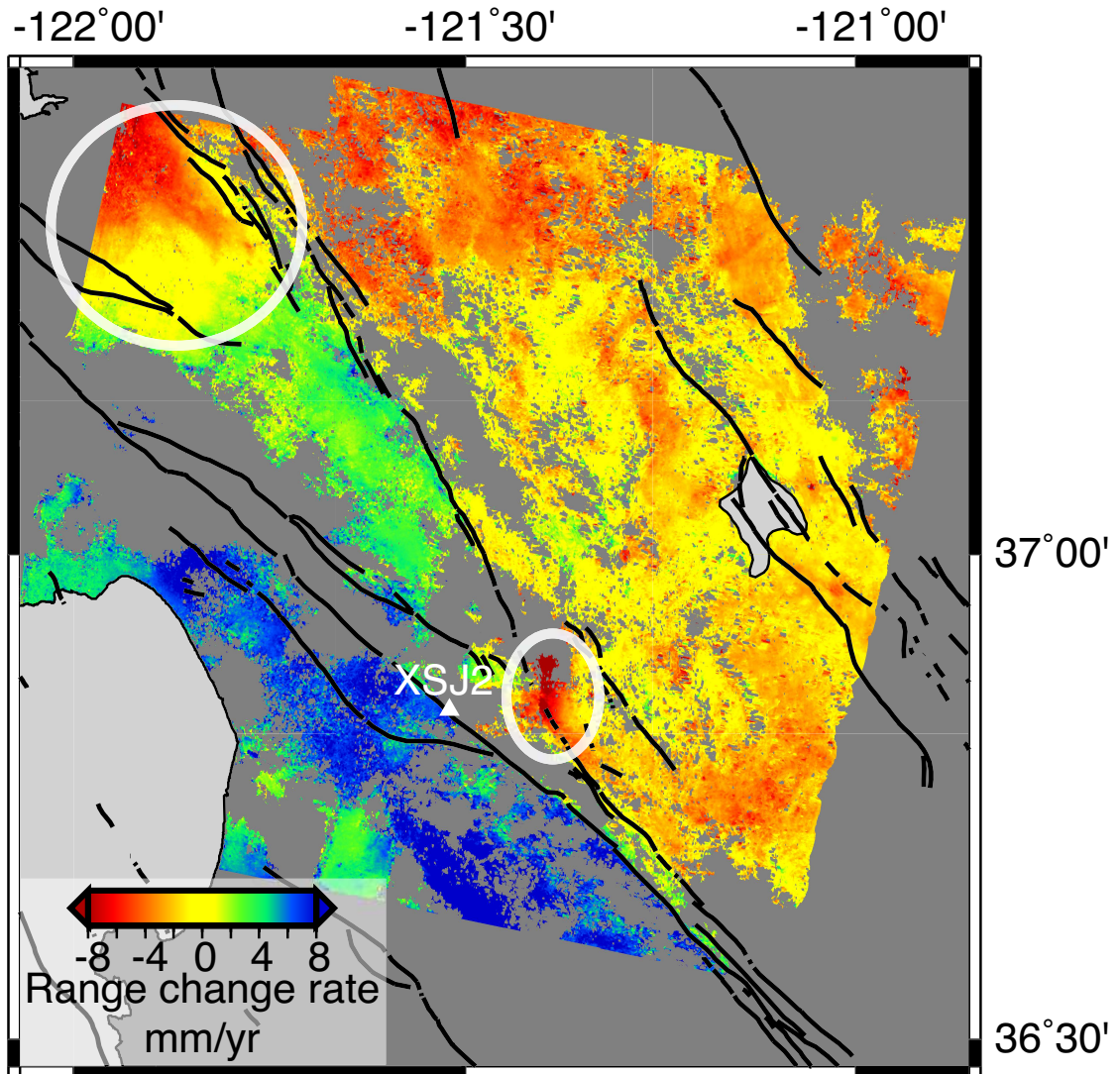


Figure 2.1. InSAR stack from data spanning 5.75 years, scaled to yearly rate. White circles outline the Hollister and Santa Clara Valley Basins where groundwater recharge results in non-tectonic uplift. These and other areas located on Quaternary sediment were removed before the model inversion (see section 2.2.2). White triangle is the location of creepmeter XSJ2, used as the origin for Figure 2.5.

the InSAR data are not well known, we estimate them from the variance of the 25 pixel values averaged within each sample.

## Non-tectonic, Vertical Motion

The Hollister/San Juan valley basin (Figure 2.1) demonstrates vertical motions associated with groundwater movement. We use only one satellite configuration (descending orbit) and so cannot uniquely isolate non-tectonic vertical motion in the InSAR data. Groundwater-induced vertical motion is the result of expansion and compaction of unconsolidated sediment; therefore we remove all InSAR data points which occur on Quaternary sediments (as identified by *Jennings* [1977]) from our model inversions. This conservative method removes both the data influenced by non-tectonic motion in the Hollister/San Juan valley and any other as yet unidentified area susceptible to groundwater-induced vertical motion.

## 2.3 Model Formulation

We formulate our inversion using the equations of *Okada* [1985] for deformation at the surface of a homogeneous, isotropic, elastic half-space due to slip on embedded dislocations. Deep dislocations (below locking depth to 3000 km) simulate strain accumulation on the regional fault system, including the San Andreas, Calaveras, Paicines, Sargent and Hayward faults (Figure 2.2). Shallow dislocations (above locking depth) are included on the Calaveras fault and the SJB and Santa Cruz segments of the San Andreas fault. We base our choice of locking depth on estimates by *d'Alessio et al.* [2005], which are based on the depth distribution of seismicity and surface heat flow data. The shallow San Andreas fault along the SJB segment and a portion of the Santa Cruz segment to the north is discretized into 174 2.5x1.5 km elements. The strike of each element in the top two rows closely matches the mapped surface trace of the San Andreas fault, while the deeper elements match only larger changes in strike. We set up our inversion using the method of *Price and Bürgmann*

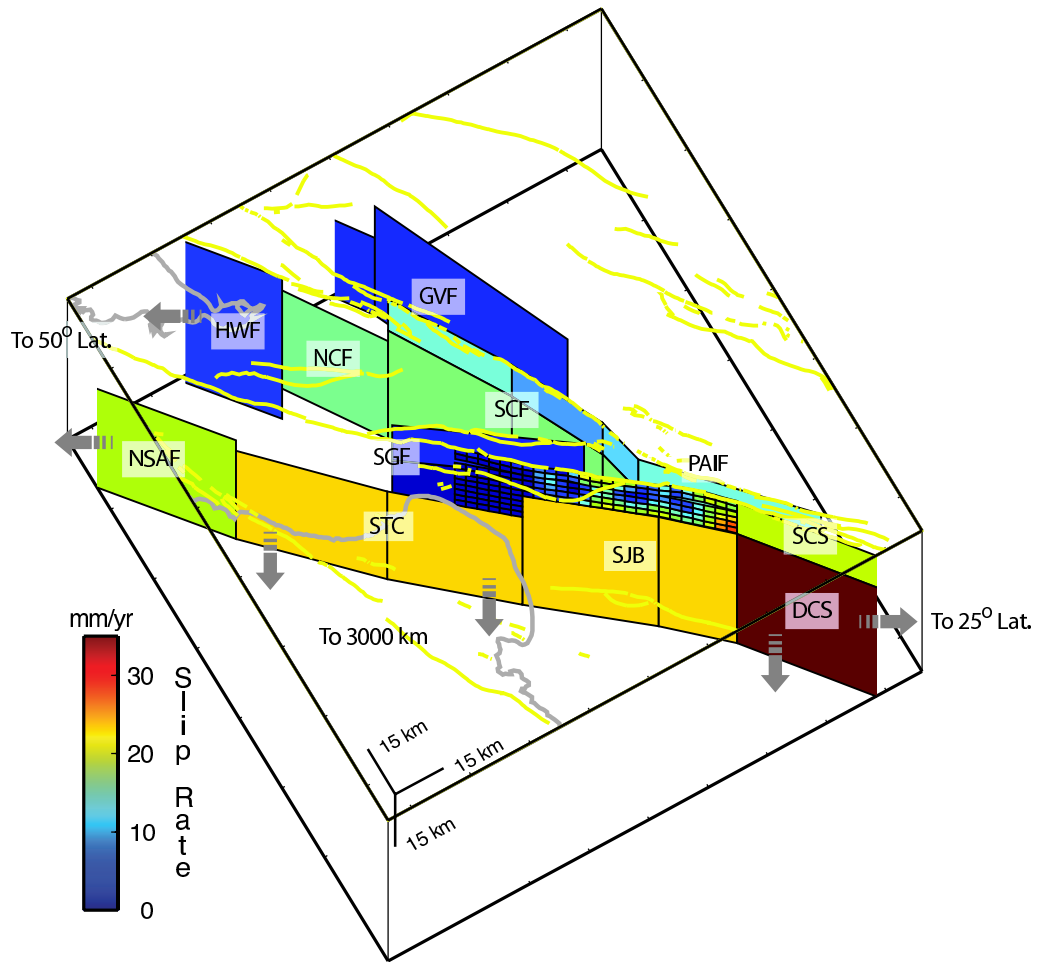


Figure 2.2. 3D perspective view of modeled regional fault system and inversion results. Fault labels: SJB, San Juan Bautista segment, STC, Santa Cruz segment, DCS, Deep Creeping Section, SCS, Shallow Creeping Section, NSAF, Northern San Andreas Fault, SGF, Sargent Fault, HWF, Hayward Fault, NCF, Northern Calaveras Fault, SCF, Southern Calaveras Fault, PAIF, Paicines Fault, GVF, Green Valley Fault. Deep dislocation are extended to 3000 km depth. NSAF, HWF and NCF are extended to 50 degrees latitude and DCS and SCS are extended to 25 degrees latitude. Fault surface traces are plotted as yellow lines, grey lines are coast lines.

[2002], such that we solve the following equation for optimal slip rate values that minimize the weighted residual sum of squares (WRSS) while seeking a smooth slip



rate distribution on the discretized SJB segment.

$$\begin{bmatrix} \mathbf{W}_g \mathbf{G}_g \\ \alpha \mathbf{W}_s \mathbf{G}_s \\ \beta \nabla^2 \end{bmatrix}^{-1} \begin{bmatrix} \mathbf{W}_g \vec{\mathbf{d}}_g \\ \alpha \mathbf{W}_s \vec{\mathbf{d}}_s \\ \mathbf{0} \end{bmatrix} = \begin{bmatrix} \vec{\mathbf{s}} \\ \vec{\mathbf{t}} \end{bmatrix} \quad (2.1)$$

$\vec{\mathbf{d}}_g$  is the vector of GPS velocities in the east and north directions and  $\vec{\mathbf{d}}_s$  contains the InSAR range-change rate samples.  $\mathbf{G}_g$  and  $\mathbf{G}_s$  are the design matrices of Green's functions, which relate unit slip on each dislocation to displacements or range-change at each observation point.  $\mathbf{G}_s$  also contains elements to solve for an offset and linear slope ( $\vec{\mathbf{t}}$ ) to further compensate for residual errors in the satellite orbit parameters that would result in a phase gradient across the InSAR stack. The data and design matrices are internally weighted by the inverse covariance matrix ( $\chi^{-1}$ ) such that,

$$\mathbf{W}_{g/s}^T \mathbf{W}_{g/s} = \chi_{g/s}^{-1} \quad (2.2)$$

$\alpha$  weights the entire InSAR dataset relative to the GPS data. We choose  $\alpha = 1.85$ , which gives similar WRSS for each data set (518 and 556 for the GPS and InSAR data respectively). We apply a positivity constraint to all dislocations using a bounded variable least squares algorithm [*Stark and Parker, 1995*] and impose a slip rate of 35 mm/yr on the deep creeping section [*Ben-Zion et al., 1993*] to compensate for sparse data coverage in that area.  $\beta$  is the weight given to the Laplacian smoothing operator ( $\nabla^2$ ), which was applied to only the discretized elements in the SJB area.  $\beta$  was chosen by examining a trade-off curve of roughness vs. WRSS for joint inversions (Figure 2.3). Model fits are shown in Figure 2.4.

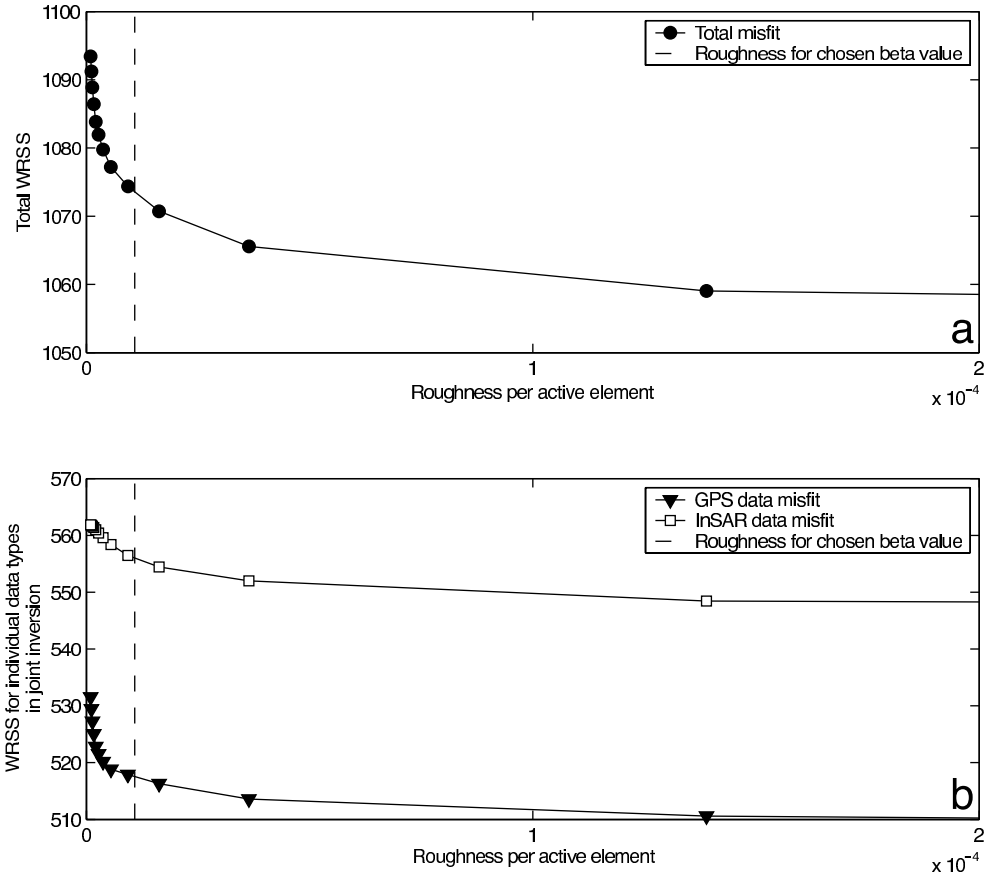


Figure 2.3. a) roughness per non-zero element on the shallow, discretized segments vs. total weighted residual sum of squares in joint inversions. b) roughness per non-zero element vs. misfit of individual data sets in the joint inversion. Dashed lines show smoothing weight ( $\beta=186$ ) used in this study.

## 2.4 Results and Discussion

### 2.4.1 San Juan Bautista Creep Distribution

#### Relationship to seismicity

Our model resolves  $19.9 \pm 1.4$  mm/yr of creep on the shallow creeping section, decreasing gradually to the north along the discretized SJB segments. 12 km north of XSJ2 (Figure 2.5), the majority of the fault surface is locked, including the source area

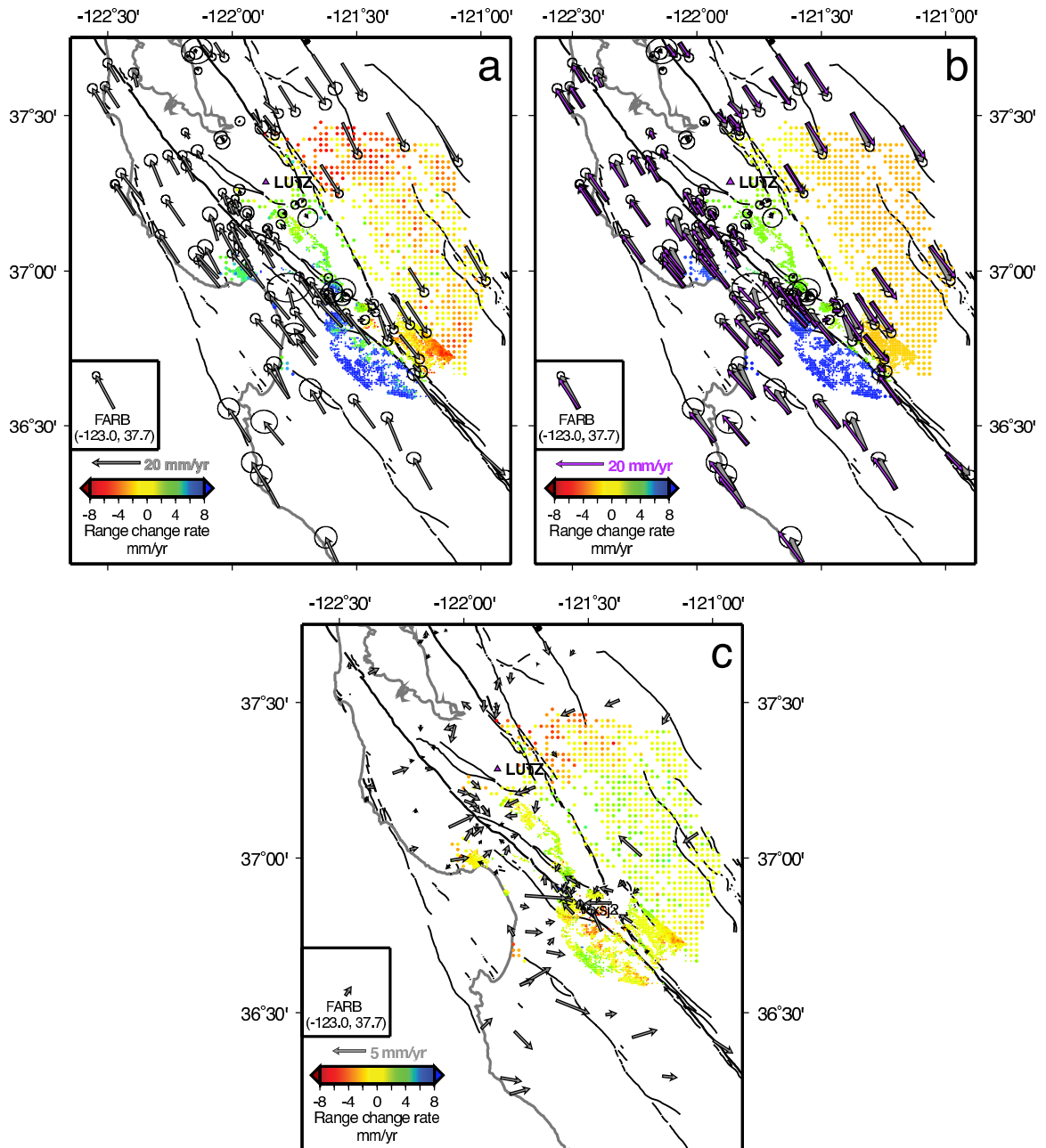


Figure 2.4. a) Observed InSAR range change rate samples and GPS velocities with 95% confidence ellipses, used in model inversion. b) Modeled range change rate samples and GPS velocities (purple arrows) from joint inversion. Observed GPS velocities are also plotted as thick grey arrows. c) Residual range change rate samples and GPS velocities. All GPS velocities are relative to station LUTZ (purple triangle in all figures)

of the 1990 Chittenden earthquake sequence. Two low-creep/locked asperities occur

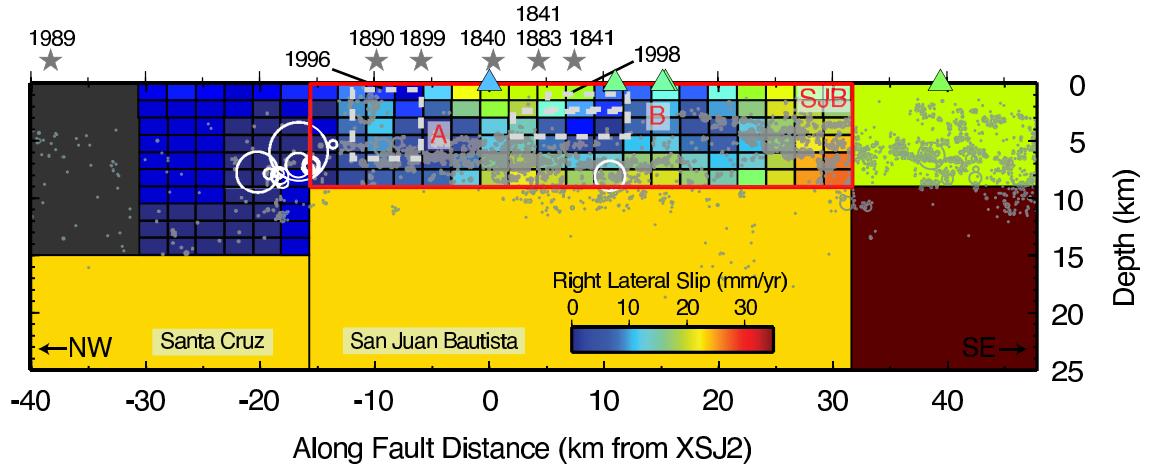


Figure 2.5. Results of model inversion for the SJB segment. Cross-section is plotted looking north-eastward. Surface creep rates from line fits to creepmeter data from 1994-2001 are shown for comparison (colored triangles). The rupture areas for slow earthquakes in 1996 and 1998 are shown for comparison (colored triangles). The rupture areas for slow earthquakes in 1996 and 1998 are outlined in dashed grey lines [Gwyther *et al.*, 2000]. Red outline is the shallow SJB segment used to calculate moment deficit. Letters indicate asperities A & B. Grey circles are double-difference relocated earthquakes [Waldhauser and Ellsworth, 1999], white circles 20 km north of XSJ2 are the 1990 Chittenden swarm, the white circle 10 km south of XSJ2 is the 1998  $M_w$  5.1 San Juan Bautista earthquake. Grey stars are the projected locations of  $M \geq 6$  earthquakes within 5 km of the San Andreas fault surface trace from *Topozada et al.* [2002]. Black area signifies that no slip rate was estimated for that fault region.

at mid-seismogenic depths (asperities A and B in Figure 2.5), which may be source regions for moderate to large earthquakes. However, there is significant uncertainty in the locations of the historic events, such that we cannot assign them to a particular asperity. The decrease in subsurface creep north of the creeping section into asperity B corresponds well with an area of little microseismicity. Similarly, the bottom edge of asperity B also matches well with the depth where microseismic activity occurs in this area. This supports the idea that on creeping faults there is an inverse relationship between microseismicity and locked, earthquake-producing asperities.

## Relationship to slow earthquakes

Two slow earthquakes occurred on the SJB segment during the time spanned by our GPS and InSAR data. The slip from these slow earthquakes is averaged into our yearly slip rates. Nonetheless, the contributions from these events are not enough to account for all of the creep in the model elements in which they occur. The 1998 slow earthquake slipped 20 mm at the edge of asperity B, contributing 3.5 mm/yr to the inferred creep rate (Figure 2.5). The 1996 slow earthquake occurred on a creeping portion of the fault, between asperity A and the northern terminus. The locations of the slow earthquakes are consistent with the view that slow earthquakes occur in creeping areas of the fault rather than slipping otherwise locked sections.

### 2.4.2 Moment Deficit on the San Juan Bautista Segment

We seek to determine whether a sequence of earthquakes, similar to that seen in the 19th century, could occur on the SJB segment under its current creep conditions. We consider six earthquakes from the catalog of *Toppozada et al.* [2002] that locate within 5 km of the SJB segment surface trace. We calculate moment deficit rates for the  $i = 114$  model elements in the shallow SJB segment (large red box in Figure 2.5) using,

$$\dot{M}_{o(deficit)} = \sum_i \mu A_i (\dot{s}_{lt} - \dot{s}_i) \quad (2.3)$$

The long-term slip rate ( $\dot{s}_{lt}$ ) on the deep SJB and Santa Cruz segments is determined to be 23.3 mm/yr in the joint inversion and 16.8 mm/yr in a GPS-only inversion. Both of these rates are close to the expected range for the peninsular San Andreas fault [*Hall et al.*, 1999], so we perform the moment deficit calculations twice, using each of these rates. We also perform the calculations for two values of rigidity ( $\mu$ ), 15 and 25 GPa, reflecting the seismic velocity contrast across the San Andreas fault in

this area [Dorbath *et al.*, 1996]. We report the lowest and highest deficit rates from this set of input parameters.

The shallow SJB segment creeps at an average rate of 11.7 mm/yr, which leaves 5.1 - 11.5 mm/yr of slip deficit and a moment deficit rate of  $3.3 \times 10^{23} - 1.2 \times 10^{24}$  dyne-cm/yr. At this rate the region could produce one  $M_w$ 6.3 - 6.7 earthquake every century and it would take 135 - 510 years to accumulate the moment released in the 19th century sequence. That the 19th century sequence released hundreds of years worth of accumulated slip deficit is consistent with the relative quiescence observed in the 20th century, and does not indicate that creep has become more widespread. Our data samples post-Loma Prieta creep rates; pre-Loma Prieta surface creep rates were as much as 30% lower [Bokelmann and Kovach, 2003]. At 30% lower creep rates the 19th century earthquake sequence would still represent 105 - 300 years of moment accumulation.

It is interesting that the historic earthquakes occurred as a clustered sequence rather than a relatively regular series, such as is observed on the Parkfield segment. The Parkfield segment is similarly located at the southern end of the creeping section and is partially locked along a  $\sim 25$  km-long transition zone [Murray and Segall, 2002]. Based on our results, the  $\sim 50$  km-long SJB segment could produce a Parkfield-like event ( $M_w$ 6.0) every 10 - 38 years, a similar repeat time to that found by Murray and Segall [2002] of 7 - 21 years for the Parkfield segment. Unlike Parkfield, the SJB segment is divided into two asperities surrounded by creep. Topozada *et al.* [2002] notes that the historic events all occurred close in time to either the 1906 event or the 1838  $M$ 7.4 earthquake on the San Andreas fault (both with southern terminations near San Juan Bautista) suggesting that this segment is sensitive to changes in input stress. Variability in the creep rates on the fault area surrounding the isolated asperities, in response to large earthquakes (such as observed following

the Loma Prieta earthquake) could be responsible for loading the asperities in a non-uniform manner [*Ben-Zion et al.*, 1993].

## 2.5 Conclusions

While there is significant uncertainty in the location of historic earthquakes near San Juan Bautista, the number of large 19th century events attributed to this segment stands in contrast to the instrumentally observed seismicity. Our study shows that given the current distribution of creep, the SJB segment is accumulating a moment deficit at the rate of one  $M_w$ 6.3 - 6.7 earthquake per century. The relative quiescence of the 20th century does not appear to be associated with enhanced aseismic moment release. Our model shows two separate low-creep/locked asperities, which could rupture independently of each other. The loading rate is similar to that seen on the Parkfield segment, but the SJB segment does not experience regularly occurring  $M_w$ 6 earthquakes. Instead the segment appears to release centuries worth of strain accumulation in clusters that span decades and in response to stress changes from larger events.

## Chapter 3

# Coseismic and Postseismic Slip of the 2004 Parkfield Earthquake from Space-Geodetic Data

### 3.1 Introduction

The September 28, 2004  $M_w$ 6.0 Parkfield earthquake was the long delayed fulfillment of the Parkfield Earthquake Prediction Experiment [*Bakun and Lindh*, 1985; *Bakun et al.*, 2005]. Among the goals of the experiment was the desire to study a single event in great detail in order to gain a better general understanding of earthquake processes. To this end, this short segment of the San Andreas fault became one of the best instrumented locations in the world and the 2004 earthquake has produced copious amounts of data. The years since the original prediction have seen the advent of space-based geodesy; both global positioning system (GPS) and interferometric synthetic aperture radar (InSAR) data can now be added to the wealth of information on this historic earthquake. Here, we use space-based geodetic data



to constrain a model of the coseismic and postseismic slip associated with the 2004 Parkfield earthquake. We examine the relationship between these two periods of the earthquake cycle, their relationship to aftershocks and the extent and importance of aseismic slip.

In some ways the Parkfield segment of the San Andreas fault (SAF) is a unique environment. The town of Parkfield lies at the southern end of the creeping section of the SAF; a 100 km long section where creep rates approach the plate rate [*Burford and Harsh, 1980*]. To the south, the SAF interface is locked and last slipped during the 1857 Fort Tejon earthquake [*Sieh, 1978*]. The Parkfield segment forms the transition zone between these two behavioral extremes. It exhibits mixed mechanical behavior; creep continues at the surface, but one or more locked asperities exist at mid-seismogenic depths [*Harris and Segall, 1987; Murray et al., 2001*]. In this setting, aseismic slip comprises a significant portion of the slip budget and may even regulate the occurrence of seismic events [*Gao et al., 2000*].

## 3.2 Data sets

It is highly advantageous to combine GPS-derived displacements with InSAR data. InSAR range change measurements reflect a mixture of vertical and horizontal deformation of unknown ratio, whereas GPS data provides 3D displacement measurements. InSAR data is also limited in its ability to resolve long-wavelength deformation because of uncertainties in the satellite orbit parameters, while GPS data has no such limitation. Furthermore, continuous GPS provides dense time sampling that is unavailable using InSAR alone because of the orbit cycle of the satellite. The prime strength of InSAR is its dense spatial coverage. A typical Envisat interferogram has a sample spacing of 80 m (after averaging 4x20 samples or looks). By combining these

two complementary data sets, a model inversion for slip on the coseismic rupture exploits the strengths of each.

### 3.2.1 Interferometric Synthetic Aperture Radar (InSAR)

An interferogram measures the difference in phase between the returning, backscattered radar waves from two separate passes of a radar satellite. The phase difference represents net movement of the ground relative to the satellite (in the radar line-of-sight) during the time spanned by the interferometric pair, usually modified to some extent by other effects [Bürgmann *et al.*, 2000]. The phase difference is only measured modulo  $2\pi$  radians. One such progression is called a fringe and is equivalent to ground motion of half the radar wavelength (2.8 cm for the Envisat and Radarsat satellites). The discontinuous map of phase differences is unwrapped to form a continuous map of the change in distance between the satellite and the scatterers on the ground (range change). 23 interferograms (Table 3.1) span the coseismic and portions of the postseismic periods. We used data from Envisat imaging beam I2 and Radarsat-1 standard beam S1, both of which have a line of sight to the right of the orbit track (right-looking) and an incidence angle with the earth's surface at the center of the SAR swath of about 23 degrees from the vertical. We include data from both ascending orbit tracks (heading of -14 degrees from north) and descending tracks (heading of 194 degrees). As a consequence of the geometry of the InSAR line-of-sight, the range change measurement is most sensitive to vertical motion, less sensitive to east-west motion, and little sensitive to north-south motion.

The spatial sampling of the full-resolution interferograms from Envisat beam I2 and Radarsat-1 beam S1 is about 20 x 4m (higher resolution in the along-track direction). Because the coherence in the Parkfield area is low, we averaged the InSAR data by four or eight samples in both the cross-track and along track directions, giving a

Table 3.1. All interferograms spanning the Parkfield earthquake and processed for this study

Start Date	End Date	$\perp$ Baseline (m)		
Envisat Interferograms			Track	Frame
8/26/04	9/30/04	922	435	711
7/3/03	11/4/04	-32	435	711
5/13/04	9/30/04	610	435	711
7/3/03	5/13/04	-393	435	711
12/9/04	2/17/05	-438	435	711
7/3/03	9/30/04	218	435	711
8/26/04	12/9/04	80	435	711
9/30/04	11/4/04	-250	435	711
7/3/03	3/24/05	36	435	711
11/4/04	3/24/05	69	435	711
8/10/04	12/28/04	-201	206	711
9/14/04	11/23/04	57	206	711
12/9/03	9/14/04	69	206	711
7/6/04	3/8/05	47	206	711
6/23/04	12/15/04	-54	27	2871-2889
5/19/04	10/6/04	-50	27	2871-2891
4/14/04	10/6/04	69	27	2871-2892
Radarsat Interferograms			Start Orbit #	End Orbit #
6/19/04	10/17/04	120	45012	46727
6/19/04	12/28/04	40	45012	47756
10/17/04	12/28/04	80	46727	47756

total number of samples averaged (called looks) of 4 x 20 or 8 x 40 (see Table 3.2). The interferograms were processed using `Roi_pac`, developed at JPL/Caltech, and

Table 3.2. Interferograms used in joint inversion. In column “scene”, Envisat scenes are identified by track/frame numbers and Radarsat scenes are identified by start-end orbit numbers. Letter A or D in column “scene” refers to ascending or descending orbit track respectively.

Interf. letter	Scene ID	Start Date	End Date	$\perp$ Baseline (m)	Time Span (years)	# of looks
A	Envisat A435/711	3/7/03	9/30/04	217	1.57	4x20
B	Envisat D027/2871-2889	4/14/04	10/6/04	68	0.48	4x20
C	Envisat D027/2871-2889	5/19/04	10/6/04	-50	0.38	4x20
D	Envisat A206/711	9/14/04	11/23/04	57	0.19	4x20
E	Envisat A435/711	8/26/04	12/9/04	79	0.29	4x20
F	Envisat D027/2871-2889	6/23/04	12/15/04	-54	0.48	4x20
G	Radarsat A 45012-46727	6/19/04	10/17/04	120	0.33	4x20
H	Radarsat A 45012-47756	6/19/04	12/28/04	40	0.53	8x40

unwrapped using the `Snaphu` unwrapper [Chen and Zebker, 2001]. Though highly anticipated, the Parkfield earthquake was not large and the deformation from this earthquake produced only 1-2 fringes (3-6 cm) of range change. Non-tectonic effects, especially atmospheric changes, can cause phase changes or noise of up to 1-2 fringes, as described in more detail below. Many of the interferograms contain noise with apparent range change of nearly the same magnitude as the signal from the earthquake. Identifying the source and amount of noise in each interferogram informed our decision on which to include in our joint inversion (see below). Six Envisat and two Radarsat interferograms were chosen for modeling of the earthquake (Table 3.2, Figure 3.1 & Figure 3.2).

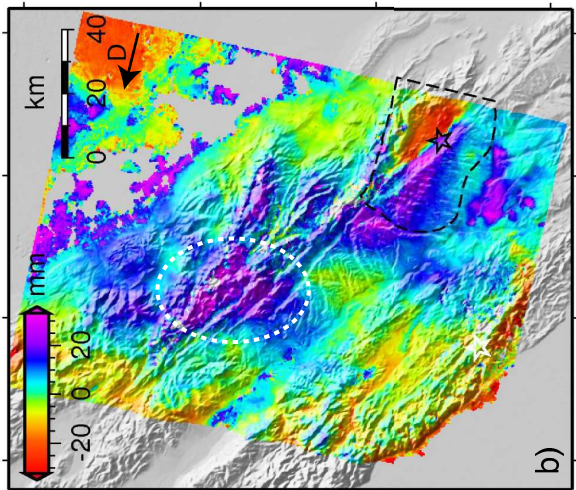
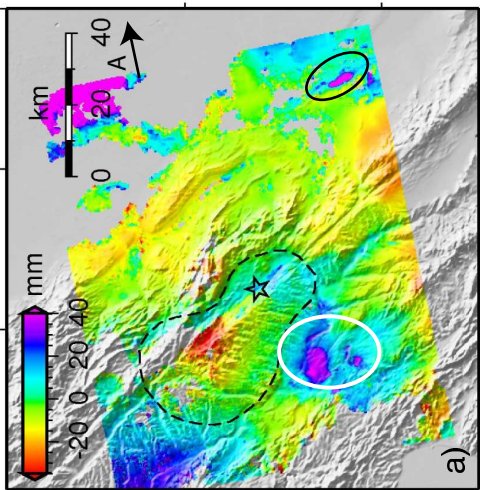
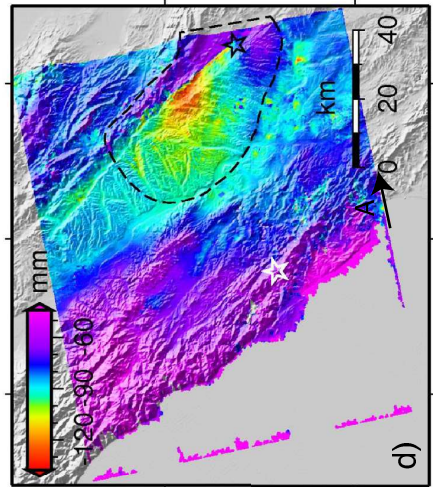
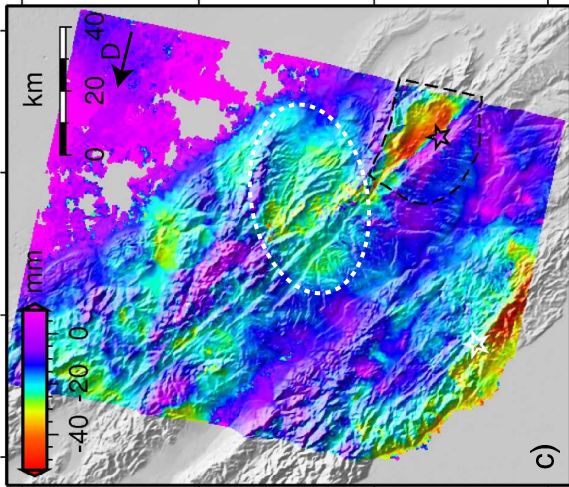
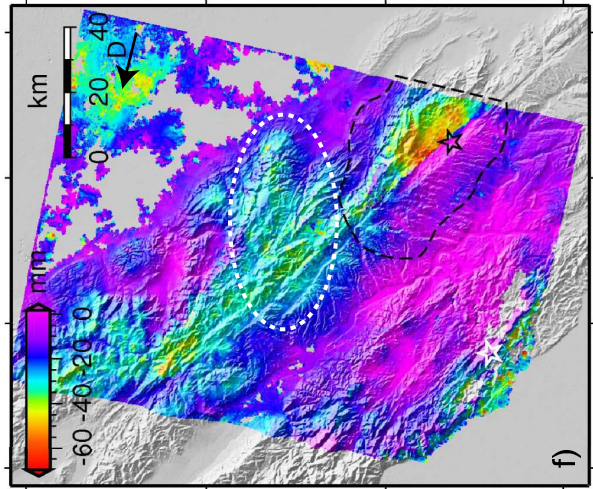
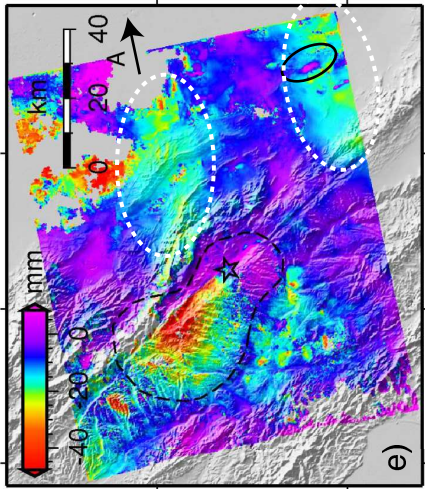


Figure 3.1. ENVISAT interferograms a) 3/7/2003 - 9/30/2004 b) 4/14/2004 - 10/6/2004 c) 5/19/2004 - 10/6/2004 d) 9/14/2004 - 11/23/2004 e) 8/28/2004 - 12/9/2004 f) 6/23/2004 - 12/15/2004 Black dashed lines indicate cropped area included in model inversions. White dashed circles refer to atmospheric features mentioned in the text. Solid white circle indicated the Paso Robles subunit of the Salinas basin. Solid black circles indicate the Lost Hills oil field. Arrows are the radar look direction, with A and D referring to ascending and descending tracks respectively. The black star in all frames is the epicenter of the 2004 Parkfield earthquake and the white star is the epicenter of the San Simeon earthquake.

### **Atmospheric Delay**

Nearly all interferograms suffer from contamination of the desired signal with atmospheric delay errors [Zebker *et al.*, 1997]. Atmospheric delay errors occur when water in the troposphere slows down the travel time of the radar wave in one of the two scenes in the interferometric pair, causing an apparent change in distance. These errors are generally identifiable as long wavelength patterns or blobs of range change. In this case, areas with atmospheric delay errors can be avoided because the location and basic pattern of the target signal is known. We also expect San Andreas-fault related deformation signal to have an association with the mapped surface trace of the fault. Interferogram E shows two patches of range change to the east and southeast of Parkfield that are not near the San Andreas fault nor any other discernible tectonic structure and we interpret them to be atmospheric delay error (dashed circles in Figure 3.1e). Also, in interferograms B, C and F patches of range change to the northwest of Parkfield, in the creeping section of the San Andreas Fault, are interpreted to be atmospheric delay errors (dashed circles in Figure 3.1b, c & f).

### **Groundwater-Induced vertical motion**

For the purposes of studying earthquakes or other tectonic processes, groundwater-induced vertical motion is also a source of noise. Subsidence or rebound due to

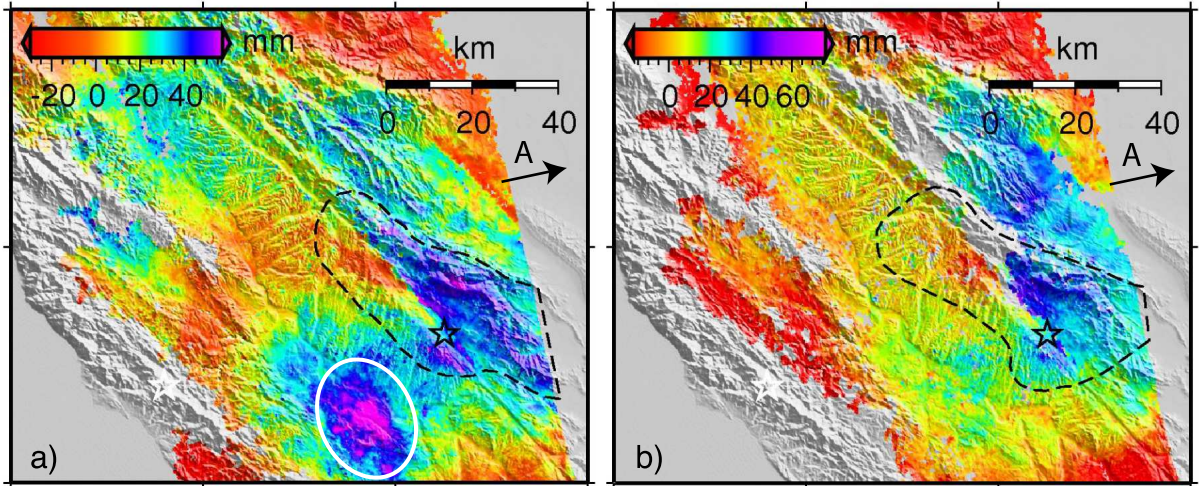


Figure 3.2. Portions of Radarsat interferograms from ascending swaths covering the Parkfield area. a) 6/19/2004 - 10/17/2004 b) 6/19/2004 - 12/28/2004 Black dashed lines indicate cropped area included in model inversions. Solid white circle indicated the Paso Robles subunit of the Salinas basin. Arrows are the radar look direction, with A referring to the ascending track direction. The black star in both frames is the epicenter of the 2004 Parkfield earthquake and the white star is the epicenter of the San Simeon earthquake.

variations in groundwater levels occur in many areas, and can have seasonal cycles and long-term components [Amelung *et al.*, 1999; Schmidt and Bürgmann, 2003]. All of the interferograms contain the southern portion of the Salinas Basin including the Paso Robles subunit, just southwest of Parkfield (white circles in Figure 3.1a and Figure 3.2a). In 1997, a seasonal change in groundwater levels of 60 feet in the Paso Robles subunit, produced 6 cm of vertical ground motion or two fringes in an interferogram [Valentine *et al.*, 2001]; an amount that is similar to the range change produced by the Parkfield earthquake. Small bulls-eye shaped range-change patterns in the Paso Robles subunit, just southwest of Parkfield, are apparent in all the interferograms, but are most obvious in interferogram A (Figure 3.1a). Interferogram A also exhibits an area of range change increase to the northwest that we interpret to be due to subsidence of the greater Salinas basin.

Petroleum and gas withdrawal from shallow reservoirs can also cause rapid ground

subsidence, including at the Lost Hills oil field at the southeast corner of Interferograms A and E (black circles in Figure 3.1a & e) [*Fielding et al.*, 1998]. This intense deformation is far enough away from the Parkfield earthquake that it is outside the area used in our analysis.

### **The 12/22/2003 San Simeon earthquake**

The Parkfield earthquake occurred less than a year after the  $M_w$ 6.5 San Simeon earthquake and about 50 km to the west. Southern California Integrated GPS Network (SCIGN) continuous GPS stations in the Parkfield area show coseismic motion in a westward direction of up to 1 cm from this event [*Ji et al.*, 2004; *Rolandone et al.*, Submitted] (Table B.2). Postseismic deformation following the San Simeon earthquake is indicated by transient motions of six GPS stations in the region, which rapidly decayed in the aftermath of the event [*Savage et al.* [2005]; *Rolandone et al.* [Submitted]]. *Rolandone et al.* [Submitted] find that the motions are best explained by afterslip in the upper  $\sim 3$  km of the crust. The continuous GPS sites in the Parkfield area do not reveal significant San Simeon postseismic motion.

Interferogram A (Table 3.2) is the only interferogram used that also spans the San Simeon event. Estimates for the coseismic displacements from a model of GPS and InSAR data spanning the San Simeon earthquake (Section 4.4) were removed from this interferogram. Though the amount of displacement in the Parkfield area from the San Simeon earthquake was significant, the displacement gradient was small and nearly constant. Similarly, the San Simeon postseismic deformation pattern at Parkfield is very small and long-wavelength compared with the deformation signal from the Parkfield earthquake. Any remaining residual far-field displacement gradients from the San Simeon event can be compensated for by including a ramp across the interferograms as part of the model parameters (see Section 3.3.5).



## Interseismic Deformation

The interferograms used here have variable time-spans and each contains a different contribution from the interseismic deformation field. In the Parkfield area, the interseismic deformation field is the result of the combination of strain accumulation on the regional fault system and steady fault creep. The deformation field from strain accumulation is generally modeled as slip on large dislocations below the seismogenic portion of the fault (e.g. from 15–3000 km depth) and produces a deformation pattern with wavelength of tens to hundreds of km. Interseismic creep, on the other hand, involves slip on the shallow portions of the fault zone and so produces a shorter wavelength deformation pattern. The Parkfield segment of the San Andreas fault exhibits interseismic creep and is also accumulating strain energy to be released in earthquakes. To forward predict and remove the interseismic displacement field from each interferogram and to predict the interseismic velocity of the campaign GPS stations, we use an interseismic slip model from *Rolandone et al.* [2004]. Their model is derived from an inversion of continuous and campaign GPS data along the creeping section of the San Andreas fault and the northern and southern transition zone. It contains both deep and shallow model fault elements to capture the effects of strain accumulation and aseismic creep.

## Unwrapping Errors

Standard algorithms for unwrapping of the interferogram phase assume that the phase varies smoothly. A discontinuity in the deformation pattern and phase, such as at a surface rupture, requires the unwrapping algorithm to estimate by how many multiples of  $2\pi$  the phase of the two sides are separated. This is no problem where interferograms are continuous and unwrapping is possible around the tips of the rupture. To facilitate unwrapping under less ideal conditions, it is sometimes necessary

to subtract an a priori model of the deformation during the time spanned by the interferogram. Subtracting the phase predicted by a model reduces the phase gradients and insures that the two sides of the fault are offset by the correct multiple of  $2\pi$ .

We applied such a model to aid the unwrapping of interferograms D, E and F (Table 3.2). Displacements were estimated for SCIGN network continuous GPS sites for times matching the time-spans of the interferograms and include coseismic and postseismic motions. The estimated displacements were inverted for right-lateral strike-slip on a distributed slip model with geometry identical to that described below for the joint inversion. Predicted range changes from the model for each interferogram were subtracted before unwrapping and added back in afterwards.

Including these models facilitated successful unwrapping across the SAF zone, however the Parkfield earthquake involved surface slip on two sub-parallel strands  $\sim 2$  km apart. The Southwest Fracture Zone (SWFZ) slipped coseismically at the surface, while the main trace of the SAF exhibited enhanced postseismic creep [*Langbein et al.*, 2005; *Langbein and Murray*, Submitted]. The GPS data used to create the a priori model are not dense enough to constrain slip on both strands, so our model includes only a single fault plane at depth and at the surface. The unwrapping algorithm must decide how to partition the phase change across both strands using information from more smoothly varying parts of the interferogram. This makes the area between the two strands particularly susceptible to unwrapping errors.

### 3.2.2 Global Positioning System (GPS)

We use GPS-derived horizontal displacements from both campaign and continuous stations (Figure 3.3, Table B.2). The campaign data includes five stations surveyed by UC Berkeley and 12 stations surveyed by the USGS. The campaign data were processed in daily solutions using GAMIT and combined, using GLOBK/GLORG,

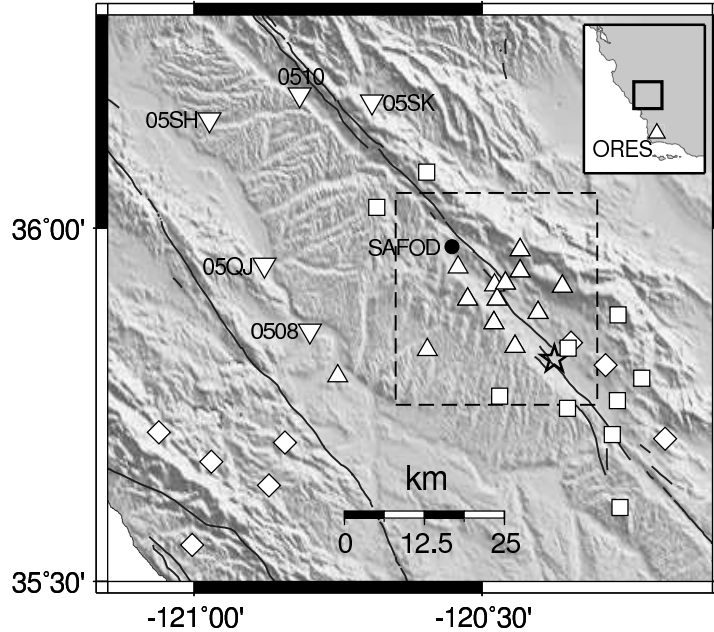


Figure 3.3. Locations of GPS stations used in this study. Triangles are stations in the SCIGN continuous GPS network, diamonds are recently added PBO continuous stations, squares are campaign stations surveyed by the USGS and inverted triangles are campaign stations surveyed by UC Berkeley. Dashed line is spatial extent of Figure 3.4. Reference station ORES is shown in inset map.

with daily solutions from continuous stations in the SCIGN network and the International GNSS Service (IGS), obtained from the Scripps Orbital and Permanent Array Center [<http://sopac.ucsd.edu>]. Continuous sites from the Earthscope/PBO network that were installed within a month after the Parkfield earthquake are also included to constrain the postseismic slip.

### Time-Series Modeling

We used time-series modeling to extract the coseismic and postseismic displacements at each GPS station and used these as inputs in the simultaneous slip inversion. The SCIGN continuous GPS stations provided the most complete record of station

displacements and were fit by the model given below.

$$\begin{aligned}
 d_{total} = & c + v_{int}t + d_{ss}H(t - t_{ss}) + d_{pk}H(t - t_{pk}) \dots \\
 & \dots + d_{ps}(1 - \exp(-(t - t_{pk})/\tau))H(t - t_{pk})
 \end{aligned}
 \tag{3.1}$$

Where  $H(t)$  denotes the heavyside step function. A constant ( $c$ ), the interseismic velocity ( $v_{int}$ ), offsets at the times of the San Simeon ( $d_{ss}$ ) and Parkfield ( $d_{pk}$ ) earthquakes and the amplitude ( $d_{ps}$ ) and decay time ( $\tau$ ) of the exponential were solved for using a simplex search method for unconstrained nonlinear optimization to minimize the residual sum of squares. The results of the time-series modeling are shown in Table B.2 in Appendix B.

### Campaign GPS Time-Series Modeling

The time-sampling of the campaign stations and the Earthscope/PBO stations is more sparse than the SCIGN stations. For these sites, subsets of the model parameters in Equation 3.1 were solved for. The specific subset was chosen for each data source (UCB, USGS or Earthquake/PBO) according to the specific times of observations. For all three of the data sources listed, the interseismic slip model described in Section 3.2.1 was used to constrain the interseismic velocities. The five UC Berkeley campaign stations were surveyed three times before the Parkfield earthquake. Though they were displaced by the San Simeon earthquake in 2003, two surveys were conducted between the times of the San Simeon and Parkfield earthquakes. The offsets from both earthquakes can therefore be solved for despite the sparse time sampling of the observations. However, we are not able to determine either the amplitude of the postseismic exponential decay or the decay time constant from the UCB campaign GPS data.

Campaign stations surveyed by the USGS did not include observations between

the times of the San Simeon and Parkfield earthquakes. In this case, we cannot uniquely determine offsets due to each event. However, many of the stations were surveyed quasi-continuously after the Parkfield earthquake and we were able to solve for the amplitude of the postseismic exponential given an imposed decay time constant (0.14 years). The Earthscope/PBO continuous stations installed after the Parkfield earthquake were treated like campaign data in that a priori interseismic velocities were used, the postseismic amplitude was solved for, and the decay time constant was fixed to 0.14 years. It was possible to solve for a coseismic offset for four Earthscope/PBO stations installed before the Parkfield earthquake. However, these four sites were located distant from the Parkfield rupture area, and the amplitude of the postseismic displacement was too small to be determined

### 3.3 Simultaneous Coseismic and Postseismic Slip Inversion

#### 3.3.1 Earthquake cycle effects

All of the datasets used here contain contributions from the coseismic, postseismic and interseismic periods of the earthquake cycle. The different time spans and sampling of the datasets mean that they contain different ratios of coseismic, postseismic and interseismic deformation. This fact is used to our advantage in the model presented here in order to differentiate between coseismic and postseismic slip. The postseismic slip is assumed to evolve with the same exponential decay function as the GPS sites (Equation 3.1), such that the total slip ( $s_{total}$ ) has the following form,

$$s_{total} = s_{cs} + A_{ps} (1 - e^{-t_{ps}/\tau}) \quad (3.2)$$

where  $t_{ps}$  is the amount of postseismic time spanned by each interferogram or GPS dataset; that is, the amount of time between the Parkfield earthquake and the end of the dataset. Daily time series from continuous GPS stations and USGS creepmeter data were used to constrain the decay time constant ( $\tau$ ) and thereby predict the fraction of the total postseismic deformation field included in each dataset (Section 3.3.4). A joint inversion was then performed on the InSAR and GPS data for the coseismic slip ( $s_{cs}$ ) and exponential decay amplitude ( $A_{ps}$ ) on each model element. It should be noted that by solving for only  $A_{ps}$ , we do not allow the spatial distribution of postseismic slip to change over time. Nonetheless, this approach allows us to take advantage of the number of interferograms available to constrain the model while accounting for the variable time span of each.

### 3.3.2 Data Reduction

Because the desired signal in the interferograms is of similar magnitude to the noise sources, as discussed above, it became necessary to crop the interferograms to include only the Parkfield region (dashed lines in Figure 3.1 & Figure 3.2). In addition to not considering areas well outside of the coseismic deformation zone, the cropped regions were chosen to avoid known areas of petroleum withdrawal and groundwater-induced vertical motion, such as the Paso Robles subunit, and those areas determined to be heavily contaminated by atmospheric errors. The areas inside the cropped region are not necessarily free of noise sources, but they have a signal to noise ratio high enough that the range change related to the Parkfield earthquake will dominate the inversion. The interferograms were further sub-sampled on a grid with 1 km spacing, where each sample is an average of 16 pixels. This mitigates any correlations that exist between pixels, particularly those introduced by filtering.

### 3.3.3 Model Geometry

With interseismic deformation removed, we can restrict our inversion to a single, vertical, 40 km x 15 km plane, which is divided into 300 2 km x 1 km elements. The 2004 Parkfield earthquake involved slip on multiple surface traces of the SAF as described in Section 3.2.1. Although the interferograms include range change estimates across the entire rupture zone, there is a possibility of unwrapping errors in this area. We therefore choose not to model the complex surface rupture pattern, but instead focus on the slip at depth. Our model plane was chosen to strike midway between the SAF main trace and the SWFZ (Figure 3.4). The interferogram samples

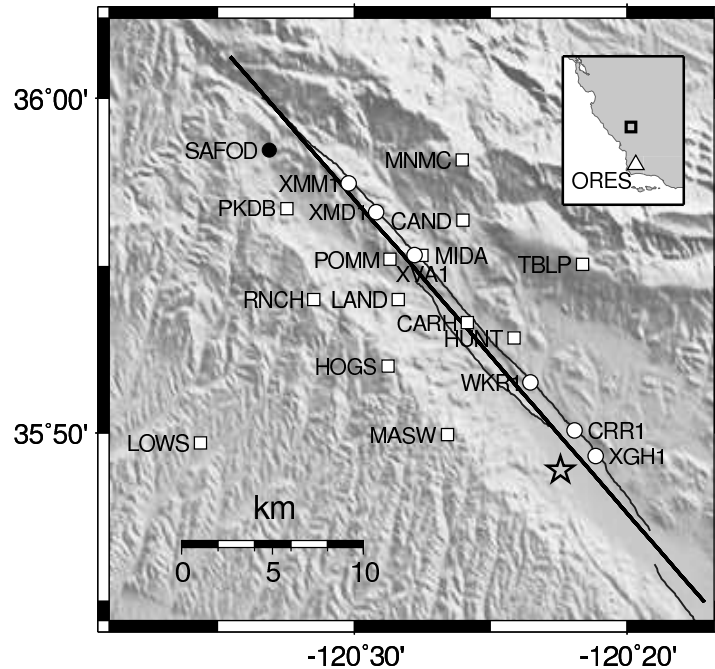


Figure 3.4. Locations of continuous SCIGN GPS stations (squares) and creepmeters (circles) used to constrain the decay time constant. Bold black line is the surface projection of the model fault plane. Reference station ORES is shown in inset map.

located between the main trace and the SWFZ, and continuous GPS station CARH, were removed from the inversion.

An offset between the model plane and the actual surface rupture will tend to cause surface slip to be mapped onto deeper model fault elements. However, the SAF

and the SWFZ are within 1-2 km of our model plane, so this effect is expected to be minimal and to be restricted to the top 1-2 km depth. The shallow elements in our model reflect the sum of shallow slip across the active strands.

### 3.3.4 Postseismic Exponential Decay Time Constant

We use data from 12 continuous GPS stations and six USGS creepmeters to constrain the postseismic exponential decay time constant ( $\tau$ ). We applied the model of Equation 1 to records of daily displacement from creepmeters XMM1, XMD1, XVA1, WKR1, CRR1 and XGH1 (Figure 3.4 and Table B.3). We used the values determined for the north and east components of SCIGN stations CAND, CARH, HOGS, HUNT, LAND, MASW, MIDA, MNMC, TBLP and RNCH and the east components of stations PKDB and POMM (Figure 3.4 and Table B.2). Figure 3.5 shows the values of the decay time constant as a function of the perpendicular distance from the San Andreas Fault. The lack of a systematic trend in these values indicates that postseismic slip from deep and shallow depths on the fault did not occur with significantly different decay times. Particularly the fact that the creepmeter estimates are similar to the GPS estimates from further away from the fault indicates that surface creep did not evolve differently than slip at depth. The decay time constant used in the inversion (0.17 years) is the median of the decay time constants determined from the creepmeter records and the selected continuous GPS time-series.



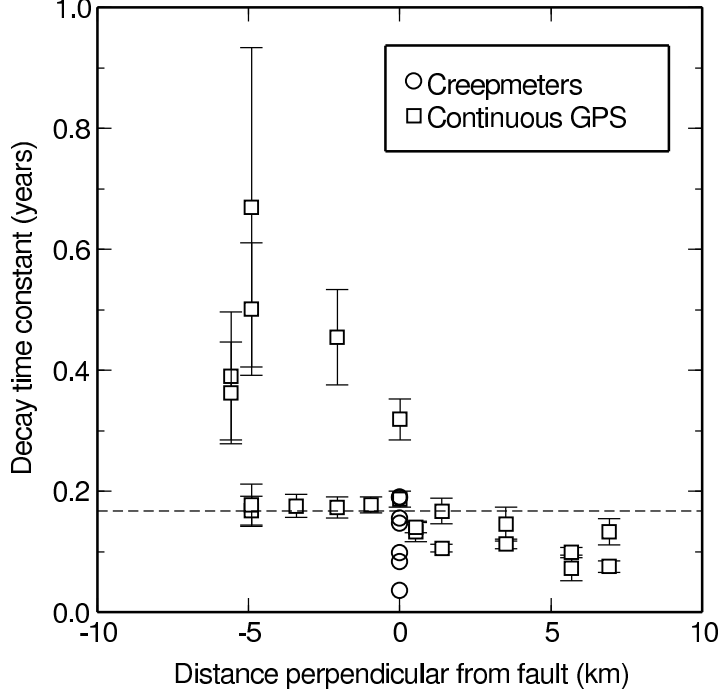


Figure 3.5. Decay time constants fit to continuous GPS and creepmeter data. Dashed line shows value (0.17 years) used in the model. Uncertainties for the creepmeter data average 0.003 years; error bars would be about the size of the symbol.

### 3.3.5 Inversion set-up

We invert the eight interferograms and four GPS datasets simultaneously for the coseismic slip and the amplitude of the postseismic exponential decay as follows,

$$\begin{bmatrix}
 \alpha \mathbf{G}_{s1} & \alpha \mathbf{G}_{s1} (1 - \exp(-(t_{ps1} - t_{pk})/\tau)) & \mathbf{xy}_1 \\
 \vdots & \vdots & \vdots \\
 \alpha \mathbf{G}_{sN} & \alpha \mathbf{G}_{sN} (1 - \exp(-(t_{ps1} - t_{pk})/\tau)) & \mathbf{xy}_N \\
 \mathbf{W}_{gc} \mathbf{G}_{gc} & \mathbf{0} & \mathbf{0} \\
 \mathbf{0} & \mathbf{W}_{gp} \mathbf{G}_{gp} & \mathbf{0} \\
 \beta \nabla^2 & \beta \nabla^2 & \mathbf{0}
 \end{bmatrix}^{-1}
 \begin{bmatrix}
 \alpha \vec{\mathbf{d}}_{s1} \\
 \vdots \\
 \alpha \vec{\mathbf{d}}_{sN} \\
 \mathbf{W}_{gc} \vec{\mathbf{d}}_{gc} \\
 \mathbf{W}_{gp} \vec{\mathbf{d}}_{gp} \\
 \mathbf{0}
 \end{bmatrix}
 =
 \begin{bmatrix}
 \vec{\mathbf{s}}_{cs} \\
 \vec{\mathbf{A}}_{ps} \\
 \vec{\mathbf{t}}
 \end{bmatrix}
 \quad (3.3)$$

where  $\vec{\mathbf{d}}_s$  is the vector of InSAR samples.  $\vec{\mathbf{s}}_{cs}$  is the vector of coseismic offsets and  $\vec{\mathbf{A}}_{ps}$  is the vector of amplitudes of the postseismic decay, taken directly from Equation 3.1.  $\mathbf{G}_{s1-N}$ ,  $\mathbf{G}_{gc}$  and  $\mathbf{G}_{gp}$  are Green's functions for the InSAR, coseismic GPS

and postseismic GPS data respectively. The Green’s functions are constructed using Okada’s equations [Okada, 1985] to relate unit slip on each dislocation to displacements at the surface.  $t_{ps1-N}$  are the ending times of the interferograms (Table 3.2) and  $t_{pk}$  is the time of the Parkfield earthquake. The InSAR data is weighted in the inversion relative to the GPS through the factor  $\alpha$ , which is chosen so that the InSAR data has twice the weight of the GPS data. The choice to weight the InSAR data more heavily was made because of the greater quantity of InSAR data.

The Laplacian operator ( $\nabla^2$ ) was used to apply smoothing to the modeled slip and is weighted by  $\beta$ . It is constructed to smooth the model towards zero slip at the northwest, southeast, and bottom edges of the model plane. We further constrain the model to allow only right-lateral strike-slip by implementing a bounded-value least-square algorithm to perform the inversion [Stark and Parker, 1995].

$\mathbf{xy}_N$  are the Green’s functions relating the interferogram samples to an offset and gradient across the interferogram ( $\vec{\mathbf{t}}$ ). A gradient is typically included in inversions of InSAR data to account for possible errors in the orbit parameters. However, since the interferograms have been cropped to a fraction of the size of the original radar scene, a scene-wide gradient from orbit parameter errors would be very small within the cropped region. Solving for a ramp on the small subset could imply a large phase error in the distant parts of the interferograms that is unrealistic. We nonetheless include the ramp terms in the inversion to also account for noise sources, such as atmospheric water vapor variations, with a wavelength greater than the cropped region that might appear ramp-like across the samples. For example, in the cropped areas of the interferograms, postseismic displacements from the San Simeon earthquake are nearly a ramp and can be compensated for with this additional model term.

### 3.4 Results and Discussion

Figure 3.6 shows the results of the inversion for coseismic slip and for the total slip amplitude ( $\vec{A}_{ps}$ ) of the postseismic exponential. The model fits to the data are

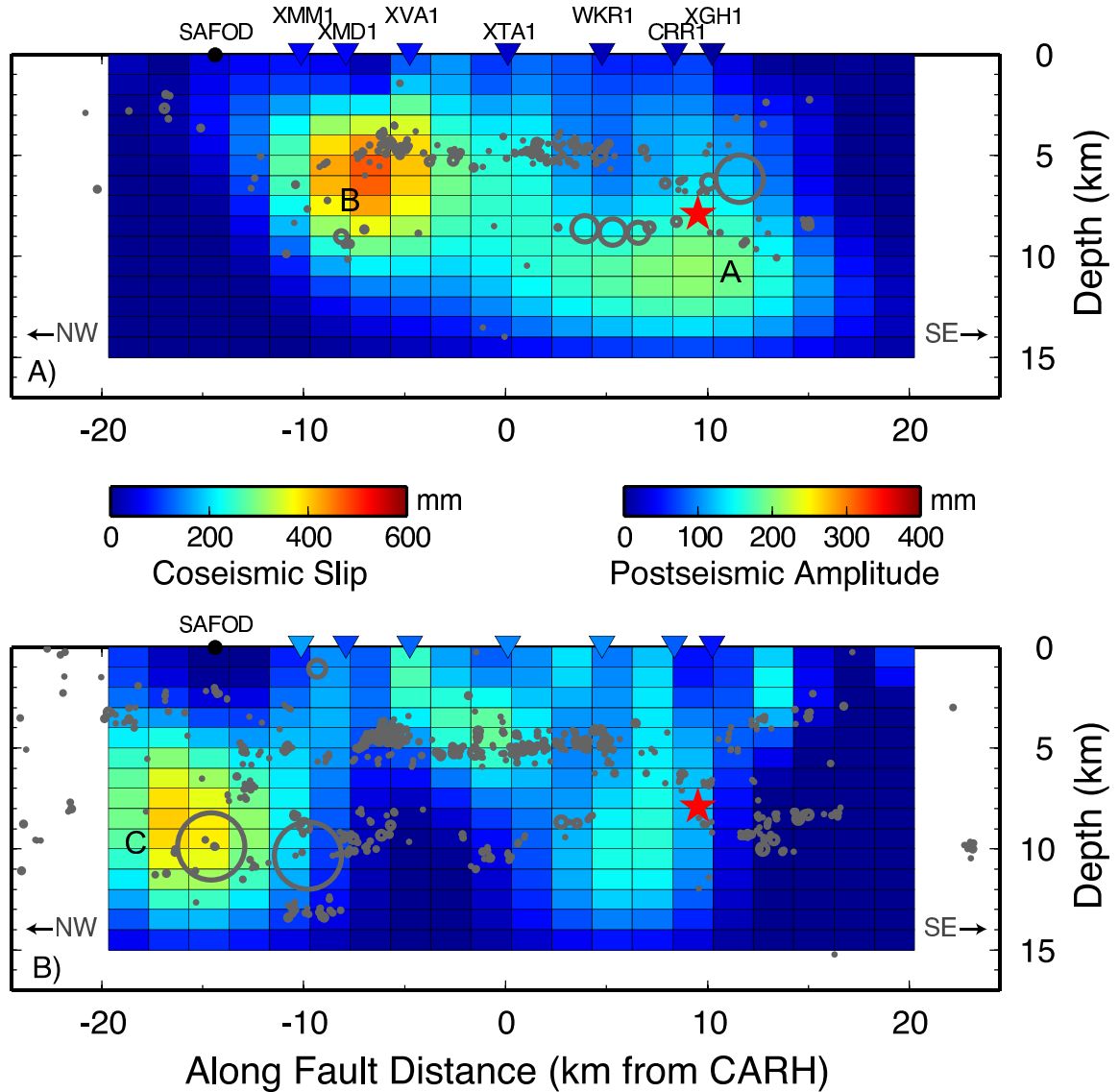


Figure 3.6. Results of inversion for a) Coseismic slip b) Amplitude of postseismic exponential. Red stars mark location of earthquake hypocenter. Gray circles are double-difference relocated aftershocks [Thurber *et al.*, Submitted], size of circle is size of rupture assuming 3 MPa stress drop and circular rupture. Letters A, B & C refer to asperities mentioned in the text. Triangles are color-coded creepmeter displacements with time spans described in the text.

shown in Figure 3.7 and Figure 3.8. The coseismic slip occurred in two asperities:

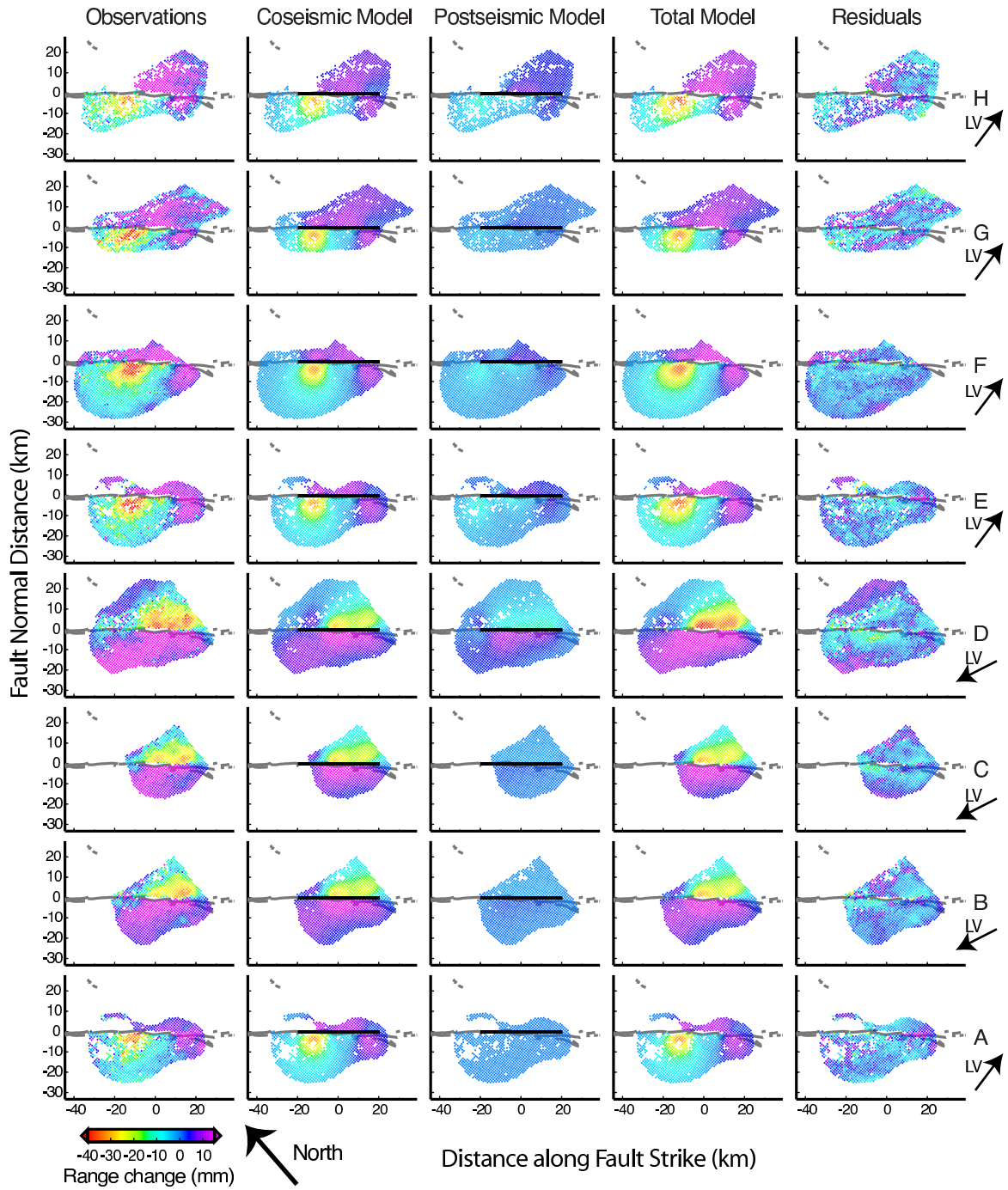


Figure 3.7. Model fits to InSAR data. Letters refer to interferograms listed in Table 3.2. LV: Look vector, direction of satellite view.

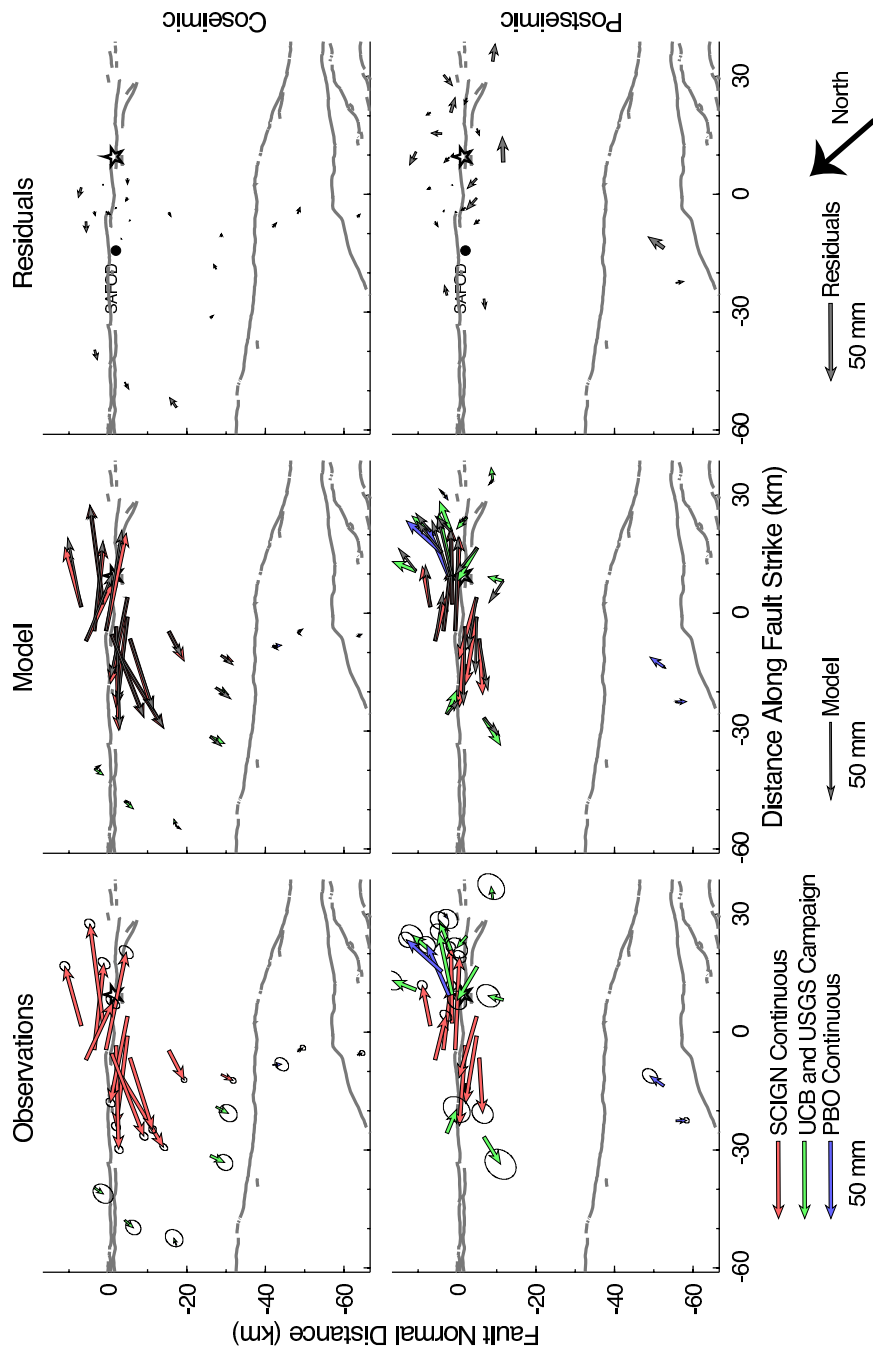


Figure 3.8. Model fits and residuals for the GPS data. Top row is stations with coseismic estimates ( $\mathbf{d}_{gc}$  in Equation 3.3) and their fit to the coseismic slip model. The bottom is stations with postseismic amplitudes ( $\mathbf{d}_{gp}$  in Equation 3.3) and their fit to the postseismic slip model. Displacements are relative to station ORES (Figure 3.3). 95% confidence error ellipses are plotted with the observations.

Asperity A is located near the hypocenter and Asperity B is 15-20 km northwest of the hypocenter. The postseismic slip has a maximum north of Asperity B and deeper on the fault surface (Asperity C), near two  $M_w$ 5.0 aftershocks. Much of the rest of the postseismic slip occurs in the shallow portions of the fault. In the northwestern half of the model, the coseismic and postseismic slip patterns appear complementary to each other. Asperity B is an area of high slip in the coseismic model, but the same area experiences little postseismic slip. Similarly, Asperity C is located in an area in which no slip was resolved in the coseismic model. The regions of enhanced postseismic slip occur near the edges of the coseismic slip, in areas that would have experienced increased stress from the coseismic rupture. This is consistent with the view that velocity-strengthening segments of the San Andreas fault experienced transient accelerated slip in response to the Parkfield stick slip event. *Johnson et al.* [Submitted] developed a rate-state friction model of afterslip constrained by the postseismic continuous GPS time-series.

The inversion was repeated using only the InSAR data and then only the GPS data and the results are shown in Figure 3.9. The separate inversions have limited

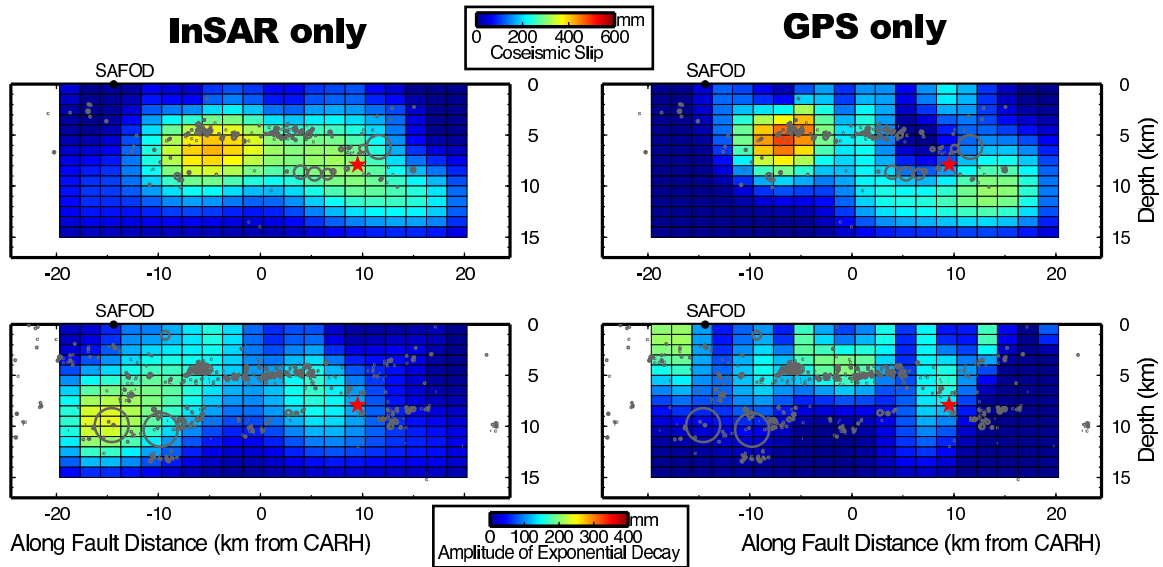


Figure 3.9. Comparison of inversion using only InSAR data (left) and only GPS data (right). Annotations are same as for those in Figure 3.6.

resolution power along different portions of the model where either GPS stations or coherent InSAR data are sparse. In the coseismic model, the pattern of slip in both single-datatype inversions is similar and suggests that the results found here are not overly sensitive to dataset weighting ( $\alpha$ ). However, for the postseismic slip models, the GPS data prefer more shallow slip than the InSAR data. Though both inversions resolve enhanced postseismic slip north of SAFOD, the inferred slip occurs at different depths; the GPS only inversion favors surface slip here, while the InSAR and the joint inversions do not. Figure 3.10 shows the results of reducing the smoothing weight by half and of doubling it. The main features of the coseismic slip pattern (the double

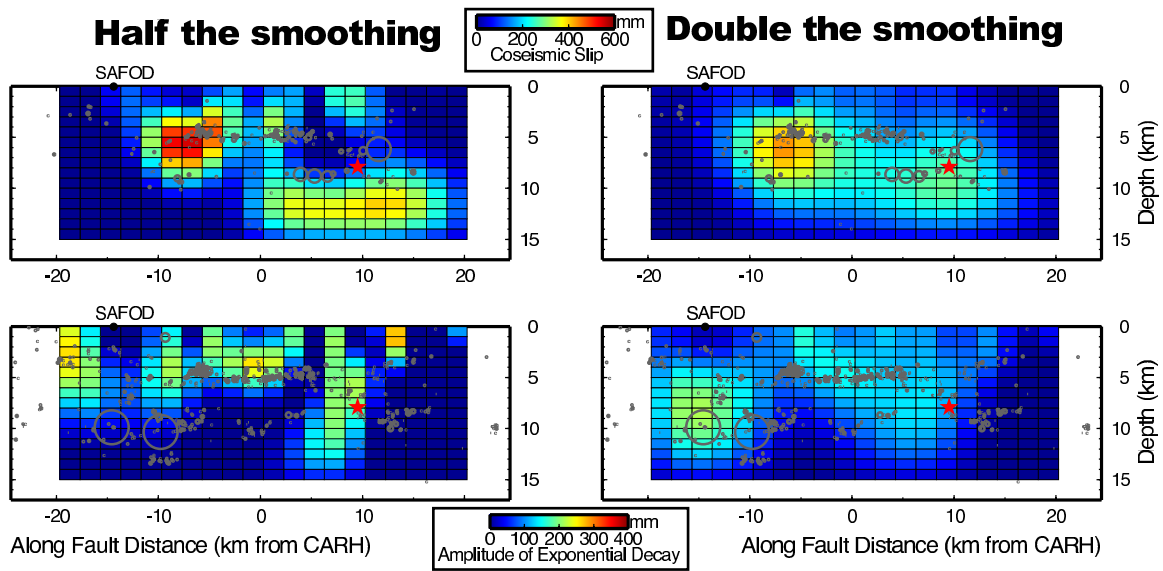


Figure 3.10. Comparison of inversion where smoothing factor has been reduced by half (left) and doubled (right). Annotations are same as for those in Figure 3.6.

asperity) are not changed in either result. The postseismic slip model appears to be quite sensitive to the smoothing factor. Several slip patches in the low smoothing models disappear in the high smoothing model and north of SAFOD the location of slip changes from near surface to below the surface. This change in depth of the northern slip patch as the smoothing is increased is similar to the difference between the GPS to InSAR only models. This indicates that as the smoothing is increased, the InSAR is favored more. This is probably because the GPS data are concentrated near

the fault’s surface trace, whereas the InSAR samples are more broadly distributed. As the smoothing smears out the slip asperities, deeper parts of the fault, which are most sensitive to the InSAR data, are required to slip.

### 3.4.1 Relationship to aftershocks

The coseismic model is plotted with aftershocks that occurred on September 28 from a catalog of double-difference relocated events [*Thurber et al.*, Submitted]. The postseismic slip model is plotted with relocated aftershocks from Sep. 29 through Nov. 17. *Langbein et al.* [2005] note that the relocated aftershocks occur in the same clusters and streaks as the pre-earthquake background seismicity, including a streak which is visible in the aftershocks at about 5 km depth. One interpretation of microseismicity streaks is that they occur at the boundaries of creeping and locked asperities of the fault surface [*Nadeau et al.*, 1995]. Thus, the streak of aftershocks at 5 km depth occurs near the top of Asperity B, and could be interpreted as weakly bounding the asperity. Furthermore, a smaller streak of aftershocks near the hypocenter at 9 km depth lies near the top of Asperity A. In the postseismic slip model, much of the shallow slip occurs in the fault region above the 5 km aftershock streak. That the streak forms a sort of dividing line between coseismic and postseismic slip suggests that it does indeed occur at the boundary of velocity-weakening and velocity-strengthening fault zone materials.

The area of enhanced postseismic slip to the northwest corresponds with the location of two  $M_w$ 5.0 aftershocks that occurred on September 29 and 30. Assuming a 3 MPa stress drop and circular rupture, these events contributed 13 cm of slip to the postseismic slip model. However, the model indicates as much as 26 cm of slip over a similar area and suggesting that aseismic slip of as much as 13 cm occurred near the aftershock hypocenters. Our model cannot address the relative timing of the



aftershocks and the surrounding aseismic slip and whether the earthquakes occurred in response to increased creep rates or if they unpinned the fault surface and allowed enhanced creep to take place.

### 3.4.2 Surface Slip

Creepmeter displacements for instruments on the SAF main trace are also plotted in Figure 3.6 for comparison. Negligible creep was recorded on SWFZ creepmeters XHSW and XRSW. Several of the USGS creepmeters went off-scale during or just after the Parkfield earthquake and had to be reset by hand. The total fault displacement while the instrument was off-scale was measured with a micrometer. We plot the displacements from before the earthquake to after the instrument was brought back on-scale with the coseismic slip model. Displacements from when the instruments were reset to Jan. 31, 2005 are plotted with the postseismic slip model. Early postseismic slip occurred rapidly in some areas, so we expect the coseismic creepmeter displacements to be an overestimate and the postseismic displacements to be an underestimate. Nonetheless, our model correctly captures the overall magnitude and some of the features of the surface slip distribution. According to the coseismic model, surface slip continued at low levels north of Middle Mountain up to near the San Andreas Fault Observatory at Depth (SAFOD). Surface slip in the coseismic model terminates at Gold Hill in the south (creepmeter XGH1), although postseismic surface slip continued for another  $\sim 6$  km. This extent of surface slip in the models is similar to field observations, where patches of ground breakage were observed just south of SAFOD and extended south until about 10 km south of Gold Hill [Langbein *et al.*, 2005].

### 3.4.3 Seismic vs. Aseismic Moment Release

The model yields a moment estimate of  $2.43 \times 10^{18}$  Nm ( $M_w$ 6.2) for the coseismic period and  $1.48 \times 10^{18}$  Nm ( $M_w$ 6.1) for the postseismic period. Because the postseismic slip model is derived from the amplitudes of the postseismic exponential decay, the postseismic moment magnitude is not associated with any particular time-span, but is an estimate of for the entire postseismic period. The total moment for both periods is  $3.91 \times 10^{18}$  Nm ( $M_w$ 6.3). The coseismic moment magnitude is larger than the seismic estimate of  $M_w$ 6.0 [Dreger *et al.*, 2005]. This could partly be due to aseismic slip from early in the postseismic period being included in our coseismic model. If the coseismic moment magnitude was  $M_w$ 6.0, as much as 70% of the slip in our 1-day model is aseismic; 55% if the seismic moment magnitude were  $M_w$ 6.1. That the Parkfield earthquake produced rapid postseismic slip with moment nearly equal to the coseismic rupture could be related to the Parkfield earthquake's delay from the original prediction and the extra moment deficit that was allowed to accumulate. Rapid and copious postseismic slip was also observed following the 1966 Parkfield event [Smith and Wyss, 1968] and for several subduction zone earthquakes [Bürgmann *et al.*, 2001; Heki *et al.*, 1997]. The profusion of postseismic, aseismic slip at these locations is almost certainly related to their transitional nature (including both locked and creeping fault areas) and the juxtaposition of velocity-strengthening and velocity-weakening fault materials.

In fact, geodetic estimates of combined coseismic and early postseismic moment release for the 1934 and 1966 Parkfield earthquake have consistently obtained estimates in the range of  $M_w$ 6.3-6.6 [Murray and Segall, 2002; Segall and Du, 1993; Segall and Harris, 1987; Murray and Langbein, Submitted]. The similarity of our observations and results for the 2004 Parkfield earthquake to those for the earlier events is evidence that these events are to some extent characteristic earthquakes. Segall and

*Du* [1993] also obtained an estimate for the geodetic moment magnitude of  $M_w6.4$  for the 1934 Parkfield earthquake. Large amounts of postseismic slip appear to be characteristic of the Parkfield area and underscore the need to explicitly consider aseismic slip in any time-predictable model of earthquake occurrence in transition zones.

### 3.5 Conclusions

We simultaneously inverted InSAR and GPS data for coseismic (event plus 1-day afterslip) and postseismic slip from the 2004 Parkfield earthquake. The model indicates that coseismic slip occurred as two asperities, with the larger being northwest of the hypocenter by 15 km. For the postseismic period, the model identifies a deep slip asperity near the location of two  $M_w5.0$  aftershocks. The slip model suggests that a streak of microseismicity at 5 km depth forms a dividing line between coseismic slip below and postseismic slip above. In general, postseismic slip is enhanced in the areas directly surrounding the coseismic rupture and most shallow slip occurred during the postseismic period. The model indicates that the rupture extended from  $\sim 6$  km south of Gold Hill to near SAFOD. We obtain an estimate of the moment magnitude of  $M_w6.2$  for the slip during 1 day including the coseismic rupture and  $M_w6.1$  for the subsequent postseismic period. The difference between our coseismic estimate and the seismic moment magnitude of  $M_w6.0$  implies that our model contains substantial early afterslip and that as much as 70% of the of the total moment release associated with the Parkfield earthquake occurred aseismically.

# Chapter 4

## Influence of stress change from the 2003 San Simeon earthquake on rupture during the 2004 Parkfield earthquake

### 4.1 Introduction

The 2004 Parkfield earthquake was the long-awaited fulfillment of the Parkfield Earthquake Prediction Experiment. A series of  $M \sim 6$  earthquakes with a recurrence interval of  $\sim 22$  years, prompted *Bakun and Lindh* [1985] to predict that the next  $M6$  earthquake would occur in 1988. The Parkfield segment became one of the best-instrumented fault segments in the United States and though the predicted earthquake occurred  $\sim 16$  years “late”, it promises to provide valuable insight into earthquake processes.

Not only did the past Parkfield earthquakes occur with quasi-regularity, but their

rupture patterns also shared several characteristics. Analysis of the seismograms from the 1922, 1934 and 1966 earthquakes indicate that they all had hypocenters near Middle Mountain (Figure 4.1) and propagated to the southeast along the San Andreas fault [Bakun and McEvilly, 1979, 1984]. Triangulation and trilateration

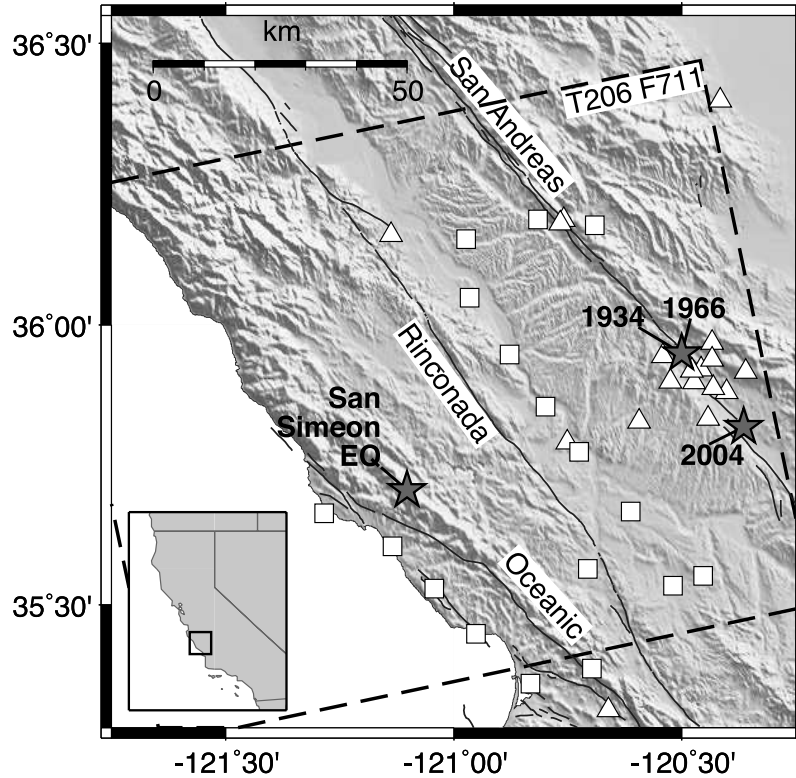


Figure 4.1. Locations of GPS stations used in this study. Triangles are continuous stations, squares are campaign stations. Grey stars are epicenters of 1934, 1966 and 2004 Parkfield earthquakes. Black star is epicenter of the San Simeon earthquake. Dashed line shows outline of InSAR scene.

surveys before and after the 1934 and 1966 earthquakes also indicate that the peak slip in all the prior events occurred  $\sim 10$  km south of their hypocenters [Segall and Du, 1993; Murray and Langbein, Submitted]. The Parkfield Prediction Experiment was thereby a test of the characteristic earthquake model, as well as a test of the time-predictable model. The 2004 Parkfield earthquake was similar to the past events in that the coseismic rupture had a moment magnitude near M6.0 and peak slip  $\sim 10$  km south of Middle Mountain [Johanson et al., Submitted; Murray and Langbein,

Submitted]. However, it differed from the characteristic pattern established by the 1922-1966 Parkfield earthquakes since its hypocenter was located south of Parkfield and rupture propagated northwest [Langbein *et al.*, 2005].

#### 4.1.1 The Parkfield Earthquake's Delay

Several hypotheses exist for why the Parkfield earthquake was delayed by 16 years relative to the original prediction. *Ben-Zion et al.* [1993] proposed that interactions between segments of the San Andreas fault and viscous relaxation of the lower crust and upper mantle since the 1857 Fort Tejon earthquake, modulate the loading rate on the Parkfield segment. The decay in the recurrence rate of the Parkfield earthquakes could then be due to the decaying effect of the Fort Tejon earthquake. In their modeling, the predicted date of the next Parkfield earthquake depended on the chosen viscosity parameters; however, estimates used in the study suggested the effect was to delay the occurrence of the Parkfield earthquake to 1992-1995  $\pm$  9-11 years.

A multi-year fault slip transient was detected by borehole strainmeters [Gwyther *et al.*, 1996], repeating earthquake frequency changes [Nadeau and McEvilly, 1999] and continuous GPS and EDM data [Murray and Segall, 2005]. It occurred from 1993-1996, a time period that saw an increase in the amount of microseismicity and four earthquakes with magnitudes 4.2, 4.6, 4.7 and 5.0 [Nadeau and McEvilly, 1999]. The transient slip event involved accelerated shallow slip (creep) near the hypocenters of the previous Parkfield earthquakes. It had an equivalent moment magnitude of M5.1-5.5 and reduced the total slip deficit on the Parkfield segment [Langbein *et al.*, 1999; Gao *et al.*, 2000; Murray and Segall, 2005]. Under a time-predictable earthquake recurrence model this would translate into a delay of the characteristic earthquake's occurrence. Moreover, the transient slip event and/or the accompanying earthquakes

may have released stress near the hypocenter (nucleation site) of the 1966 and 1934 Parkfield earthquakes [*Murray and Segall, 2005*].

Stress changes from the 1983 Coalinga-Nuñez thrust mechanism earthquakes also affected the Parkfield segment and decreased microseismicity rates along portions of the San Andreas fault. The areas of decreased microseismicity corresponded to areas where shear stress had been decreased and likewise areas where shear stresses were increased by  $\sim 0.5$  bars corresponded to increased micro-seismicity rates [*Toda and Stein, 2002*]. *Toda and Stein* [2002] calculated that the probability of a  $M \geq 6$  earthquake at Parkfield decreased by about 12% immediately after the Coalinga event, based on a decrease in the coulomb failure stress near the 1966 hypocenter (Figure 4.1). However, they also calculate, using rate-and-state friction formulations, that the influence of the Coalinga-derived stress changes decayed over the next eight years and that the probabilities returned to their unperturbed values by 1991.

If the Parkfield segment is sensitive enough to stress perturbations to be delayed by these previous events, then its occurrence nine months after the San Simeon earthquake could be more than coincidence. Preliminary estimates suggest Coulomb stress increased on the Parkfield segment by nearly 0.3 bars [*Langbein et al., 2005*]. Though small, stress changes of as little as 0.1 bars have been observed to correlate with the locations of aftershocks [*Stein, 1999; Harris, 1998*], and future main shock hypocenters [e.g. *Parsons and Dreger, 2000; Stein et al., 1997*].

Here, we use space geodetic data to develop a slip model for the 2003 San Simeon earthquake (SSEQ). We calculate the static stress changes along the San Andreas fault produced in both the coseismic and postseismic periods of the SSEQ and investigate whether they promoted the 2004 Parkfield earthquake (PKEQ), influenced the slip distribution and/or contributed to the change in hypocenter location from that of the previous Parkfield earthquakes.

### 4.1.2 The Role of the 12/22/2003 San Simeon Earthquake

The 9/28/2004 Parkfield earthquake occurred less than a year after the M6.5 San Simeon earthquake of 12/22/2003. The San Simeon earthquake was a thrust event in the Central California Coast Range ( $\sim 50$  km west of the Parkfield segment), which accommodated shortening normal to the plate-boundary direction [Hardebeck *et al.*, 2004]. The Berkeley Digital Seismic Network (BDSN) moment tensor solution resolves nodal planes striking  $290^\circ$  and  $131^\circ$  and dipping  $58^\circ$ NE and  $34^\circ$ SW respectively. Finite fault inversions show a slight preference for the steeply northeast-dipping plane [Ji *et al.*, 2004; Rolandone *et al.*, Submitted]. The northeast-dipping plane is also consistent with slip on a northeast-dipping Oceanic fault (Figure 4.1), although no surface rupture was reported to confirm this as the responsible structure [Hardebeck *et al.*, 2004]. Aftershocks occurred mostly within the apparent hanging-wall block and while they tend to encircle the rupture area on the northeast dipping plane, they also appear to delineate a conjugate structure near the San Simeon epicenter [Hauksson *et al.*, 2004].

Postseismic processes have also been implicated in earthquake triggering. Postseismic afterslip from the 1999 Izmit earthquake nearly doubled the input stress at the hypocenter of the Düzce earthquake, which occurred 87 days later [Hearn *et al.*, 2002]. Postseismic stress changes may also influence the rupture pattern of future earthquakes. Stress changes from postseismic relaxation following the 1992 Landers earthquake show a better correlation with the Hector Mine rupture area than those from coseismic slip [Freed and Jin, 2001]. The effect of stress changes from postseismic afterslip or viscous relaxation can also help explain the delay of months to years for some triggered earthquakes. The seven year separation between the 1992 Landers and the 1999 Hector Mine earthquakes has been explained both as the result



of rate-and-state friction [*Price and Bürgmann, 2002*] and as the effect of postseismic processes [*Freed and Jin, 2001; Pollitz and Sacks, 2002*].

For the Landers and Hector Mine earthquake pair, *Harris and Simpson [2002]* found that small changes in the Landers coseismic slip model affected whether stresses at the hypocenter of the Hector Mine earthquake were calculated to have increased or decreased. We therefore begin by performing a search for the optimal orientation of the rupture plane, as required by the data. Then several rupture scenarios for the SSEQ are explored, including slip on a bent plane, allowing a variable rake angle, and allowing for postseismic afterslip. We use our preferred slip models from this analysis to examine the static stress change fields and their impact on SSEQ aftershock occurrence and on the Parkfield segment.

## 4.2 Data

### 4.2.1 InSAR

We use SAR data from beam 2 of the European Space Agency’s Envisat satellite. Interferograms are formed from the difference in phase of a scattered radar pulse from satellite acquisitions on two separate occasions. The phase difference is proportional to the change in distance between the ground and the satellite between the two acquisition dates (range change) and thus provides a measure of ground deformation [see *Bürgmann et al., 2000*, for more detail].

Envisat made only one pre-earthquake acquisition over the San Simeon rupture area on 12/9/2003, only 13 days before the San Simeon earthquake. For the purposes of detecting ground deformation, the orbit paths of the two satellite fly-bys should be as close as possible. If the distance between the orbit paths (specifically the perpen-

dicular baseline) exceeds a certain value, which depends on the scattering properties of the ground cover, the interferogram will consist of random noise (decorrelation) [Bürgmann *et al.*, 2000]. While there are several post-earthquake acquisitions, including ones on 6/1/2004, 7/6/2004 and 8/10/2004, it is not until the acquisition on 9/14/2004 that the perpendicular baseline between the pre-seismic and post-seismic satellite orbits is short enough to make a useful interferogram (Figure 4.2). The

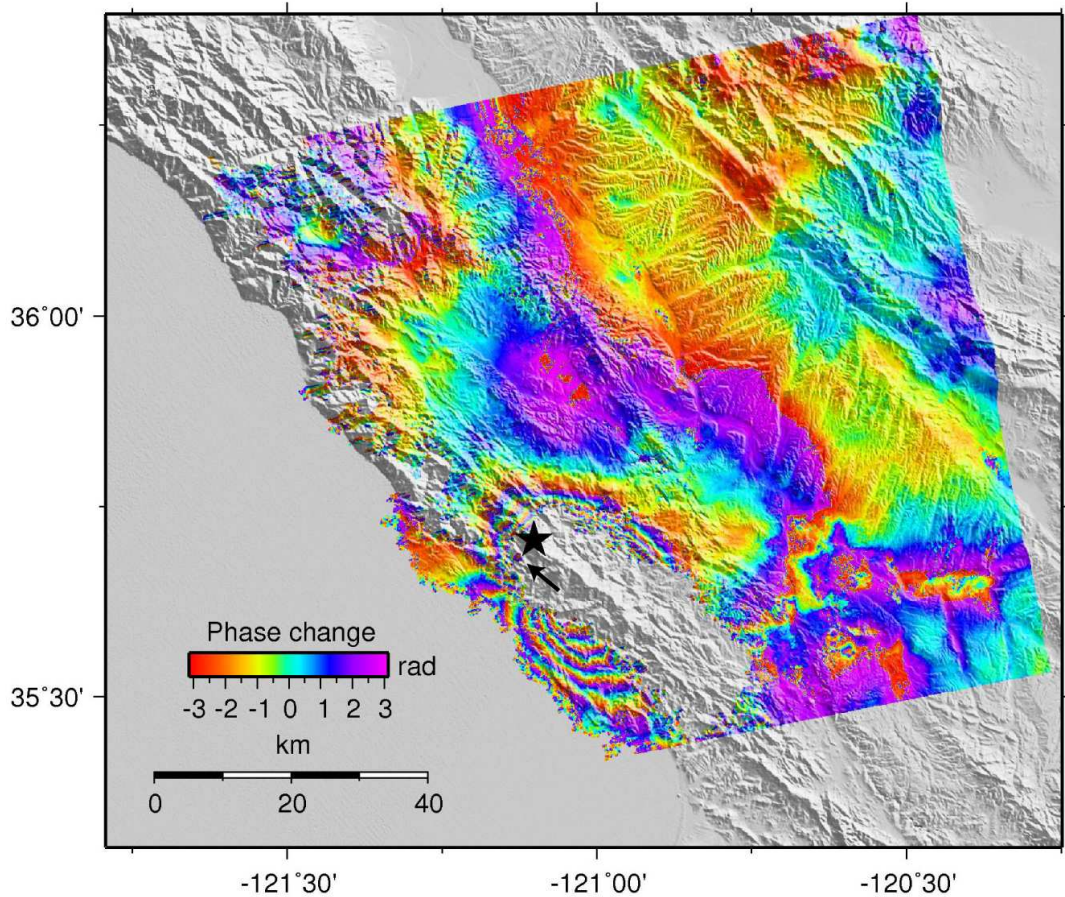


Figure 4.2. Wrapped interferogram spanning from 12/9/2003 to 9/14/2004. Black star is San Simeon EQ epicenter. Black arrow indicates orientation of converging fringes in the northwest.

9/14/2004 acquisition occurred just prior to the Parkfield earthquake of 9/28/2004, thus the interferogram contains both deformation from the San Simeon earthquake and nearly all postseismic deformation leading up to the Parkfield event. This inter-

ferogram is therefore not only well suited to investigate the slip characteristics of the San Simeon earthquake, but also any possible relationship between the San Simeon and Parkfield events.

The interferogram was processed using the ROLPAC software package, and unwrapped using a branch-cut method. The interferogram was sub-sampled to reduce the number of observation points to a manageable number and to mitigate the effect of correlated errors between nearby pixels either inherent to the interferogram or introduced by filtering. The interferogram was sub-sampled with three grid spacings (Figure 4.3). Within a  $30 \times 20$  km box around the approximate center of the rup-

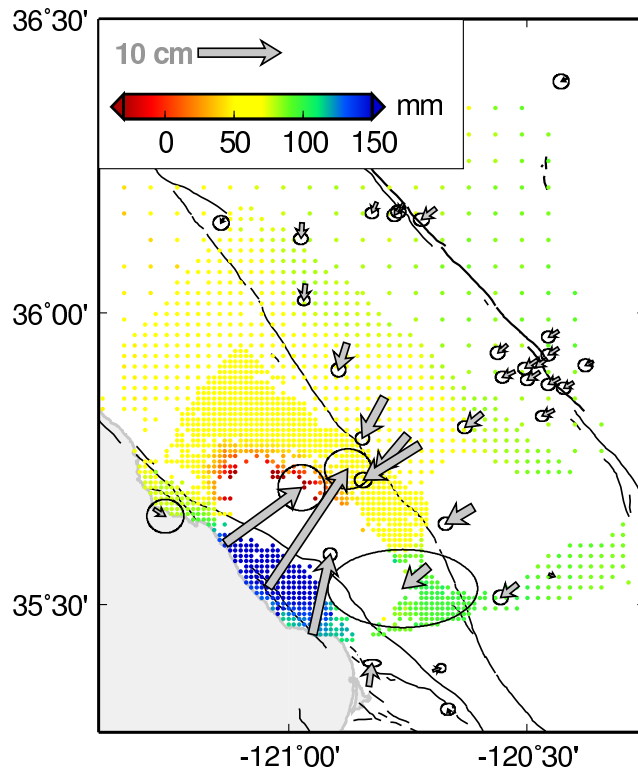


Figure 4.3. Data used in this study, including InSAR samples given in mm of range change and GPS displacements.

ture, the sample spacing is 1 km. Outside of this box and within a  $45 \times 40$  km area, the sample spacing is 2 km. Elsewhere in the interferogram, the sample spacing is 5 km. The three tiers of sample spacing have the effect of more heavily weighting

the near-field points, which contain more information about the earthquake rupture, than the far-field points.

#### 4.2.2 Global Positioning System (GPS)

We use the coseismic GPS displacements of *Rolandone et al.* [Submitted]. The set consists of 35 stations, including continuous stations in the SCIGN Parkfield Network, four continuous stations operated by the University of Wisconsin [*Titus et al.*, 2005] and campaign observations by the USGS, JPL and UC Berkeley (Figure 4.1). For the continuous stations, the coseismic displacements are the difference between the average of four days before and the average of the four days after the San Simeon earthquake. For the campaign stations, the pre-earthquake position was extrapolated using an interseismic velocity. The interseismic velocities were determined either by fitting a time-series of pre-earthquake observations, or from the SCEC Crustal Motion Map [<http://epicenter.usc.edu/cmm3/>]. When available, the post-earthquake positions for the campaign stations were also taken to be the average of the first four days after the earthquake. When not available, the post-event observations were corrected for postseismic motions and the post-earthquake position was estimated by extrapolating back to the time of the event using a postseismic afterslip model derived from six postseismic time series [<http://quake.wr.usgs.gov/research/deformation/gps/>]. The uncertainties in the GPS displacements reflect both the formal errors from the position processing as well as the uncertainties in interseismic velocities or postseismic correction, when used.

### 4.3 Model Geometry

We first solve for the best-fitting orientation of the rupture plane using a constrained non-linear search algorithm. The search algorithm adjusts the strike, dip, length, width and position of a single plane to find the geometry that minimizes the weighted residual sum of squares (WRSS) in a linear least-squares inversion for slip [Bürgmann *et al.*, 1997]. The slip inversion is formulated as,

$$\begin{bmatrix} \gamma \mathbf{G}_s & \mathbf{xy} \\ \mathbf{W}_g \mathbf{G}_g & \mathbf{0} \end{bmatrix}^{-1} \begin{bmatrix} \gamma \vec{\mathbf{d}}_s \\ \mathbf{W}_g \vec{\mathbf{d}}_g \end{bmatrix} = \begin{bmatrix} \vec{\mathbf{s}} \\ \vec{\mathbf{t}} \end{bmatrix} \quad (4.1)$$

where  $\vec{\mathbf{d}}_s$  is the vector of InSAR samples and  $\vec{\mathbf{d}}_g$  is the vector of GPS displacements.  $\mathbf{G}_s$  and  $\mathbf{G}_g$  are Green's functions for the InSAR and GPS data respectively, calculated using Okada's equations for displacements at the surface due to slip on a buried dislocation in an elastic half space [Okada, 1985].  $\gamma$  is the weighting factor between the InSAR and GPS data and was chosen such that  $|\gamma \vec{\mathbf{d}}_s| = |\mathbf{W}_g \vec{\mathbf{d}}_g|$ . The effect of  $\gamma$  is to scale the uncertainties in the InSAR data, which are assumed to be independent. The values used here correspond to assigning uncertainties of  $\sim 6$  cm to the InSAR data (two fringes), however this number is intended to also account for the larger number of data points in the InSAR dataset. The InSAR data are not internally weighted, however the GPS data are weighted by  $\mathbf{W}_g$ .  $\mathbf{W}_g$  is chosen such that  $\mathbf{W}_g^T \mathbf{W}_g = \chi_g^{-1}$ , where  $\chi_g$  is the GPS data covariance matrix.  $\mathbf{xy}$  are the Green's functions relating the interferogram samples to an offset and gradient across the interferogram ( $\vec{\mathbf{t}}$ ). A gradient is generally included as a model parameter for inversions of InSAR data to compensate for possible errors in satellite orbit parameters.

The misfit statistic, WRSS is given by,

$$WRSS = \sum_n \gamma (d_{ns} - m_{ns})^2 + \sum_k W_{kg} (d_{kg} - m_{kg})^2 \quad (4.2)$$

where  $d_{ns}$  and  $d_{kg}$  are elements of the InSAR and GPS data vectors respectively and

Table 4.1. Estimated fault geometry parameters. Dashes indicate parameter was not estimated in search process, but constrained to that of the starting geometry.  $M_0$  calculated with rigidity of  $3 \times 10^{10} \text{N/m}^2$

Model Geometry	Strike	Dip	Rake	Length (km)	Width (km)	Top, Center point			$M_0$ ( $\text{Nm} \times 10^{18}$ )
						Lat.	Lon.	Depth	
Starting	250°	58°	90°	20	20	35.62	-121.00	0 (km)	
“GPS-only”	237°	48°	-	22.9	[5]	35.61	-120.97	2.5	8.69
“InSAR-only”	239°	51°	-	23.8	9.6	35.61	-120.99	2.0	10.2
“Joint”	237°	51°	-	24.2	8.2	35.61	-120.98	2.5	10.1
“Rake”	243°	53°	80°	23.4	5.7	35.62	-120.97	3.4	9.94

$m_{ns}$  and  $m_{kg}$  are the modeled InSAR and GPS data.  $\gamma$  is the same as in Equation 4.1, while  $W_{kg}$  is  $k$ th element of a vector composed of the sums of the columns of  $\mathbf{W}_g$ .

The best-fitting geometry search was repeated for the InSAR and GPS data individually and also together, to investigate the consequences of using data with different time-spans. Differences between their optimal planes highlight the different sensitivities of the two datasets and the effect of shallow postseismic slip on the InSAR-derived model. We also performed a search for the best-fitting geometry allowing a variable rake angle. In each case, the starting plane was based on the northeast dipping nodal plane from the BDSN moment tensor with strike of 290° and dip of 58° and was chosen such that the plane intersected the hypocenter at latitude 35.702, longitude -121.108 and 8 km depth. The results from each scenario are shown in Table 4.1.

### 4.3.1 Single Data-type Geometry Estimates

The GPS data (“GPS-only” plane) and the InSAR data (“InSAR-only” plane) both prefer rupture planes with similar strike and dip (Table 4.1). Both also prefer a plane that is elongated along strike, and does not reach the surface. The InSAR data, however, prefers a plane 9.6 km wide down dip, while the search algorithm is constrained by the lower bound of 5 km for the width of the plane when the GPS data

Table 4.2. Weighted residual sum of squares and their improvement from the starting to the best-fitting fault plane geometry. Residuals listed are unscaled (see Section 4.3.2).

WRSS	“GPS-only”	“InSAR-only”	“Joint”	“Rake”
<b>GPS</b>				
starting	985	-	1018	487
ending	191	-	216	195
<b>InSAR</b>				
starting	-	202	405	576
ending	-	52	56	52

is used. This may indicate that postseismic slip (which would be evident from the InSAR data) occurred deeper on the fault than the coseismic rupture. Overall, the geometry estimates using the individual data types seem to suggest that the InSAR and GPS data are compatible with a single model geometry despite spanning different amounts of time.

### 4.3.2 Joint Geometry Estimates

We also performed a search for the best-fitting fault plane to both the InSAR and GPS data simultaneously. To ensure that improvements in WRSS for each datatype influenced the final geometry equally, the residuals to each datatype were weighted relative to each other. The weighting factor was chosen so that each datatype’s residuals to the starting geometry were equal. This step is important when the algorithm must “split the difference” between fitting the GPS and the InSAR data.

Figure 4.4 shows the location of the best-fitting fault plane for the joint estimation (referred to as the “Joint” plane). The “Joint” plane has the same strike as the “GPS-only” plane and the same dip as the “InSAR-only” plane. Table 4.2 lists the WRSS for the starting and ending geometries. The WRSS for the “Joint” geometry is only

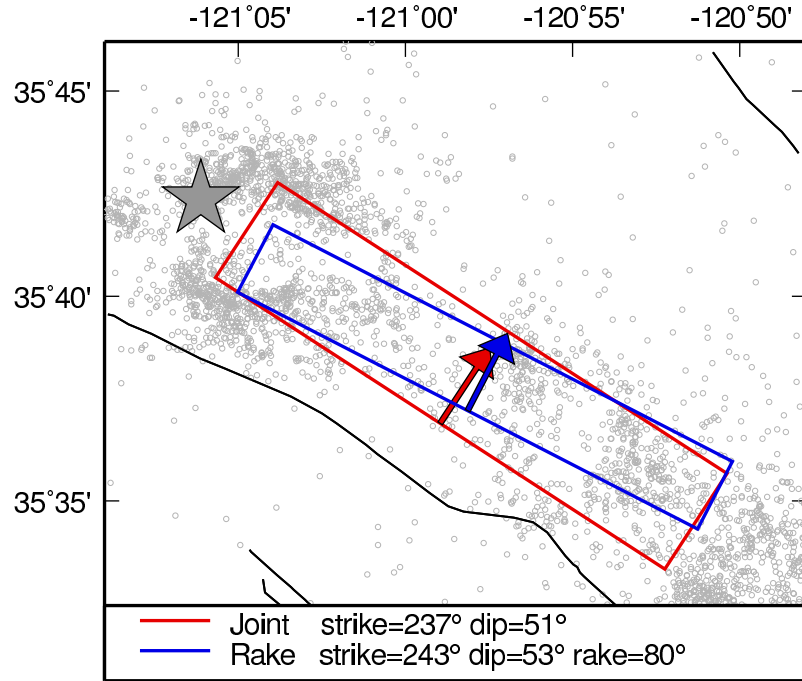


Figure 4.4. Locations of best-fitting fault planes for joint inversion allowing dip-slip only (“Joint”) and allowing left-lateral slip (“Rake”). Arrows indicate dip direction.

10-15% higher than the individual datatype geometries, suggesting that it is a good fit to both the InSAR and GPS data.

For the three scenarios described so far, the rake angle was fixed to 90°, that is, we solved only for dip-slip. We also investigated the effect on the rupture orientation of allowing a variable rake angle (“Rake” plane). The resulting model geometry was able to fit the data nearly as well as the individual datatype planes (Table 4.2). The largest differences between this and the other best-fitting planes are its more easterly strike and deeper position. The rake angle of the “Rake” plane is 80°, which indicates a small amount of left-lateral strike-slip.

Figure 4.4 shows that the “Rake” plane strikes more easterly than the “Joint” plane. The difference in strike is notable because it roughly corresponds to a local change in strike of the mapped surface trace of the Oceanic fault. The strike of the “Rake” fault plane is sub-parallel to the strike of the Oceanic fault where it enters



a bend. Since no surface rupture was found following the San Simeon earthquake [Hardebeck *et al.*, 2004], the strike of the coseismic rupture plane cannot be confirmed from field reports. However the interferogram we use in this study, while it is mostly decorrelated near the surface projection of the best-fitting planes, exhibits converging fringes to the north. The converging fringes suggest a rupture strike of  $130^\circ$ , more northerly than all of the results here, but consistent with the overall strike of the Oceanic fault (black arrow in Figure 4.2).

## 4.4 Distributed Slip Inversions

To provide a rigorous estimate of the effect of the San Simeon earthquake on the Parkfield segment of the San Andreas fault, we seek a concise, but complete description of slip during the San Simeon earthquake. We performed several inversions to determine whether 1) Significant amounts of oblique-slip occurred 2) There was appreciable postseismic afterslip 3) Coseismic rupture occurred on a bent rupture plane. The F-test was used to evaluate whether consideration of these three features provides a significant improvement in the WRSS misfit.

We use the “Joint” plane for dip-slip only inversions and the “Rake” plane for inversions including variable rake. Both were extended to 40 km length and 20 km width, projected to intersect the surface and subdivided into  $20 \times 10, 2 \times 2$  km elements. The inversion formulation is similar to Equation 4.1, but with the addition of Laplacian smoothing ( $\nabla^2$ ).

$$\begin{bmatrix} \gamma \mathbf{G}_s & \mathbf{xy} \\ \mathbf{W}_g \mathbf{G}_g & \mathbf{0} \\ \beta \nabla^2 & \mathbf{0} \end{bmatrix}^{-1} \begin{bmatrix} \gamma \vec{\mathbf{d}}_s \\ \mathbf{W}_g \vec{\mathbf{d}}_g \\ \mathbf{0} \end{bmatrix} = \begin{bmatrix} \vec{\mathbf{s}} \\ \vec{\mathbf{t}} \end{bmatrix} \quad (4.3)$$

The smoothing weight ( $\beta$ ) was chosen by examining a trade-off curve of misfit vs. model roughness (Figure 4.5) for a joint inversion on the “Joint” plane and selecting a value that provides a smooth model with minimal increase in misfit. The smoothing

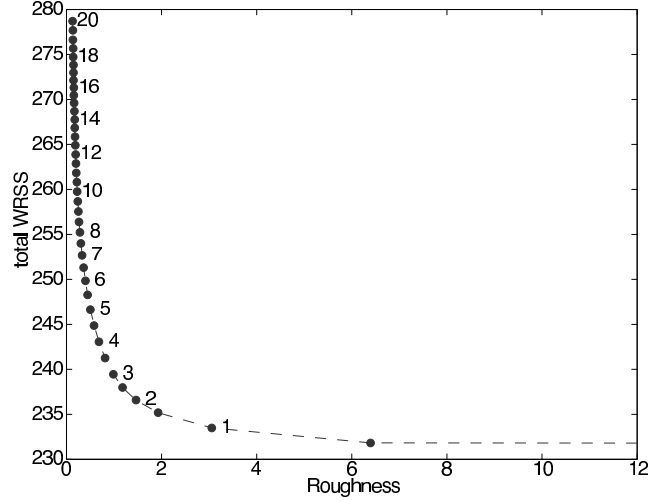


Figure 4.5. Trade-off curve of model roughness versus misfit for the dip-slip only “Joint” model. Data labels are the corresponding values of the smoothing weight ( $\beta$ ).  $\beta=3$  was chosen as the optimal smoothing for this model,  $\beta$  for the other models was varied according to the relative weights of smoothing and the Green’s function kernel as described in Section 4.4.

weight for all other model geometries was chosen to match the ratio of smoothing to data in the Green’s function kernel. That is,  $|\beta\nabla^2|/|\gamma\mathbf{G}_s + \mathbf{W}_g\mathbf{G}_g|$ , is kept constant for all geometries and held to the value from the dip-slip only joint inversion with  $\beta = 3$ . We constrain the dip-slip motion to be thrust-sense in all models (positivity constraint) using a bounded-value least-square algorithm [Stark and Parker, 1995]. We call those elements whose slip value does not hit the boundary (is not zero) active elements.

The F-test compares two models and provides a confidence level  $(1-\alpha)$  for whether the improvement in misfit for a more complex model justifies the extra model parameters [Stein and Gordon, 1984].  $\alpha$  is the area under the F-distribution curve at  $F_{calc}$

where,

$$F_{calc} = \frac{(WRSS_1 - WRSS_2) / (DOF_1 - DOF_2)}{WRSS_2 / (DOF_2)} \quad (4.4)$$

$DOF$  is the number of degrees of freedom in the model, given by  $N_{data} - N_m$ , where  $N_{data}$  is the number of data points and  $N_m$  is the number of active elements. The F-distribution curve used to determine  $\alpha$  is constructed using the number of degrees of freedom for the numerator and denominator of Equation 4.4, which in this case is  $DOF_1 - DOF_2$  and  $DOF_2$  respectively.

#### 4.4.1 Dip-slip only inversion

We performed inversions for distributed dip-slip on the “Joint” plane using Equation 4.3, for the InSAR and GPS data separately and jointly (Figure 4.6). The three slip models in Figure 4.6 are similar in that they all have a single and elongated slip asperity 10-20 km southeast of the hypocenter. The InSAR data prefers a peak slip more to the north than the GPS data. Because the InSAR is more sensitive to vertical motion than horizontal and the GPS offsets used here are only horizontal; differences in the inverted slip patterns may indicate a changing rake angle. The residuals to the joint inversion for the GPS data (Figure 4.7) show that several sites to the southeast of the rupture are under-predicted, suggesting that dip-slip only during the earthquake does not adequately describe the motions of these stations. The residuals to the InSAR data, however, do not exhibit an obvious pattern in this area. The InSAR data also prefers slightly more slip near the earthquake’s hypocenter, which may be an indication of postseismic slip in that area.

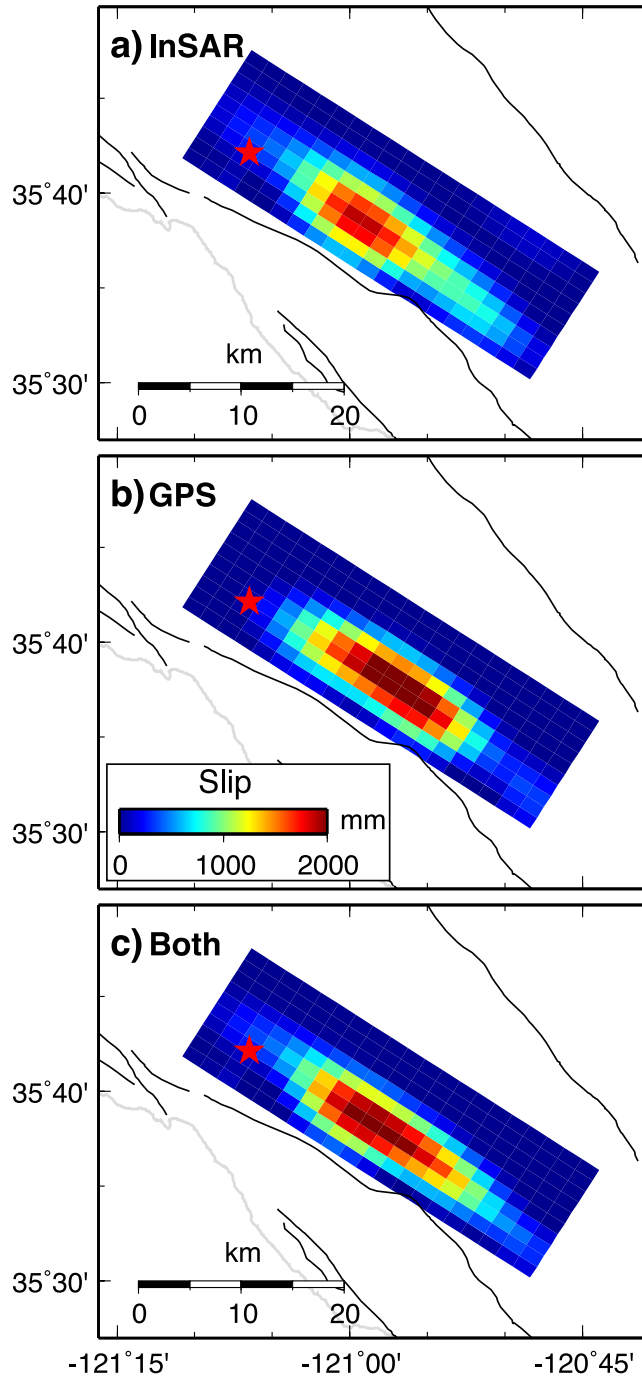


Figure 4.6. Results of inversions on “Joint” plane a) using InSAR data only b) using GPS data only c) using both datatypes. Red star is earthquake epicenter.

#### 4.4.2 Inversion Including Variable Rake

Inversions allowing a variable rake angle were also performed for each datatype, and for both together, using the “Rake” plane. In inversions where both right-lateral

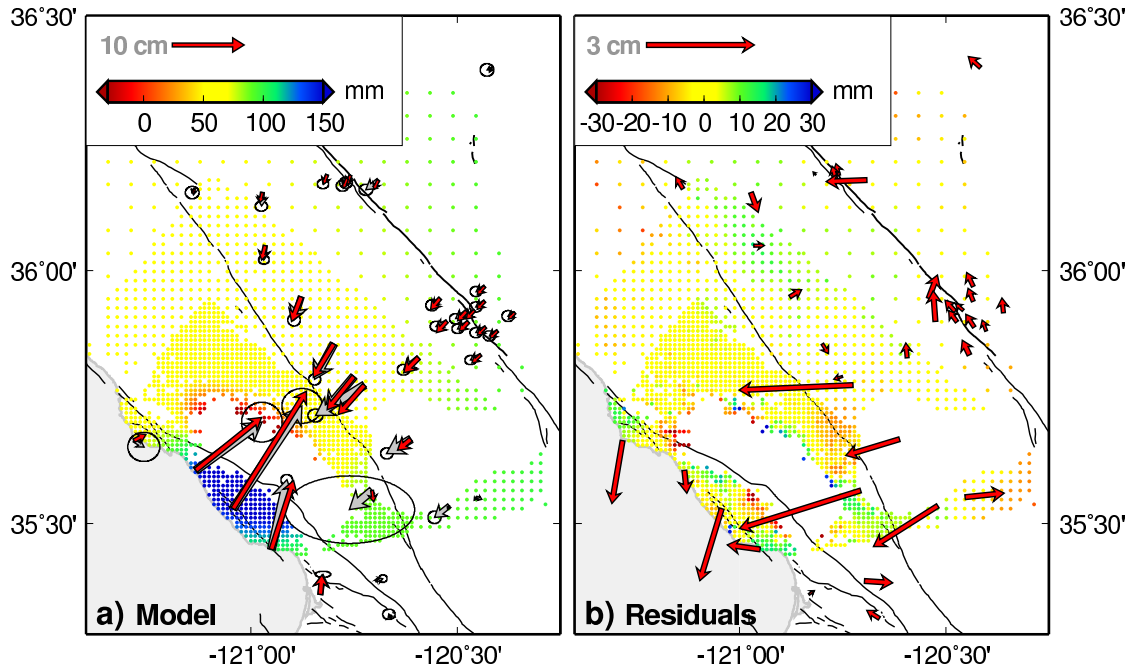


Figure 4.7. a) Model fits for inversion of both datatypes on extended “Joint” plane. Grey arrows are coseismic GPS observations with  $1\sigma$  error ellipses. Red arrows are modeled GPS displacements. b) Model residuals (data-model). InSAR samples are in mm of range change.

and left-lateral strike-slip were allowed, the model contained alternating patches slipping in opposite directions. Such sharp changes in rake angle are not physically likely and so we constrain the variable rake angle inversions to allow only left-lateral strike-slip. Figure 4.8 shows the results of this inversion and Figure 4.9 shows the model fit and residuals for the joint inversion. Both the GPS and InSAR data are improved at the 100% confidence level, as given by the F-test (Table 4.3). To test whether the improvement was based in part on the difference between the strike and dip of the “Rake” and “Joint” planes, we also performed an inversion allowing variable rake on the “Joint” plane (“Joint w/ Rake” in Table 4.3). The “Joint” plane does not provide as good a fit as the “Rake” plane, which indicates that it is the inclusion of left-lateral strike-slip, and not just the change in geometry, that is responsible for the improvement in fit. The residuals at four GPS stations that showed a consistent pattern in the dip-slip only inversion are smaller for the variable rake inversion (Fig-

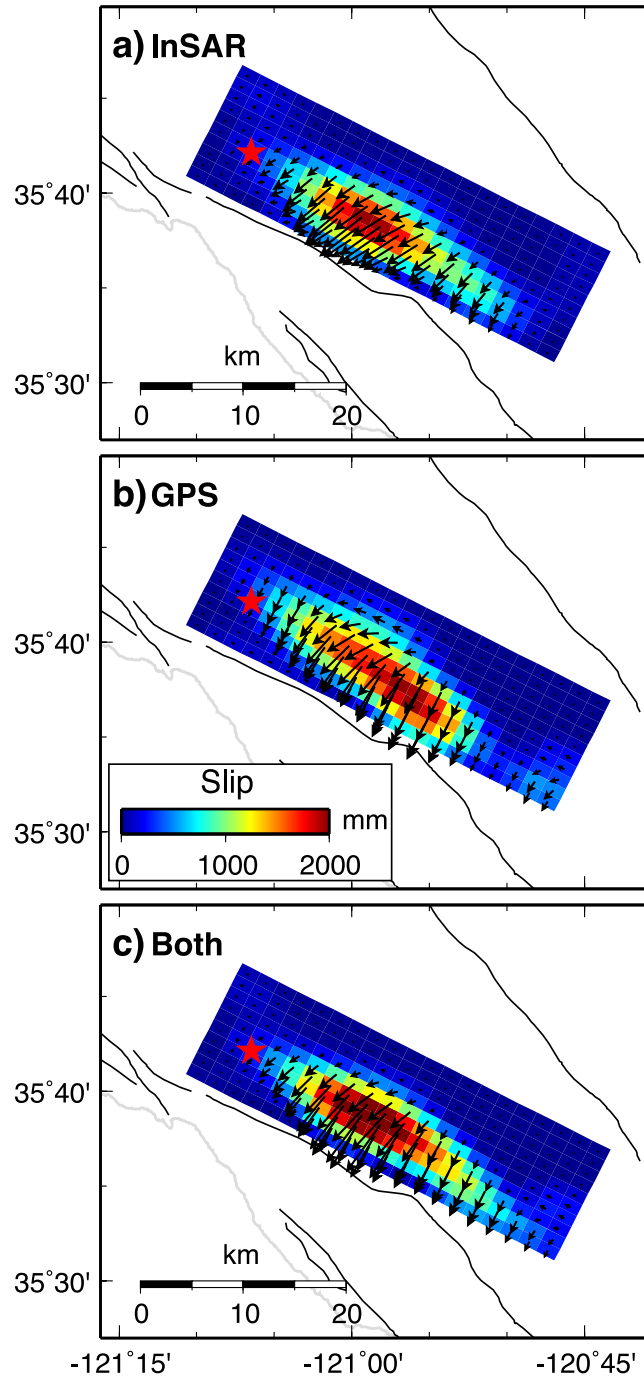


Figure 4.8. Results of inversions with variable rake angle on extended “Rake” plane. Arrows represent movement of hanging wall block relative to footwall, a) using InSAR data only b) using GPS data only c) using both datatypes. Red star is earthquake epicenter.

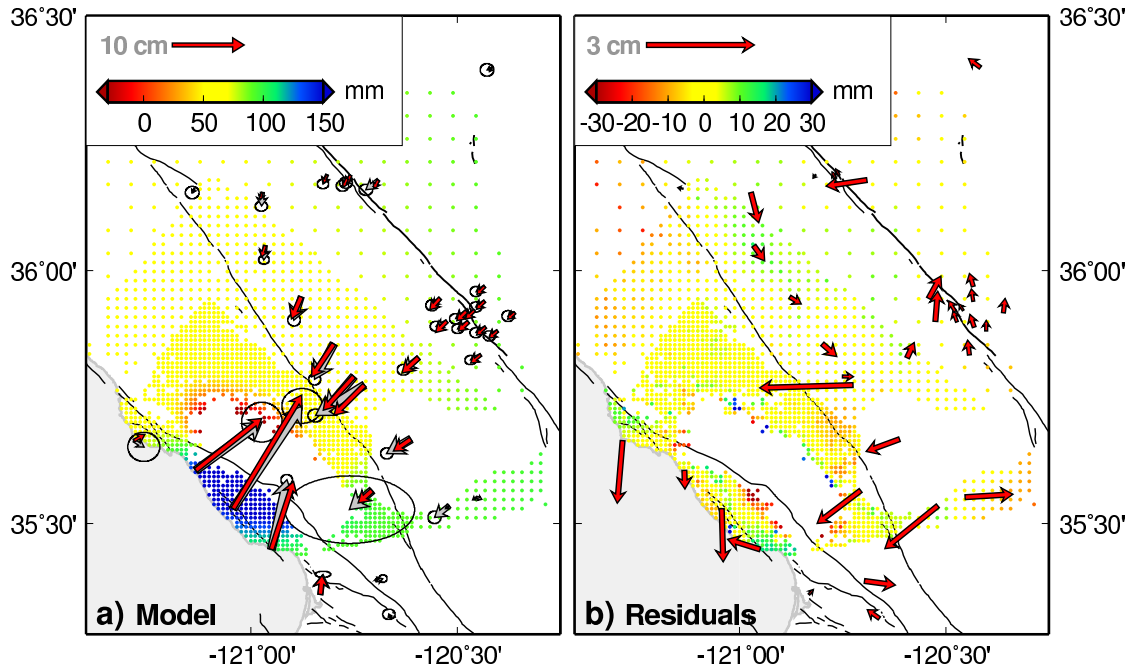


Figure 4.9. a) Model fits for inversion of both datatypes with variable rake angle on “Rake” plane. Grey arrows are coseismic GPS observations with  $1\sigma$  error ellipses. Red arrows are modeled GPS displacements. b) Model residuals (data-model). InSAR samples are in mm of range change.

ure 4.9), but the pattern is still present. Other stations near the four questionable sites have smaller residuals, suggesting that the problem might be with the individual surveys.

#### 4.4.3 Inversion Including Postseismic Slip

As noted previously, the InSAR signal includes any postseismic deformation that occurred up to 9 months after the earthquake. Accelerated postseismic deformation commonly occurs as a result of viscous relaxation [e.g. *Thatcher, 1983; Kirby and Kronenberg, 1987*] and/or continued slip on or below the coseismic rupture plane (afterslip) [e.g. *Shen et al., 1994; Bürgmann et al., 1997*]. Shallow afterslip can occur rapidly and involve nearly as much slip as the coseismic rupture. *Heki et al. [1997]* and *Melbourne et al. [2002]* found shallow postseismic slip at amounts of 25-100% of

Table 4.3. Summary of data fit to distributed slip models. WRSS, weighted residual sum of squares. DOF, degrees of freedom.  $1 - \alpha$  is the confidence level corresponding to  $F_{calc}$  (Equation 4.4). c and p refer to coseismic and postseismic moments and moment magnitudes. Postseismic moments and moment magnitudes do not include deep afterslip.

Model Geometry	WRSS			DOF	$1 - \alpha$		$M_0$ (Nm $\times 10^{18}$ )	$M_w$
	GPS	InSAR	Total		InSAR	Total		
<b>“Joint” plane</b>								
GPS only	208	-	-	N/A	-	-	9.72	6.66
InSAR only	-	26.7	-	1669	-	-	9.43	6.65
Both (*)	212	27.4	239	1739	-	-	9.88	6.66
“Joint w/ Rake”	195	25.6	220	1680	100%*	100%*	9.97	6.67
<b>“Rake” plane</b>								
GPS only	184	-	-	N/A	-	-	10.5	6.68
InSAR only	-	21.2	-	1523	-	-	8.94	6.63
Both (†)	188	24.9	213	1657	100%*	100%*	9.99	6.66
<b>Postseismic Slip</b>								
“Dip+Dip” (§)	c 210	33.3	243	1691	-	-	c 9.71	6.66
	p 15.5						p 1.50	6.12
“Rake+Rake”	c 188	32.4	232	1613	0.1%§	100%§	c 10.1	6.67
	p 11.7						p 1.44	6.11
<b>Bent Plane</b>								
Dip-slip only	227	40	267	1716	-	-	10.2	6.67
Variable Rake	167	33	200	1535	-	6%†	10.6	6.68

\* F-test relative to “Joint” plane with Both datatypes (\*)

§ F-test relative to dip-slip only postseismic model (§)

† F-test relative to variable rake single plane model (†)

the coseismic slip in subduction zone earthquakes. The Northridge and Loma Prieta earthquakes, both thrust mechanism events in California, included postseismic moment releases of 22% and 7%, respectively, of the coseismic [Donnellan and Lyzenga, 1998; Segall et al., 2000]. The 2004 Parkfield earthquake was followed by shallow postseismic slip of magnitude nearly equal to the coseismic [Langbein and Murray, Submitted; Johanson et al., Submitted]. For the other inversion scenarios presented here, it is assumed that the amount of afterslip is small and that a single rupture



model, mixing the GPS and InSAR data, is adequate. Here, we explicitly solve for postseismic slip by modifying the Green's function to be similar to that of *Johanson et al.* [Submitted] and including postseismic displacements for six GPS stations. We then use the F-test to evaluate whether the modification significantly improves the fit to the data.

### Postseismic GPS data

For the postseismic models we include displacements at four USGS campaign and three SCIGN continuous stations (Figure 4.10). The campaign data was collected

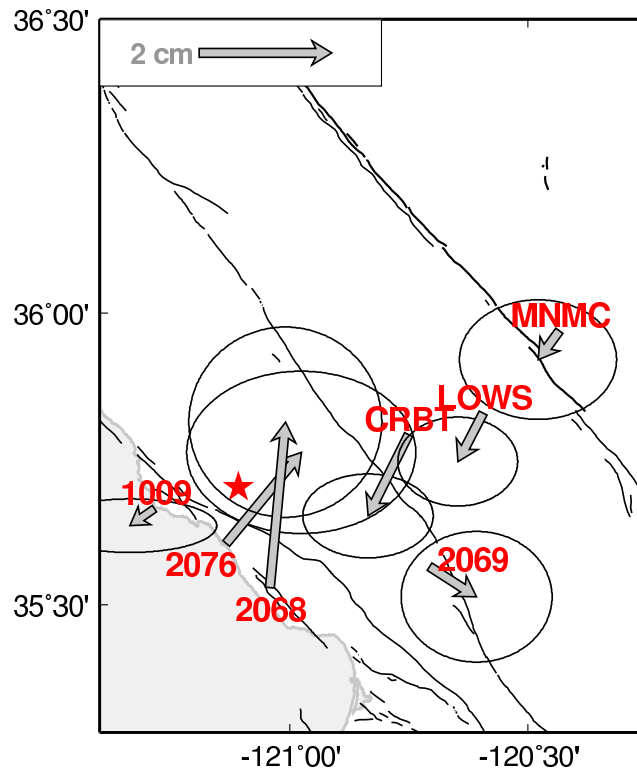


Figure 4.10. Estimated postseismic displacements following Section 4.4.3, shown with 1 sigma error ellipses. Red star is earthquake epicenter.

quasi-continuously by the USGS beginning the day after the San Simeon earthquake for 36 days, with subsequent campaigns in February, March, July and October of 2004. The campaign and continuous data was processed by the USGS as part of

its Cambria network [<http://quake.wr.usgs.gov/research/deformation/gps/>, *Savage et al.*, 2005]. The time series' of daily positions were detrended using interseismic station velocities estimated from the model of *Rolandone et al.* [2004]. To be consistent with the coseismic displacements of *Rolandone et al.* [Submitted], we calculate the post-earthquake position to be the average position of the stations over the first four days after the SSEQ. The postseismic displacements are the difference between the post-earthquake position and the average position of each station between July, 2004 and the occurrence of the Parkfield earthquake on 9/28/2004. The accelerated postseismic displacements decay rapidly, and are mostly finished by July, 2004 [*Savage et al.*, 2005]. The uncertainties in the postseismic displacements are estimated from the variances of the beginning and ending mean positions.

### Postseismic inverse formulation

The postseismic model geometry was constructed to allow two types of postseismic afterslip: uniform deep afterslip on a down-dip extension of the coseismic plane and distributed shallow postseismic slip on a plane coincident with the coseismic rupture plane. Equation 4.3 was modified to include postseismic data and postseismic model parameters, such that,

$$\begin{bmatrix} \gamma \mathbf{G}_s & \gamma \mathbf{G}_p & \mathbf{xy} \\ \mathbf{W}_{gc} \mathbf{G}_{gc} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & \mathbf{W}_{gp} \mathbf{G}_{gp} & \mathbf{0} \\ \beta \nabla^2 & \mathbf{0} & \mathbf{0} \end{bmatrix}^{-1} \begin{bmatrix} \gamma \vec{\mathbf{d}}_s \\ \mathbf{W}_{gc} \vec{\mathbf{d}}_{gc} \\ \mathbf{W}_{gp} \vec{\mathbf{d}}_{gp} \\ \mathbf{0} \end{bmatrix} = \begin{bmatrix} \vec{\mathbf{s}}_c \\ \vec{\mathbf{s}}_p \\ \vec{\mathbf{t}} \end{bmatrix} \quad (4.5)$$

where the subscripts c and p refer to the coseismic and postseismic periods for the GPS data, Green's functions and slip vectors. Other symbols are the same as for Equation 4.3. Although  $\vec{\mathbf{s}}_p$  is called the postseismic slip vector, we expect  $\vec{\mathbf{s}}_c$  to also include some postseismic slip. This expectation is because the coseismic GPS displacements

are based on the stations’ average position during the first four days following the earthquake. Any postseismic displacement during those four days would affect the coseismic estimate. Also because of the sparse spatial coverage of the GPS data relative to the coverage of the InSAR data, model fault areas with a weak sensitivity to the GPS data will likely contain some postseismic slip (if it occurred) in  $\vec{s}_c$ . It is therefore the total slip ( $\vec{s}_c + \vec{s}_p = \vec{s}_T$ ) that is best constrained.

### Postseismic inversion results

We consider first an inversion constrained to dip-slip only in both the coseismic and postseismic parameters (“Dip+Dip”). The coseismic model (Figure 4.11a) is not changed much from that of the “Joint” model (Figure 4.6c). The postseismic model consists of two small patches of shallow afterslip, one near the area of coseismic peak slip and the other to the south. The moment release for the postseismic period is  $1.5 \times 10^{18}$  Nm ( $M_w$  6.1), about 15% of the coseismic moment release.

We also perform an inversion allowing variable rake angle in the coseismic and postseismic periods (“Rake+Rake”), shown in Figure 4.12. Again, the coseismic slip model is very similar to the single plane “Rake” model in Figure 4.8. Although left-lateral strike-slip was allowed, the postseismic slip model resolves nearly pure dip-slip, in the same two locations as the “Dip+Dip” model and with similar moment ( $1.44 \times 10^{18}$  Nm). Nonetheless, the fit to the postseismic GPS data is improved by nearly 20%. The InSAR data are not as well fit by the postseismic models. The residuals to the “Rake+Rake” model (Figure 4.13 & 4.14) show an area near the bend in the Oceanic fault where the range change is over-predicted. This area of misfit to the InSAR data appears to be produced, at least in part, by the slip in the southern portion of the postseismic model.

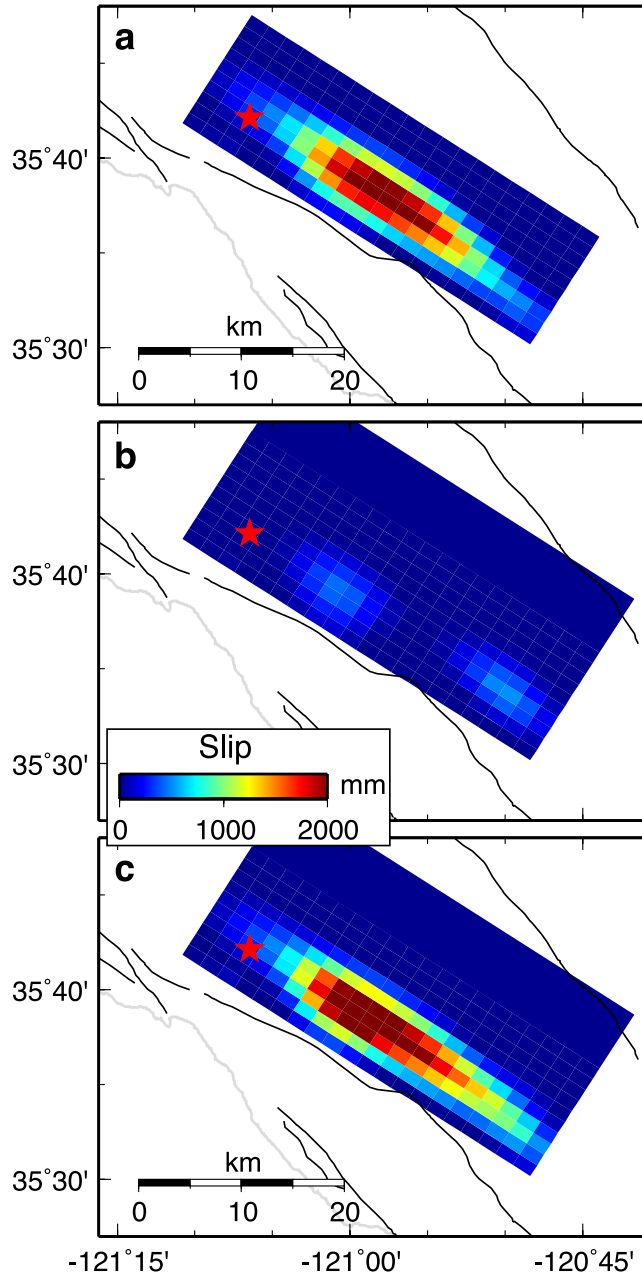


Figure 4.11. Results of inversion following Equation 4.5. a) coseismic slip b) post-seismic slip c) sum of coseismic and postseismic. Red star is earthquake epicenter.

#### 4.4.4 Inversion Including Fault-plane Bend

We include an inversion using a model geometry with a bend in the fault plane matching the bend in the surface trace of the Oceanic Fault (“Bent” model). The position and strikes of the model geometry were chosen to follow the Oceanic Fault’s

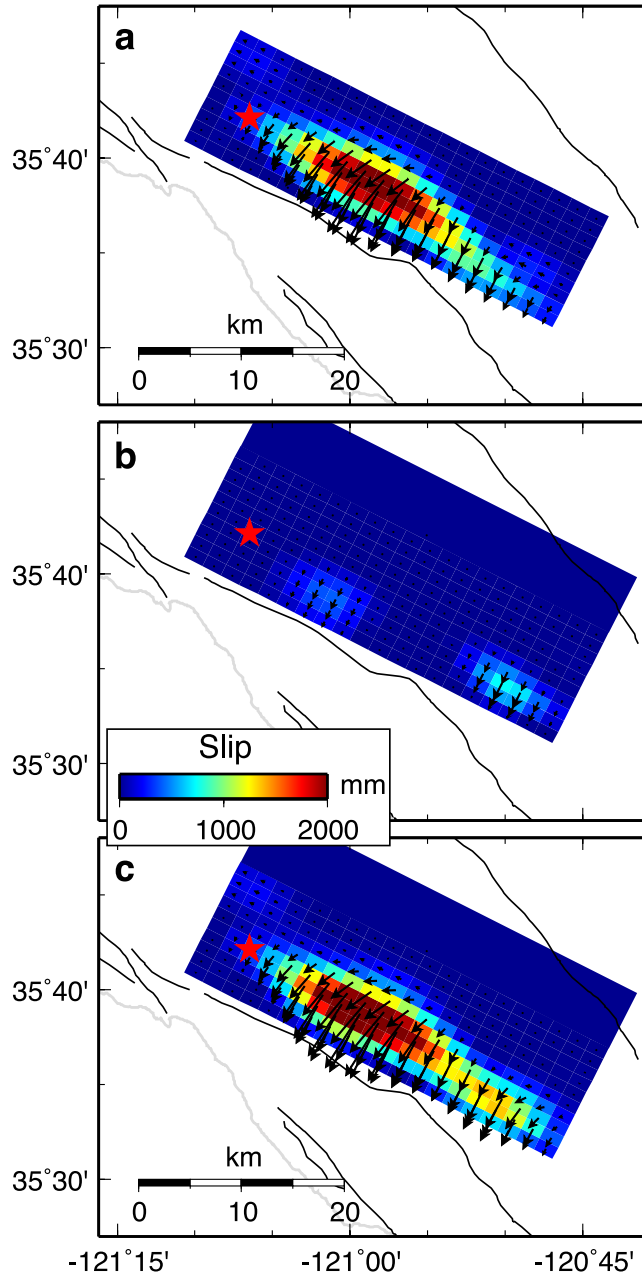


Figure 4.12. Results of inversion following Equation 4.5 and allowing a variable rake angle. Arrows represent movement of hanging wall block relative to footwall. a) coseismic slip b) postseismic slip c) sum of coseismic and postseismic. Red star is earthquake epicenter.

surface trace. A meshing software package, Gmsh, was used to create a surface with no tears or overlaps and the Green's functions for triangular dislocations were computed using the boundary element code Poly3D [Thomas, 1993].

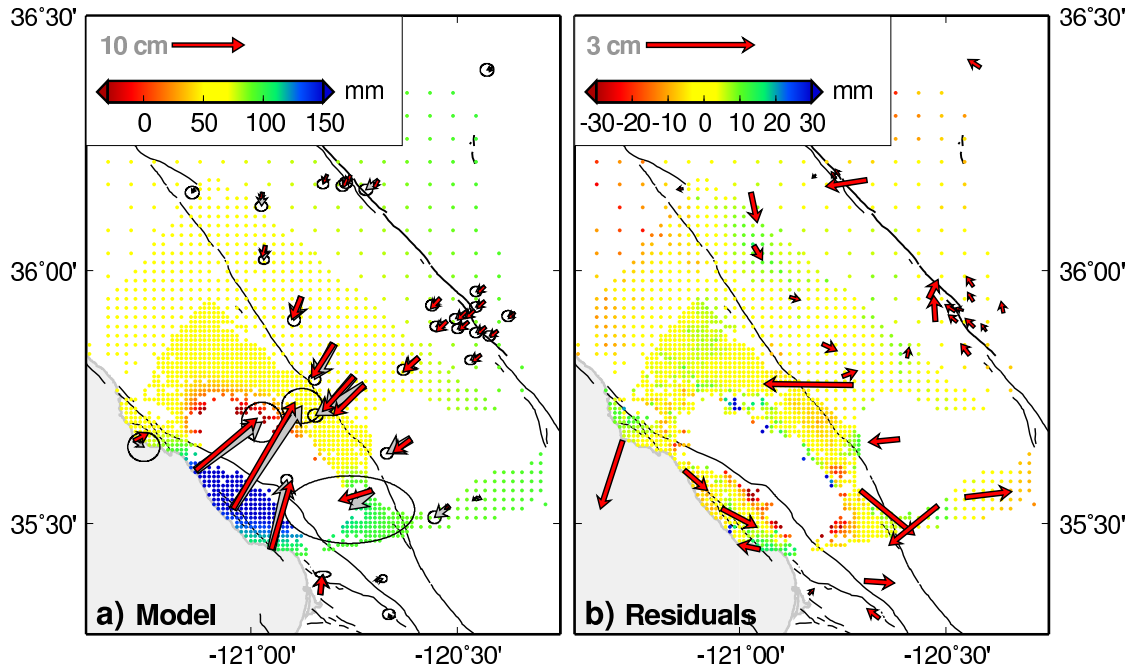


Figure 4.13. a) Model fits for “Rake+Rake” inversion. Grey arrows are coseismic GPS observations with  $1\sigma$  error ellipses. Red arrows are modeled GPS displacements. b) Model residuals (data-model). InSAR samples are in mm of range change. Data and model fit to postseismic GPS displacements are shown in Figure 4.14

Two inversions were performed on this model geometry; one constrained to only dip-slip (“Bent”) and another that allowed variable rake angle (“Bent w/ Rake”, Figure 4.15). The peak slip in the “Bent” model is more southerly than for the other inversion, however its location in the “Bent w/ Rake” model is much more similar to “Rake” and “Rake+Rake”. The two inversions also resolve more slip on their surface elements.

The dip-slip only inversion has a WRSS greater than the “Joint” plane inversions and so the F-test is undefined (Table 4.3). Allowing variable rake angle significantly improves the data fit. The model result was stable without constraining the strike-slip component, so we allowed both right-lateral and left-lateral strike-slip. The “Bent w/ Rake” model is one of the best-fitting models to the GPS data but one of the worst fitting models to the InSAR data. The total WRSS is slightly better than for

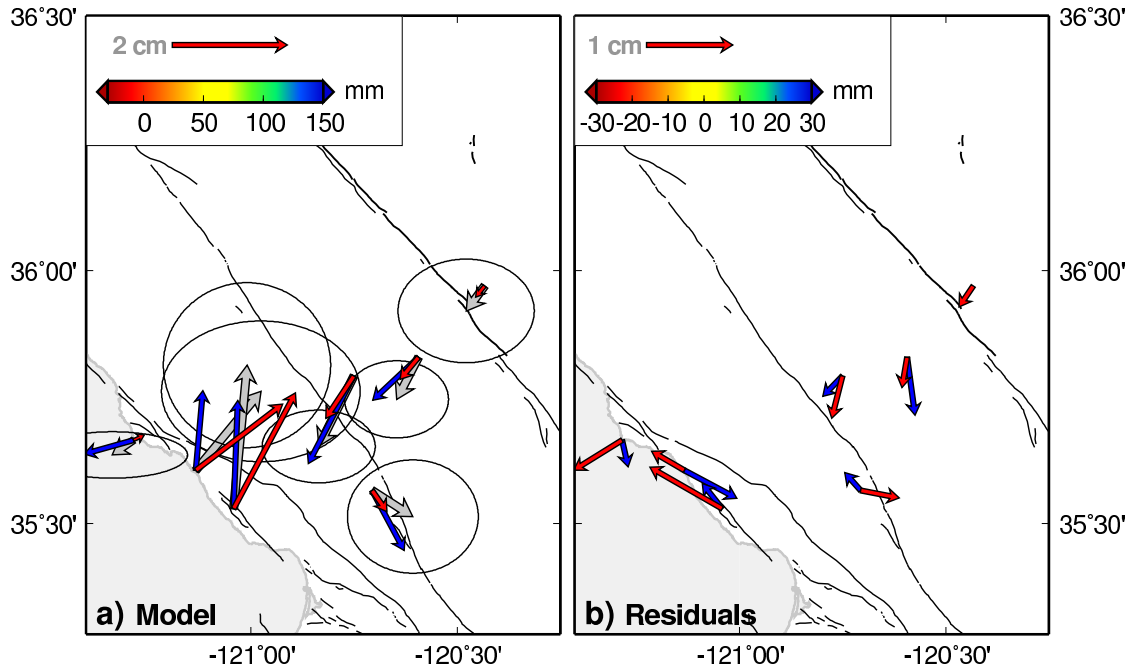


Figure 4.14. a) Model fits to the postseismic GPS displacements for “Dip+Dip” model (red arrows) and “Rake+Rake” model (blue arrows). Grey arrows are observations with  $1\sigma$  error ellipses. b) Model residuals (data-model), for “Dip+Dip” in red and “Rake+Rake” in blue.

the “Rake” model, but the F-test ascribes only a 6% confidence to this improvement. The “Bent w/ Rake” model residuals show that it has trouble fitting the InSAR data to the southwest of the model plane, near the bend in the model plane (Figure 4.16). The InSAR data therefore seem to contradict the possibility of a sharp bend in the fault.

#### 4.4.5 Discussion of Slip Inversions

Although the postseismic moment release estimate is a lower bound (see Section 4.4.3), it is nonetheless about 15% that of the coseismic moment release. This suggests that explicitly including shallow postseismic slip is not as important for this earthquake as for the 2004 Parkfield earthquake [Langbein and Murray, Submitted; Johanson *et al.*, Submitted] (which experienced postseismic slip of nearly equal mo-

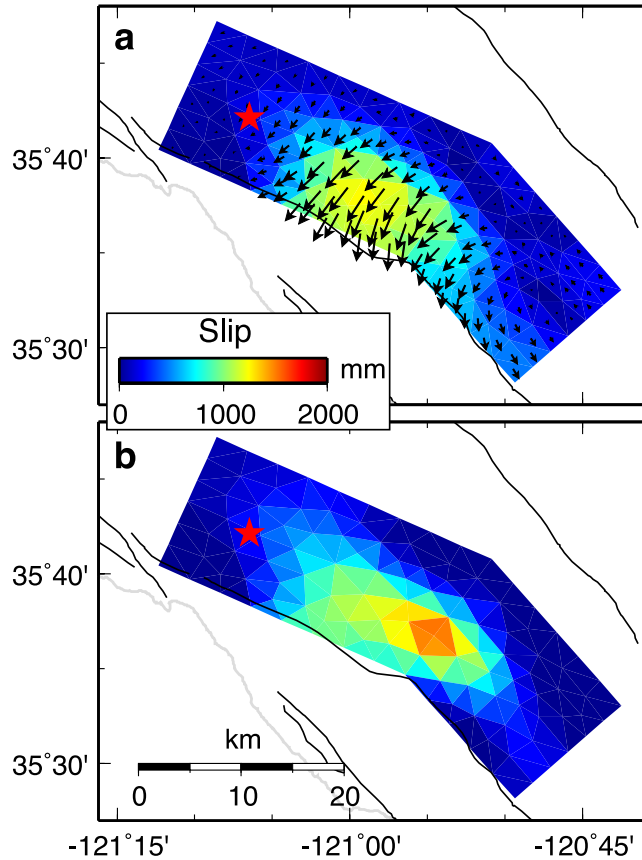


Figure 4.15. Results of inversion fault geometry chosen to match the bend observed in the surface trace of the Oceanic fault. a) inversion with variable rake angle (“Bent w/ Rake”). Arrows represent movement of hanging wall block relative to footwall, scaled by slip value. b) dip-slip only inversion (“Bent”). Red star is San Simeon EQ epicenter.

ment release as the coseismic slip). Neither the “Dip+Dip” or “Rake+Rake” model inversions find any slip on the deep fault extension, nor in the deeper model elements. This may be due in part to the insensitivity of InSAR data to long-wavelength deformation. The insensitivity is the result of uncertainty in the satellite orbit parameters, which require the removal of a low-order polynomial from the interferogram during processing and the inclusion of a ramp in the inversion model parameters. However, the GPS data also does not require slip on the deeper fault elements, suggesting that deep afterslip did not occur or cannot be resolved from the available data.

In general the differences between the fault models presented above are small. All



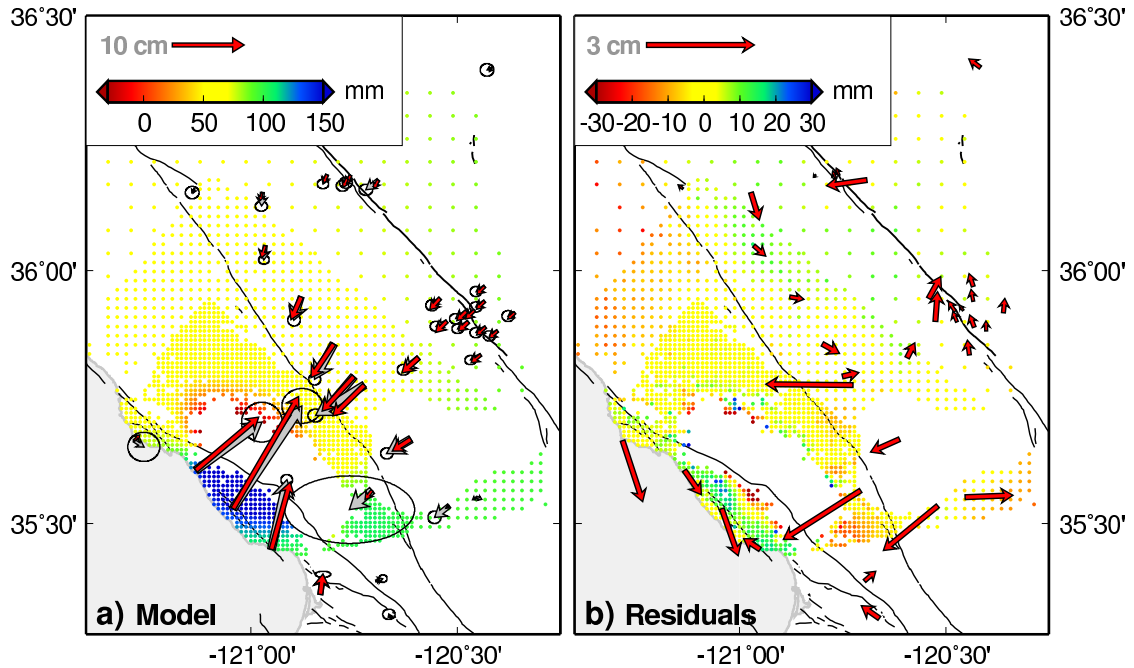


Figure 4.16. a) Model fits for “Bent w/ Rake” inversion. Grey arrows are coseismic GPS observations with  $1\sigma$  error ellipses. Red arrows are modeled GPS displacements. b) Model residuals (data-model) for “Bent w/ Rake” inversion. InSAR samples are in mm of range change.

of the inversions resolve a single slip maximum (slip asperity) to the southeast of the hypocenter. The amount of near-surface slip varies slightly among the models. In the “Joint” inversion the InSAR data prefer shallower peak slip and some surface slip (Figure 4.6a) and for the “Rake” model, the GPS data resolves some shallow slip in the south (Figure 4.8b). However the extent of surface slip is dampened in the joint inversions.

The F-test yields generally high confidence levels that including more model parameters in the form of variable rake angle and postseismic slip is a better description of slip in the SSEQ than dip-slip only. Allowing strike-slip consistently produced a better fit to the data than dip-slip only, indicating that oblique slip is a key feature of the San Simeon slip pattern. Neither of the inversions on a bent Oceanic fault fit both data sets better than a single fault plane, suggesting that coseismic slip did not

occur along a bent plane. The single plane “Rake” model and the coseismic portion of the “Rake+Rake” model produce similar slip distributions and similar fits to the coseismic GPS data. Therefore, because it is able to provide more information about the postseismic period without impacting the coseismic slip model, the “Rake+Rake” model is preferred.

Our preferred model can be compared with those of other authors. *Ji et al.* [2004] used strong motion and teleseismic waveforms, together with 1-hz GPS data from the SCIGN continuous stations to constrain a model of coseismic slip. *Rolandone et al.* [Submitted] used the same coseismic GPS displacements as this study, together with strong motion seismic data. Both studies resolve a single, elongate slip asperity and peak slip occurring 10-20 km south of the hypocenter; similar to our result. The other author’s models produce seismic moments of  $6.2 - 6.6 \times 10^{18}$  Nm, smaller than the  $1.1 \times 10^{19}$  Nm found here (using rigidity of  $3 \times 10^{10}$  N/m<sup>2</sup>). The difference between our and others’ moment estimates could, in part, be the effect of using GPS displacements which are the average of four days and therefore including some postseismic slip in the coseismic model. However, the coseismic GPS offsets are from *Rolandone et al.* [Submitted], who also estimate a lower coseismic moment release than that found here. However, they use a velocity model with rigidities lower than  $3 \times 10^{10}$  N/m<sup>2</sup> at the depths of the San Simeon coseismic rupture. Lowering our rigidity parameter to  $2 \times 10^{10}$  N/m<sup>2</sup>, lowers our moment estimate to  $8.1 \times 10^{18}$  Nm ( $M_w$ 6.6). *Rolandone et al.* [Submitted] (and *Ji et al.* [2004]) include seismic data in their inversion, which helps constrain their model to purely coseismic slip. The difference in coseismic moments between ours and models obtained using seismic data, emphasizes that our postseismic model is a lower bound on the actual postseismic slip.

## 4.5 Static Stress Changes

We calculate the static stress changes in the surrounding crust from four of the models presented above, including the postseismic portion of the “Rake+Rake” model, using Poly3D with a poisson’s ratio of 0.25 and shear modulus of 30 MPa. The change in Coulomb Failure Stress ( $\Delta CFS$ ) is commonly used to identify areas where slip in future earthquakes is promoted or inhibited [Harris, 1998; Stein, 1999].  $\Delta CFS$  is defined as,

$$\Delta CFS = \Delta\sigma_{12} + \mu'\Delta\sigma_{11} \quad (4.6)$$

$\Delta CFS$  is defined for a plane with a specific orientation and slip vector, termed the receiver fault. The index 1 in Equation 4.6 refers to directions perpendicular to the receiver fault and the index 2 refers to directions parallel to both the receiver plane and the slip vector. Accordingly,  $\Delta\sigma_{12}$  is the change in shear stress and  $\Delta\sigma_{11}$  is change in normal stress. The parameter  $\mu$  is the coefficient of friction and can have values from 0-1. From laboratory experiments,  $\mu$  has been found to be consistently 0.6-0.8 among many different rock types [Byerlee, 1978]. Pore fluid pressure within the fault can counteract  $\Delta\sigma_{11}$ , however this effect can be neglected if  $\mu$  is re-scaled by a factor proportional to  $-\beta'$ , to become the effective coefficient of friction ( $\mu'$ ) [Harris, 1998].  $\beta'$  is similar to Skempton’s coefficient for soils, but applied to rocks, and can have values between 0 and 1. More fluid saturated rocks have  $\beta'$  closer to 1 and lower values of  $\mu'$ . The exact form of the scale factor to produce  $\mu'$  depends on assumptions about the fault zone materials. However, the prevalence of pore fluids and the value of  $\beta'$  are site-specific and  $\mu'$  often must be determined empirically. Nevertheless, the abundance of fault gouge on more well-developed faults encourages the existence of high pore fluid pressures and the inferred  $\mu'$  has been observed to depend on a fault’s slip rate [Parsons and Dreger, 2000; Parsons et al., 1999]. Earthquake triggering on established faults, such as the San Andreas is likely to depend more on shear stress

changes, whereas triggering on low-slip faults is likely to depend more on normal stress changes [Parsons *et al.*, 1999].

#### 4.5.1 Relationship to San Simeon Aftershocks

We can further evaluate the slip models by comparing the static stress change produced by the slip in each model to the distribution of SSEQ aftershocks [Reasenber and Simpson, 1997; Toda *et al.*, 1998]. To use  $\Delta$ CFS, we must determine a value for  $\mu'$ . Seismic wave-speeds measured from aftershocks indicate high levels of crustal fluids in the San Simeon area [Hauksson *et al.*, 2004], which would imply earthquake triggering should correlate more with changes in shear stress than normal (low  $\mu'$ ). However the Oceanic fault is located in a thrust belt with similar tectonic setting as the Foothills thrust belt in the southern Bay Area. Parsons *et al.* [1999] found that earthquake triggering on the Foothills thrust belt following the 1989 Loma Prieta earthquake depended more on normal stress changes than shear (high  $\mu'$ ). As such, choosing an appropriate value for  $\mu'$  is not straight forward.

Furthermore, determining the component of the stress tensor most responsible for aftershock triggering is complicated by the presence of aftershocks on structures other than the coseismic rupture plane. In map view, the aftershock pattern includes two discrete clusters near the hypocenter (Figure 4.17 & Figure 4.18). In profile A-A' (dashed line in Figure 4.18b), the western cluster appears to define the east-dipping coseismic rupture plane, while the eastern cluster appears to define a west-dipping sub-conjugate structure (e.g. Figure 4.19). The interpretation that this is a conjugate plane is supported by the similarity of focal mechanisms for aftershocks in the western and eastern clusters (Figure 4.17b). The aftershocks near profile B-B' (Figure 4.20) are less clearly organized, but include a suggestion of a shallowly west-dipping structure [Hauksson *et al.*, 2004]. If we consider the eastern cluster and the

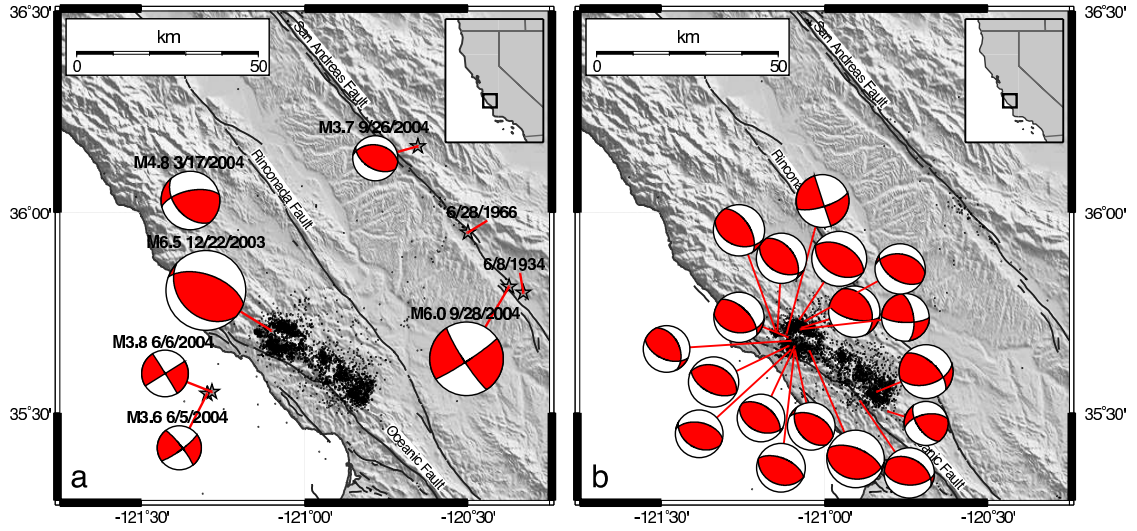


Figure 4.17. Focal mechanisms from the NEIC catalog a) for earthquakes in coastal central California between 12/22/2003 and 9/27/2004 and epicenters of 1966 and 1934 Parkfield earthquakes. b) for near-field aftershocks between 12/22/2003 and 9/27/2004. Inset maps show extent of main plot.

west-dipping structure in profile B-B' to be conjugate (at right angles) to the rupture plane, then the shear stresses resolved on these three structures will be identical. However, normal stresses are not identical for conjugate planes. We therefore use the orientation-invariant isotropic stresses ( $p = (\sigma_{11} + \sigma_{22} + \sigma_{33})/3$ ) to evaluate the role of clamping and unclamping of the receiver faults. This proxy for normal stress is valid if the fault zone is more ductile than the surrounding crust [Simpson and Reasenber, 1994], a situation that would arise if the fault contained large amounts of gouge material.

We seek to determine if either shear or isotropic stress provide a better match to the aftershock locations, which would indicate either low or high values of  $\mu'$  in the San Simeon area. Histograms of shear stress and isotropic stress change at aftershock locations show that in general there is a better correlation between shear stress changes and aftershock occurrence (Figure 4.21). The histograms of isotropic stress change have broad peaks for all three coseismic models, which are slightly skewed to-

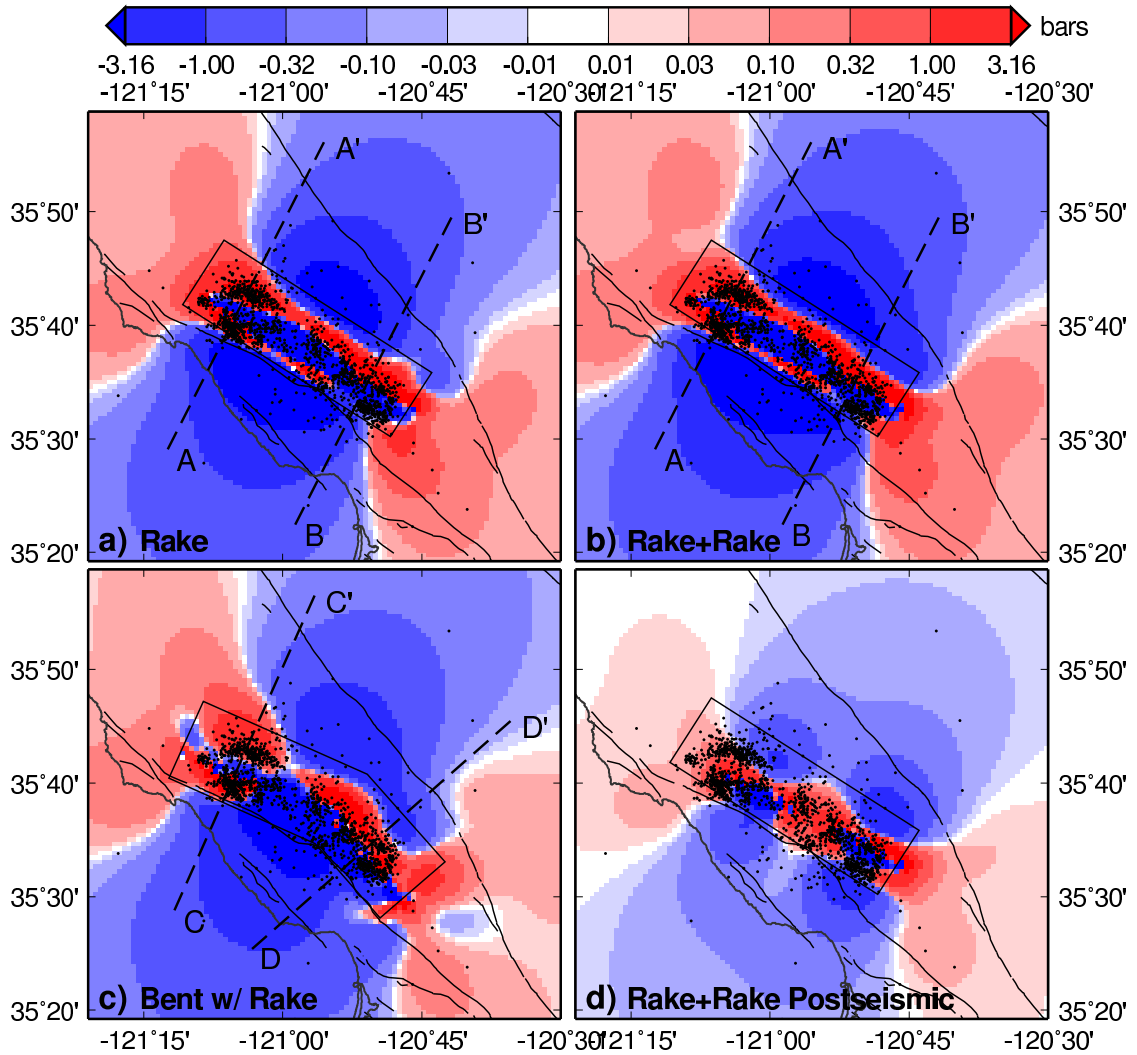


Figure 4.18. Change in shear stress on receiver faults parallel to the San Simeon coseismic rupture, with  $90^\circ$  rake angle and calculated at depth = 5 km. Black dots are aftershocks with  $M \geq 2$ . a) “Rake” slip model (Figure 4.8c) b) “Rake+Rake” model (Figure 4.12c) c) “Bent w/ Rake” model (Figure 4.15a) d) the postseismic portion of “Rake+Rake” (Figure 4.12b).

ward the positive stress change direction. However, positive isotropic stress changes are more widespread in the aftershock zone than positive shear stress changes and the histograms do not control for this fact. The histogram for the “Bent w/ Rake” model shows that it does not produce positive shear stress changes consistent with aftershock locations; the peak is centered around -0.5 bars. Furthermore, the postseismic slip model shows a strong correlation between positive shear stress changes

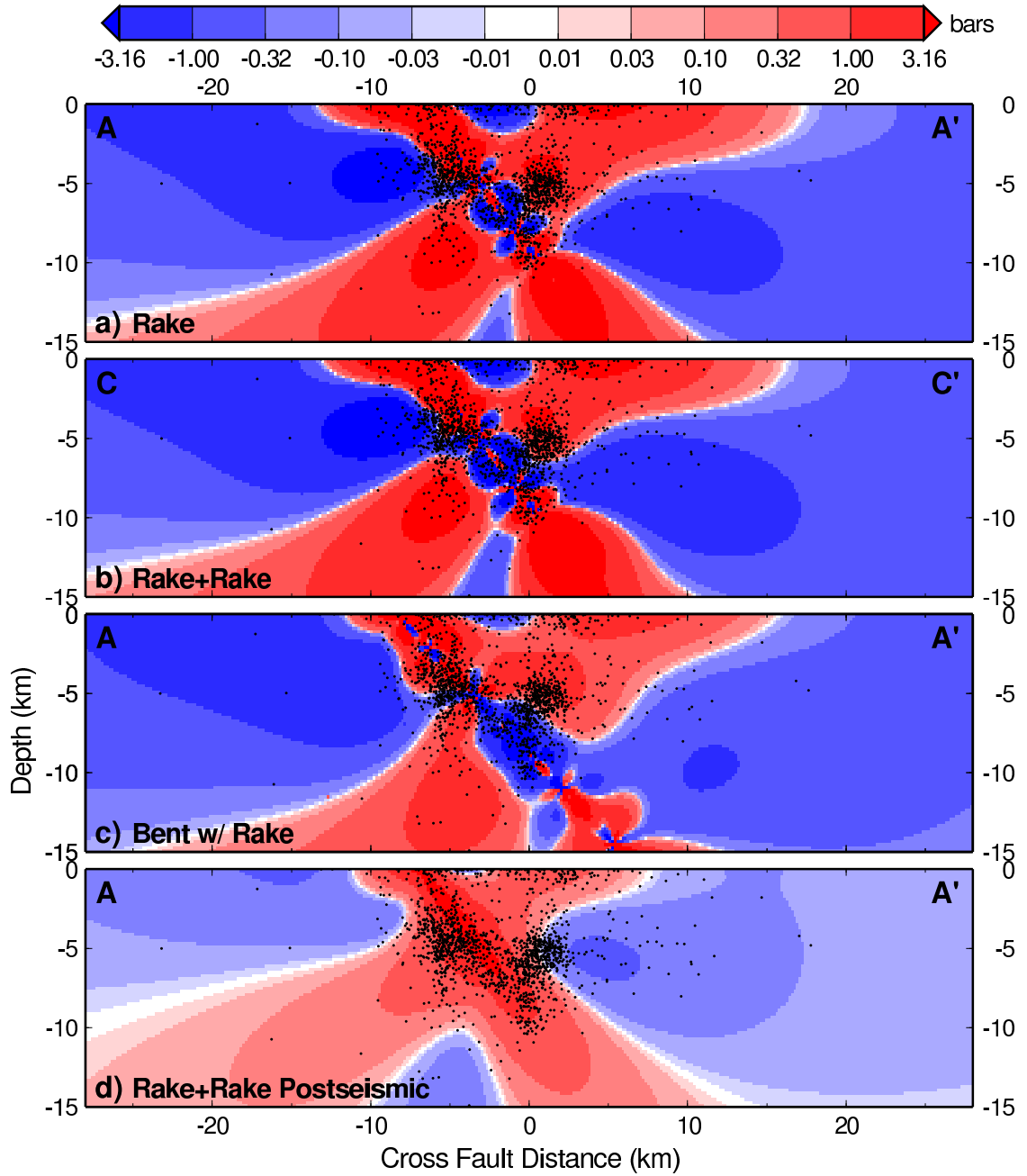


Figure 4.19. Change in shear stress along profile A-A' and C-C' (Figure 4.18) for receiver faults parallel to the San Simeon coseismic rupture, with rake =  $90^\circ$  and plotted with aftershocks within  $\pm 5$  km a) “Rake” model b) “Rake+Rake” c) “Bent w/Rake” d) Postseismic portion of “Rake+Rake”

and aftershock locations, but an anti-correlation between isotropic stress and aftershocks. These histograms suggest that aftershock triggering is only weakly dependent

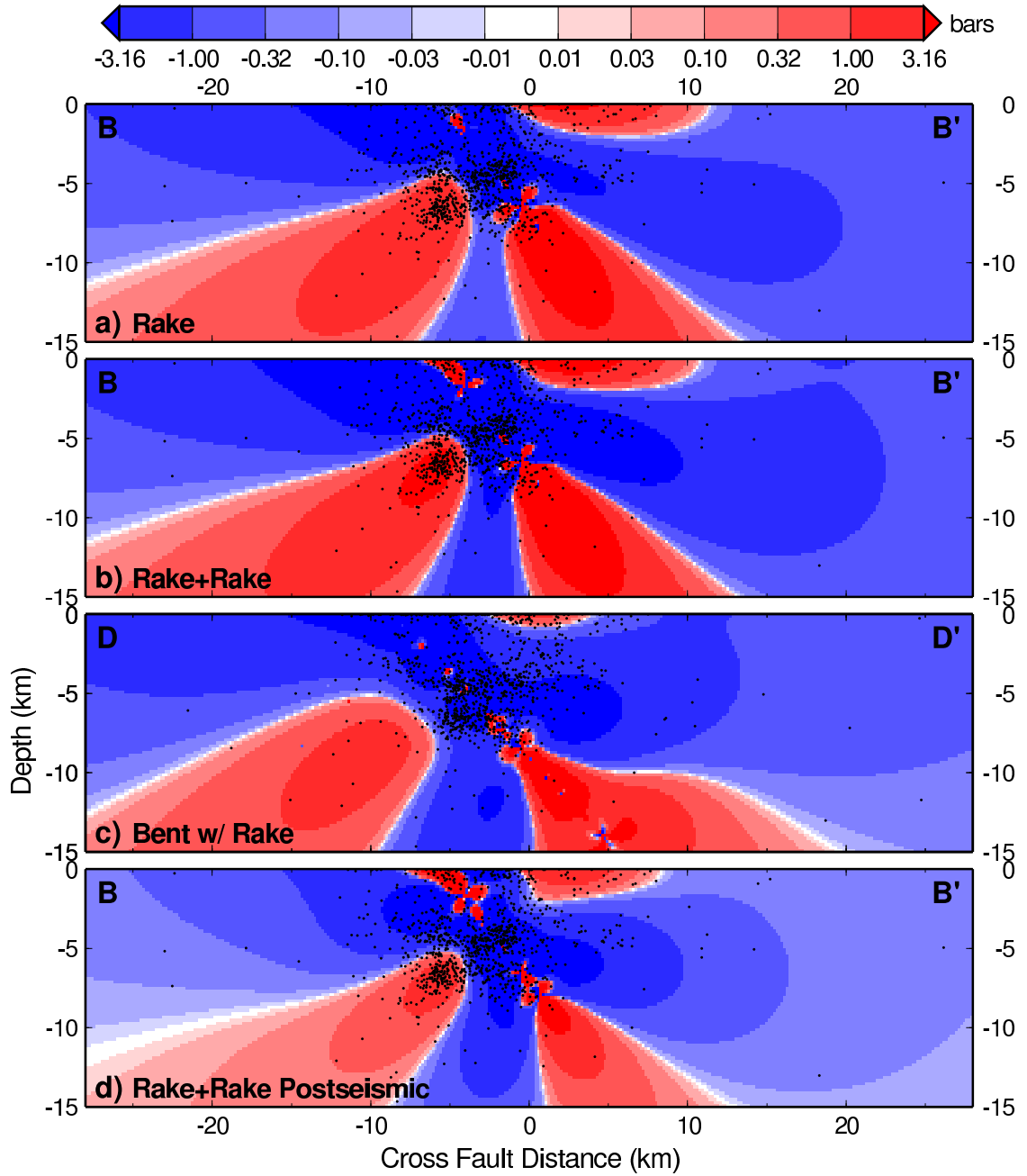


Figure 4.20. Change in shear stress along profile B-B' and D-D' (Figure 4.18) for receiver faults parallel to the San Simeon coseismic rupture, with rake =  $90^\circ$  and plotted with aftershocks within  $\pm 5$  km a) “Rake” model b) “Rake+Rake” c) “Bent w/Rake” d) Postseismic portion of “Rake+Rake”

on changes in fault clamping and  $\mu'$  is low; consistent with the prevalence of crustal fluids in this area determined by *Hauksson et al.* [2004].



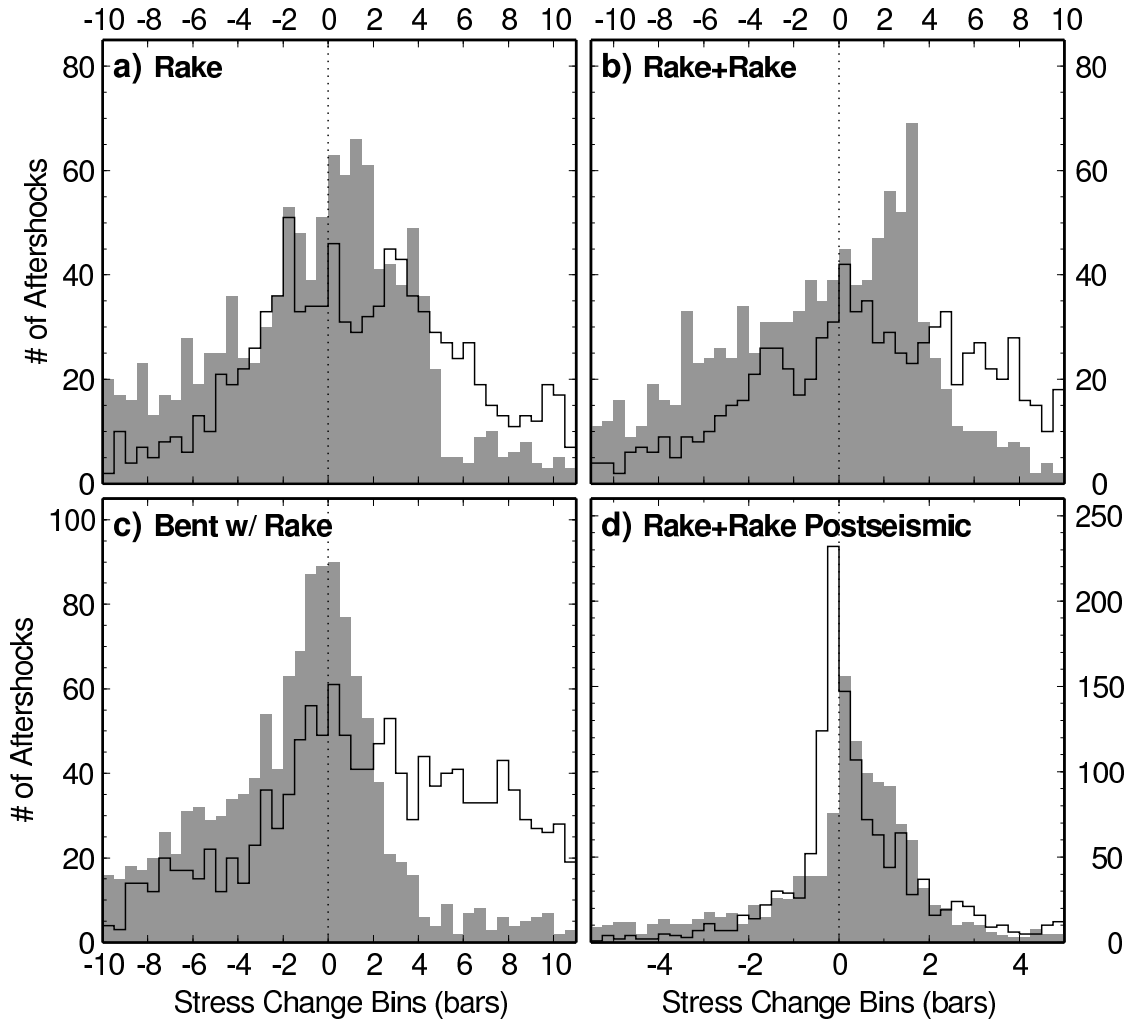


Figure 4.21. Histograms of shear stress change (gray bars) and isotropic stress change (black lines) at aftershock locations for four models. a) “Rake” model b) “Rake+Rake” c) “Bent w/Rake” d) Postseismic portion of “Rake+Rake”

Because  $\mu'$  is established to be low, we continue by comparing the changes in shear stress produced by each model. The western and eastern aftershock clusters in profile A-A' are associated with areas of increased shear stress in the coseismic models (Figures 4.19a-c). The eastern cluster, in particular, correlates well with shear stress changes greater than 1 bar in the “Rake+Rake” model. While the postseismic slip model produces positive shear stress changes around the aftershocks in profile A-A', the patterns do not particularly match (Figures 4.19d). In Profile B-B' (and D-D') the correspondence between aftershocks and shear stress changes is not as clear (Figure

4.20). While aftershocks occurred in an area of increased shear stress in the southwest for models “Rake” and “Rake+Rake”, they also occurred in areas of decreased shear stress near the middle of the profile. Stress changes from the “Bent w/ Rake” model or from postseismic slip do not match the aftershock pattern any better than the “Rake” or “Rake+Rake” models in this profile. The “Rake” and “Rake+Rake” models’ stress change patterns are similar and show a better correspondence with aftershock occurrence than the “Bent w/ Rake” model. This analysis supports our preference for the “Rake+Rake” model as the best description of the SSEQ.

Aftershock production would be expected to be dominated by the larger stress changes produced by coseismic slip. The magnitudes of stress change produced by postseismic slip are much smaller than those produced by the coseismic slip. While aftershock production would be affected most by the larger coseismic stress changes, there is an area of diffuse aftershocks in the center of the rupture zone whose presence cannot be explained by coseismic stress changes (Figure 4.18a-c). This area of aftershocks correlates better with shear stress changes from the postseismic slip model (Figure 4.18d). Although smaller than the coseismic stress changes, postseismic slip produces shear stress changes in this area greater than 0.1 bars; a threshold for earthquake triggering established empirically from other events [Harris, 1998]. This would suggest that although the cumulative shear stress change in this region is negative (as can be seen in the “Rake+Rake” model), the positive shear stress produced by postseismic slip was effective at encouraging aftershocks.

## 4.5.2 Change in Stress at Parkfield

To investigate the effect of the San Simeon earthquake on the Parkfield segment we use stress changes from our preferred slip model, “Rake+Rake”. For this section we consider the coseismic and postseismic portions of this model separately. We evaluate

whether slip was encouraged on the Parkfield segment using  $\Delta\text{CFS}$  (Equation 4.6) with  $\mu'$  equal to 0.4; a value used for the Parkfield segment by previous authors studying triggered microseismicity [*Toda and Stein, 2002*].

## Results

The entire Parkfield segment, including the hypocenters of the 1966 and 2004 Parkfield earthquakes, experienced increased  $\Delta\text{CFS}$  from 0.1-0.25 bars from the coseismic slip (Figure 4.22c). The San Simeon earthquake occurred in a position and orientation such that the maximum  $\Delta\text{CFS}$  for the entire San Andreas fault occurred on the Parkfield segment. When viewed in profile (Figure 4.22), it can be seen that the coseismic rupture area for the 2004 earthquake falls directly in the middle of the lobe of increased  $\Delta\text{CFS}$ . Two northwest striking right-lateral aftershocks of the San Simeon earthquake that occurred offshore and to the west of the SSEQ, also fall in an area of increased  $\Delta\text{CFS}$  (Figure 4.17 & 4.23).

On a smaller scale, however, there is no clear correlation between the slip distribution in the PKEQ and  $\Delta\text{CFS}$  in either the coseismic or postseismic periods (Figure 4.22c & 4.24c). There is a slight correlation between fault unclamping (positive change in normal stress) and peak slip in the 2004 earthquake. However, given that the location of peak slip in the 2004 Parkfield earthquake is very near the location of peak slip in the 1966 and 1934 earthquakes [*Murray and Langbein, Submitted*], it is likely that it is controlled by persistent features of the Parkfield segment, such as heterogeneously distributed fault frictional parameters.

## Discussion

The 2004 earthquake departed from the pattern established by the previous events by nucleating in the southeast. In the 1966 and 1934 events, the hypocenter was

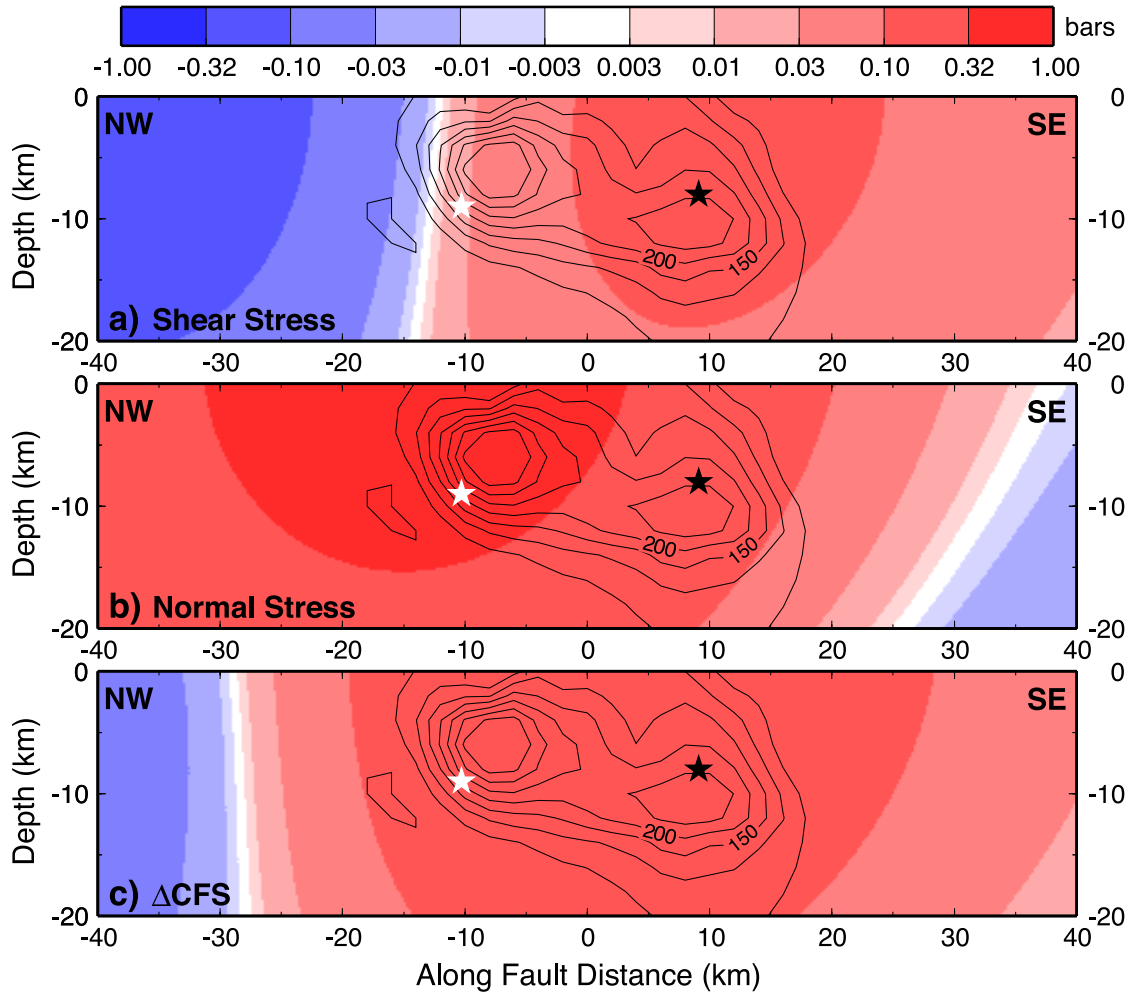


Figure 4.22. Stress changes along the Parkfield segment of the San Andreas fault for planes with strike =  $-41^\circ$ , dip =  $90^\circ$  and rake =  $180^\circ$  from coseismic portion of the “Rake+Rake” model. Contours are for coseismic slip from the 2004 Parkfield earthquake from *Johanson et al.* [Submitted] in units of mm. a) Right-lateral strike-slip shear stress change b) Normal stress changes, positive is unclamping c)  $\Delta\text{CFS}$  with  $\mu' = 0.4$

located northwest of Parkfield and rupture propagated southward. While the  $\Delta\text{CFS}$  from SSEQ coseismic slip varies very little across the Parkfield segment, there is a maximum increase in right-lateral shear stress (0.1-0.14 bars) near the location of the 2004 earthquake’s hypocenter (Figure 4.22a). The fault area near the 1966 earthquake’s hypocenter also experienced increased right-lateral shear stress, but of an order of magnitude less (0.02-0.03 bars). Previous authors have found that triggered

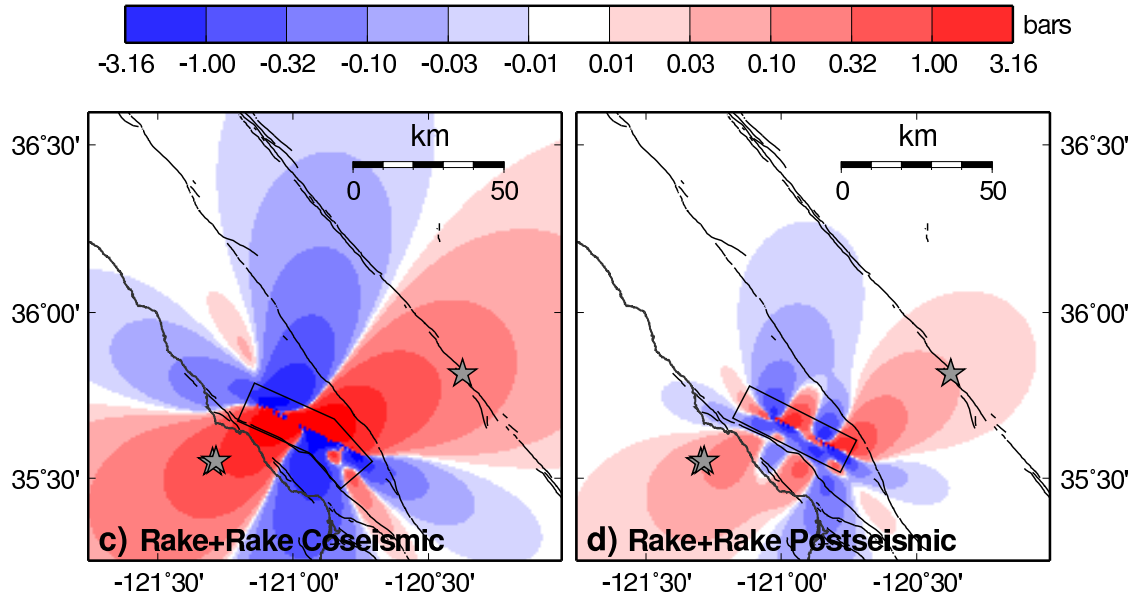


Figure 4.23.  $\Delta\text{CFS}$  on planes aligned with the San Andreas fault, with strike =  $-41^\circ$ , dip =  $90^\circ$ , rake =  $180^\circ$  and  $\mu' = 0.4$  and calculated at depth = 8 km. a) Coseismic portion of “Rake+Rake” model (Figure 4.12a) b) Postseismic portion of “Rake+Rake” model (Figure 4.12b).

earthquake activity on the Parkfield segment correlated most with changes in shear stress [Toda and Stein, 2002], suggesting that this component of the stress tensor is more important in this section of the San Andreas fault (i.e.  $\mu'$  is low). Our choice of the “Rake+Rake” model does not significantly influence these results. The other models presented in Section 4.4 all produce positive  $\Delta\text{CFS}$  throughout the Parkfield segment. The variable rake models all produce  $\Delta\text{CFS}$  of 0.21 bars and change in shear stress of 0.13 bars at the 2004 hypocenter.

Although the amount of postseismic slip is much smaller than the amount of coseismic slip, it nonetheless occurs over a separate time-span and could independently influence slip on the San Andreas. The postseismic portion of the “Rake+Rake” model is a lower bound, but can provide an idea of the pattern of stress changes that might have occurred in the months leading up to the Parkfield earthquake. Overall, stress changes from the SSEQ postseismic slip are an order of magnitude smaller

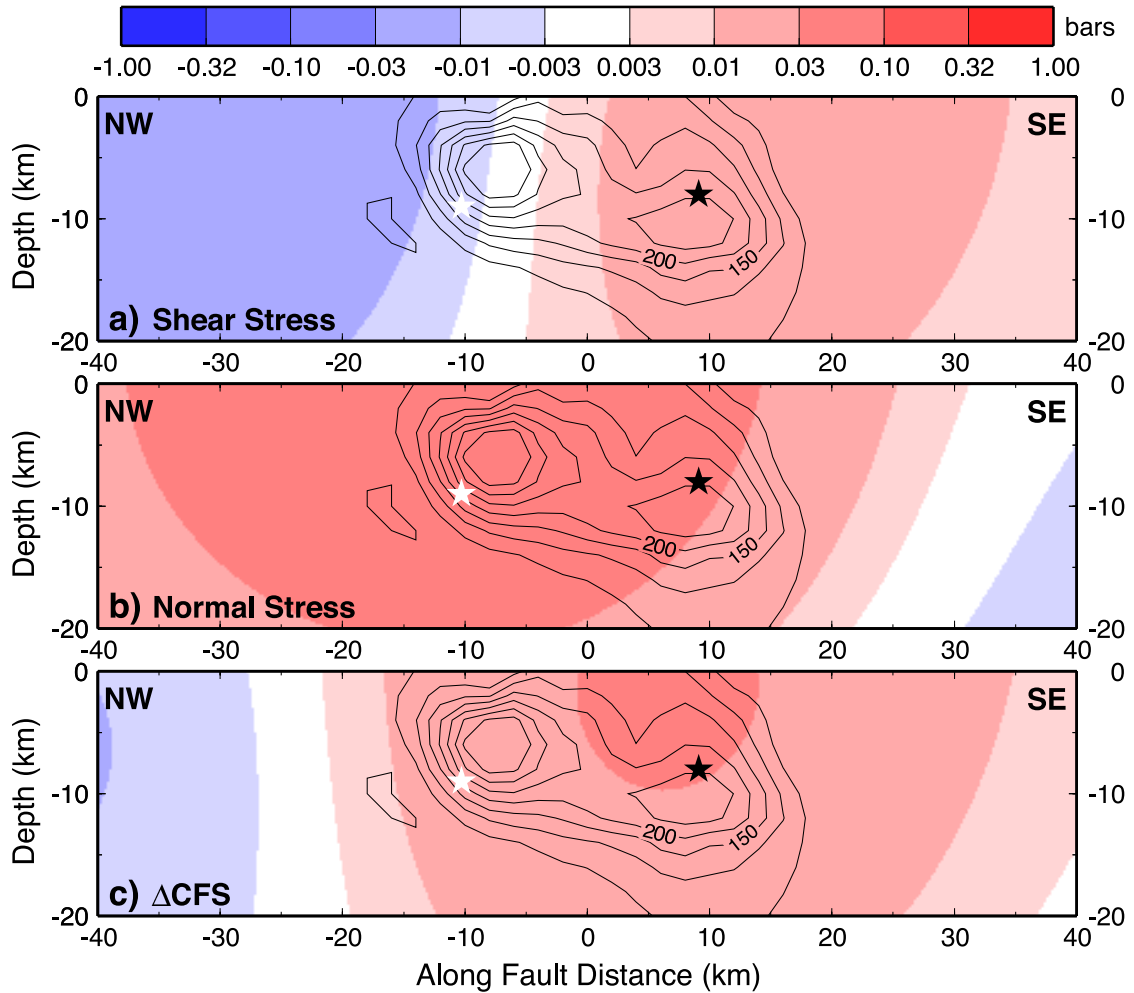


Figure 4.24. Stress changes along the Parkfield segment from only postseismic slip from the San Simeon earthquake. For planes parallel to the San Andreas fault, with strike =  $-41^\circ$ , dip =  $90^\circ$  and rake =  $180^\circ$  from postseismic portion of the “Rake+Rake” model. Contours are for coseismic slip from the 2004 Parkfield earthquake from *Johanson et al.* [Submitted] in units of mm. a) Right-lateral strike-slip shear stress change b) Normal stress changes, positive is unclamping c)  $\Delta\text{CFS}$  with  $\mu' = 0.4$

than those from the coseismic slip and smaller than the 0.1 bars earthquake triggering threshold. However, they produce a pattern that more clearly favors earthquake nucleation in the southern portion of the Parkfield segment (Figure 4.24). The maximum  $\Delta\text{CFS}$  from postseismic slip (0.03-0.04 bars) occurs near the 2004 Parkfield earthquake hypocenter. Postseismic slip also acted to decrease the shear stress by 0.005 bars on the San Andreas fault at the site of the 1966 Parkfield earthquake

hypocenter, while simultaneously increasing the shear stress at the site of the 2004 hypocenter by 0.015 bars.

## 4.6 Conclusions

We performed inversions for distributed slip during the San Simeon earthquake under several scenarios. Among these was an inversion which explicitly allowed for shallow and deep postseismic afterslip. We find that the data do not require any deep postseismic slip. Shallow postseismic slip occurred with about 15% the amount of moment release as the coseismic rupture, however this is a lower bound. Additionally allowing for left-lateral slip in the inversion provided a statistically significant improvement in fit to both the GPS and InSAR data. An inversion on a bent fault plane, matching the bend in the surface trace of the Oceanic fault, did not fit the data as well as the single fault plane models. The “Bent w/ Rake” model also does not provide as good a correlation between near-field stress changes and aftershock occurrence as the single plane models “Rake” and “Rake+Rake”. Because of this and the additional information available for the postseismic period, the “Rake+Rake” model is preferred.

Coseismic slip from the San Simeon earthquake produced static  $\Delta\text{CFS}$  encouraging right-lateral strike-slip throughout the Parkfield segment. In fact, the maximum  $\Delta\text{CFS}$  along the San Andreas fault was on the Parkfield segment. While the  $\Delta\text{CFS}$  at the hypocenter of the 2004 and 1966 Parkfield earthquakes was similar, the nucleation site of the 2004 earthquake experienced about 10 times greater increase in shear stress than that of the 1966 and 1934 earthquakes. Postseismic slip increased coulomb stress at the site of the 2004 Parkfield earthquake and decreased shear stress at the site of the 1966 event, but were an order of magnitude smaller than the coseismic stress changes. Nonetheless, a group of aftershocks of the San Simeon earthquake

were observed to correlate better with shear stress changes produced by the post-seismic slip than with the larger (and opposite sign) shear stress changes produced by coseismic slip. This suggests that earthquake triggering can be more sensitive to on-going processes than events in the past and that small amounts of transient slip may yet be effective at influencing earthquake production. The extra shear stress from coseismic slip in the SSEQ, and possibly the increase in coulomb stress from postseismic slip, on the southern portion of the Parkfield segment, together with decreased stress in the north from the Coalinga-Nuñez earthquakes [*Toda and Stein, 2002*] and the 1993-96 slow slip event [*Murray and Segall, 2005*] and accompanying earthquakes [*Nadeau and McEvilly, 1999*], may have converged to favor the southern Parkfield segment, over the northern, as the nucleation site for the 2004 event.



# Chapter 5

## Conclusions

The behavior of a transition zone fault has been analyzed during the interseismic, coseismic and postseismic phases of the earthquake cycle. Interseismic creep on the northern transition zone of the San Andreas fault (the San Juan Bautista segment) surrounds two separate, locked asperities. These locked asperities may be the source of  $M_w \geq 6$  earthquakes that occurred on the San Juan Bautista (SJB) segment in the 19th century. The presence of these asperities with contrasting frictional behavior indicates that the locked to creeping transition is controlled by local effects, rather than regional effects such as a widespread increase in pore fluid pressures [*Provost and Houston, 2001*]. One such local effect is the increasing occurrence of fault materials, such as serpentinite, with velocity-strengthening frictional properties.

The northern and southern transition zones do not appear to be in the same phase of their earthquake cycle. While the loading rate on the San Juan Bautista segment is similar to the rate on the Parkfield segment, the SJB segment has not produced a  $M_w \geq 6$  in nearly a century. However, the 19th century sequence of earthquakes on the SJB segment may be similar to the series of earthquakes which occurred on the Parkfield segment in the 20th century. The sensitivity of the creeping portions of the

SJB segment to stress changes from outside, great earthquakes could be responsible for the clustering of events in the 19th century sequence.

Such sensitivity is also seen in the influence of the 2003 San Simeon earthquake on the Parkfield segment. The latest earthquake in the Parkfield sequence occurred in September, 2004. The 2004 earthquake does not follow the pattern established by the previous Parkfield earthquakes in that its hypocenter was located in the south and rupture propagated to the north. Coseismic slip from the San Simeon earthquake increased the shear stress at the nucleation site of the 2004 earthquake, about 10 times more than it increased shear stresses at the 1966 earthquake hypocenter. This may have contributed to the change in hypocenter location between the 2004 and previous events. Even greater sensitivity to stress changes is suggested by the pattern of postseismic stress changes. Postseismic slip increased coulomb stress at the nucleation site of the 2004 Parkfield earthquake and decreased shear stress at the hypocenter of the 1966 event, but were an order of magnitude smaller than the coseismic stress changes.

Although it had a different hypocenter, the location of peak slip in 2004 was similar to its location in the 1934 and 1966 Parkfield earthquakes. This suggests that the slip distribution is controlled by persistent features of the Parkfield segment, such as the distribution of fault materials with different frictional properties. Also, comparison with the postseismic slip model shows that a streak of seismicity at  $\sim 5$  km forms a rough dividing line between coseismic and postseismic slip. An interpretation of seismicity streaks is that they form where asperities with contrasting frictional properties abut. That the seismicity streak divides coseismic from postseismic slip further supports that the extent of seismic slip was controlled by the distribution of asperities with contrasting frictional properties.

The velocity-strengthening areas that slipped postseismically did so to the extent

that nearly as much moment was released postseismically as during the earthquake itself. The slip models for the 2004 Parkfield earthquake estimate similar moment magnitudes for the coseismic and postseismic periods ( $M_w$ 6.2 and  $M_w$ 6.1 respectively). This is an important observation because the moment deficit that accrued interseismically was not made up primarily by seismic slip, but also by enhanced aseismic slip. The portions of a transition zone that creep interseismically may not necessarily participate in earthquakes, but experience strongly accelerated afterslip in response to seismic events.

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# Appendix A

## InSAR Processing Procedure

An interferogram provides a measure of the change in distance between the ground and the satellite (range change) between an initial (master image) and subsequent (slave image) radar image. This work uses Synthetic Aperture Radar (SAR) data from the ERS1, ERS2, Envisat (beam 2) and Radarsat satellites, processed with the `Roi_pac` software package developed at JPL [[http://openchannelsoftware.org/projects/ROI\\_PAC/](http://openchannelsoftware.org/projects/ROI_PAC/)]. All of these satellites are side-scanning, right-looking and provide C-band radar data with a centroid wavelength of 5.6 cm. After focusing, the raw SAR data consists of the amplitude and phase of the returning radar signal. The phase of the return signal in a single SAR image is a function of the random arrangement of scatterers on the ground. Interferometry relies on the random arrangement of scatterers remaining constant between the master and slave images in order for the interferometric phase to accurately represent ground movement.

To create an interferogram the first step is to co-register the SAR images by matching the amplitudes of the SAR data. An initial offset is determined from published satellite orbit data. For the ERS1 & 2 and Envisat satellites, we use the “Precise Orbit Product” and “DORIS Precise Orbits” provided by the European Space Agency (ESA), while Radarsat orbit data is provided by Radarsat International (RSI). After the initial offset estimate, the co-registration algorithm in `Roi_pac` extracts windows of amplitude data, which it oversamples and puts through a suite of offsets while calculating the cross-correlation coefficient. The offset at which the cross-correlation coefficient is a maximum is the new estimate of the offset at the sub-pixel level, for a particular window. The set of offsets at each window provides a map which is used to estimate the translation, rotation, scaling and shear (affine transformation), which must be applied to the whole slave image in order to match the master image.

Once co-registered the interferogram is formed by multiplying one image by the complex conjugate of the other.

$$I = S_1 S_2^* = A_1 e^{-i\phi_1} A_2 e^{i\phi_2} = A_{12} e^{i(\phi_2 - \phi_1)} \quad (\text{A.1})$$

The interferometric phase ( $\Delta\phi$ ) is therefore the difference in phase of the two SAR

images ( $\phi_2 - \phi_1$ ). The line-of-sight direction is described by the look vector ( $\hat{l}$ ) and defined by the azimuth of the satellite orbit path and the angle between the down direction and the outgoing signal (look angle,  $\theta$ ). The satellites used here are side-scanning, which means that  $\theta$  is not a constant, but varies systematically in the range direction.  $\Delta\phi$  is related to range change ( $\Delta\rho$ ) such that  $\Delta\rho = (\lambda/4\pi)\Delta\phi$ . However  $\Delta\phi$  has values of modulo  $2\pi$ , the cumulative phase change (and thus cumulative range change) is determined by counting the number of cycles; a process called unwrapping.

In general the distance between the ground and the satellite is affected by factors other the tectonic deformation and the range change includes contributions from the following terms,

$$\Delta\rho = \Delta\rho_{orb} + \Delta\rho_{topo} + \Delta\rho_{disp} + \Delta\rho_{atm} \quad (\text{A.2})$$

where  $\Delta\rho_{orb}$  is the contribution from the difference in satellite position between the master and slave acquisitions,  $\Delta\rho_{topo}$  is the contribution of topography,  $\Delta\rho_{disp}$  is the contribution of ground displacements and  $\Delta\rho_{atm}$  is the apparent range change produced by travel-time delays in the troposphere and ionosphere.

The first term of Equation A.2 is the range change due to the difference in position of the satellite in the master and slave images (the baseline,  $\vec{B}$ ) given an ellipsoid earth with no topography. If  $|\vec{B}|$  is much smaller than the range distance, this term can be estimated using the parallel-ray approximation such that,

$$\Delta\rho_{orb} = \vec{B} \cdot \hat{l} + \delta\rho_{orb} \quad (\text{A.3})$$

where  $\hat{l}$  is the unit length look vector in the line-of-sight direction and  $\delta\rho_{orb}$  is the residual range change due to uncertainties in  $\vec{B}$ . This term is forward calculated from an assumed earth ellipsoid model and removed from the interferogram.

The presence of topography perturbs the look angle such that  $\theta = \theta_0 + \delta\theta$ , where  $\theta_0$  is the look angle for the topography-free ellipsoidal earth and  $\delta\theta$  is the change in look angle due to the ground surface's height above (or below) the assumed ellipsoid. Using the parallel ray approximation and assuming that  $\delta\theta$  is small, the first two terms of Equation A.2 become

$$\Delta\rho_{orb} + \Delta\rho_{topo} = \vec{B} \cdot \hat{l} + \delta\theta \vec{B} \times \hat{l} + \delta\rho_{orb} + \delta\rho_{topo} \quad (\text{A.4})$$

The contribution of topography is also forward calculated and removed using an independently derived Digital Elevation Model (DEM).

After removing the contributions of the imaging geometry and topography, we can now write

$$\Delta\rho = \Delta\rho_{disp} + \Delta\rho_{atm} + \delta\rho_{orb} + \delta\rho_{topo} \quad (\text{A.5})$$

At this point in the *Roi\_pac* processing scheme, the interferogram is filtered using a nonlinear adaptive algorithm designed by *Goldstein and Werner* [1998]. The interferogram is then unwrapped, using either a branch-cut method or a statistical-cost network-flow algorithm. In the branch-cut method of *Goldstein et al.* [1988], the interferogram phase is integrated along a path beginning at a user defined seed point.

Errors quickly add up when the phase becomes noisy, so this method requires that portions of the interferogram with low spatial coherence be masked out before unwrapping. After masking, some areas of coherent phase may be isolated from the region which contains the seed point. When this happens the number of integer cycles between the isolated regions must be estimated *a priori*, a process which becomes cumbersome when the interferogram contains many such isolated regions. In this case, the statistical-cost network-flow algorithm of *Chen and Zebker* [2001] is preferable. This method estimates the unwrapped phase by minimizing the “cost” throughout the network of interferogram pixels. The “cost” of a phase discontinuity depends on the spatial coherence, such that smoothly varying phase values are not imposed in areas of low coherence. This method provides conservative estimates of the cumulative phase across noisy areas of the interferogram. While areas of low spatial coherence must still be masked out of the final interferogram, the amount of manual intervention required to unwrap the interferogram is greatly reduced.

The estimations of  $\Delta\rho_{orb}$  and  $\Delta\rho_{topo}$  rely heavily on the published orbit data and their estimate of  $\vec{B}$ . Errors in the published orbit parameters result in residuals to the imaging geometry contribution ( $\delta\rho_{orb}$ ), in the form of a low-order polynomial. The errors in the orbit parameters are estimated by fitting a low-order polynomial to the unwrapped interferogram phase. The re-estimated orbit parameters are then used to re-estimate the contribution of topography, resulting in reduced  $\delta\rho_{orb}$  and  $\delta\rho_{topo}$ .

The last term in Equation A.2 to be accounted for, atmospheric delay errors ( $\Delta\rho_{atm}$ ), is the most sticky. Variations in the density of the ionosphere or troposphere and in the humidity of the troposphere cause changes in the velocity of the radar signal [*Zebker et al.*, 1997]. The resultant travel-time changes are indistinguishable from real range change. Regions of the interferogram affected by atmospheric errors can be identified qualitatively and avoided (see Section 3.2.1).

The final product of the interferogram, the range change due to ground displacement ( $\Delta\rho_{disp}$ ), is the component of the ground displacement vector ( $\vec{D}$ ) in the satellite look direction.

$$\Delta\rho_{disp} = \vec{D} \cdot \hat{l} \quad (\text{A.6})$$

As can be seen from Equation A.6, the three-dimensional surface motion vector is transformed into a scalar range change estimate. The loss of the 3D information is an important limitation of interferometry. It can be overcome by combining observations from interferograms with different look vectors ( $\hat{l}$ ) [*Wright et al.*, 2004]. Estimates of the 3D surface displacement vector can also be supplied through the use of a model and by complementing InSAR with 3D, but sparse, surface motion measurements available from GPS. The constraints of an assumed model, (*e.g.* right-lateral strike slip on a fault), places constraints on the ratios of the individual terms of  $\vec{D}$ .

Finally, the interferogram is geocoded with respect to a DEM. The re-estimated orbit parameters are used to simulate the amplitude portion of the interferogram ( $A_{12}$ ) from the DEM. The simulated amplitude is then registered to the interferogram using the same algorithm as the co-registration of the SAR images. The initial geographic

coordinates of the registered DEM are then assigned to the matching pixels in the interferogram.

More information on InSAR processing is available from *Bürgmann et al.* [2000], *Price and Sandwell* [1998] and *Hanssen* [2001]. Documentation on the Roi\_pac software package is available from “Roi\_pac Documentation” [[http://www.openchannelfoundation.org/projects/ROI\\_PAC](http://www.openchannelfoundation.org/projects/ROI_PAC)], and “Berkeley Roi\_pac Resources” [[http://seismo.berkeley.edu/~dschmidt/ROI\\_PAC/](http://seismo.berkeley.edu/~dschmidt/ROI_PAC/)]; documentation on the Snaphu unwrapper can be found at [http://www-star.stanford.edu/sar\\_group/snaphu/](http://www-star.stanford.edu/sar_group/snaphu/).

# Appendix B

## Data and Results Tables

Table B.1: Full dislocation model geometry and joint inversion results for Chapter 2. In the inversions, the Green's functions for rows 6-9 (deep Santa Cruz and San Juan Bautista segments) were summed together, as well as the Green's functions for rows 11-13 (deep Southern Calaveras fault) and rows 191-192 (deep Green Valley fault). A single slip rate was estimated for each of these three groups of dislocations. The one sigma error estimate is the formal linear error, computed from the weighted Green's function.

width (km)	depth (km)	Dip	South Lat.	South Lon.	North Lat.	North Lon.	Strike slip (mm)	Uncert. (mm)
2991	3000	90	25.000	-110.740	36.650	-121.253	34.9	1.4
2988	3000	90	37.326	-122.174	50.000	-136.130	19.1	1.2
2988	3000	90	37.356	-121.725	50.000	-133.100	15.1	1.1
3000	3000	90	37.496	-121.922	50.000	-135.630	3.6	0.6
2991	3000	90	36.650	-121.253	36.757	-121.397	23.3	1.3
2991	3000	90	36.757	-121.397	36.929	-121.656	23.3	1.3
2985	3000	90	36.929	-121.656	37.106	-121.908	23.3	1.3
2985	3000	90	37.106	-121.908	37.326	-122.174	23.3	1.3
3000	3000	90	36.545	-121.092	36.824	-121.405	12.5	0.9
2991	3000	90	36.824	-121.405	36.924	-121.433	15.8	1.0
2991	3000	90	36.924	-121.433	37.117	-121.550	15.8	1.0
2991	3000	90	37.117	-121.550	37.356	-121.725	15.8	1.0
9	9	90	36.824	-121.405	36.924	-121.433	10.2	1.2
9	9	90	36.924	-121.433	37.117	-121.550	9.1	1.8
9	9	90	37.117	-121.550	37.356	-121.725	12.9	1.3
1.5	1.5	90	36.651	-121.251	36.667	-121.270	15.8	5.9
1.5	1.5	90	36.667	-121.270	36.684	-121.289	17.5	4.7
1.5	1.5	90	36.684	-121.289	36.700	-121.309	21.5	4.7

Continued on next page

**Table B.1 – continued from previous page**

width (km)	depth (km)	Dip	South Lat.	South Lon.	North Lat.	North Lon.	Strike slip (mm)	Uncert. (mm)
1.5	1.5	90	36.700	-121.309	36.716	-121.329	18.1	4.7
1.5	1.5	90	36.716	-121.329	36.731	-121.350	12.2	4.8
1.5	1.5	90	36.731	-121.350	36.744	-121.373	7.4	3.9
1.5	1.5	90	36.744	-121.373	36.757	-121.396	12.0	3.5
1.5	1.5	90	36.757	-121.396	36.771	-121.418	7.6	4.4
1.5	1.5	90	36.771	-121.418	36.785	-121.440	8.0	5.2
1.5	1.5	90	36.785	-121.440	36.799	-121.462	18.2	4.9
1.5	1.5	90	36.799	-121.462	36.813	-121.485	19.4	5.2
1.5	1.5	90	36.813	-121.485	36.827	-121.506	19.9	3.6
1.5	1.5	90	36.827	-121.506	36.842	-121.528	20.0	3.0
1.5	1.5	90	36.842	-121.528	36.857	-121.548	22.0	3.4
1.5	1.5	90	36.857	-121.548	36.873	-121.568	10.0	2.1
1.5	1.5	90	36.873	-121.568	36.886	-121.591	0.0	1.6
1.5	1.5	90	36.886	-121.591	36.900	-121.614	3.8	2.6
1.5	1.5	90	36.900	-121.614	36.914	-121.635	8.3	3.5
1.5	1.5	90	36.914	-121.635	36.928	-121.657	2.4	5.5
1.5	1.5	90	36.928	-121.657	36.944	-121.677	2.4	6.0
1.5	1.5	90	36.944	-121.677	36.959	-121.697	0.7	6.5
1.5	1.5	90	36.959	-121.697	36.975	-121.716	0.0	7.9
1.5	1.5	90	36.975	-121.716	36.991	-121.736	0.8	7.4
1.5	1.5	90	36.991	-121.736	37.007	-121.756	1.7	6.6
1.5	1.5	90	37.007	-121.756	37.022	-121.777	0.6	7.8
1.5	3	90	36.651	-121.251	36.667	-121.270	17.2	6.5
1.5	3	90	36.667	-121.270	36.684	-121.289	18.8	6.1
1.5	3	90	36.684	-121.289	36.700	-121.309	20.5	6.2
1.5	3	90	36.700	-121.309	36.716	-121.329	16.7	6.2
1.5	3	90	36.716	-121.329	36.731	-121.350	9.7	6.3
1.5	3	90	36.731	-121.350	36.744	-121.373	5.7	6.6
1.5	3	90	36.744	-121.373	36.757	-121.396	10.5	6.2
1.5	3	90	36.757	-121.396	36.771	-121.418	7.3	5.9
1.5	3	90	36.771	-121.418	36.785	-121.440	3.7	6.3
1.5	3	90	36.785	-121.440	36.799	-121.462	9.2	5.9
1.5	3	90	36.799	-121.462	36.813	-121.485	12.9	6.0
1.5	3	90	36.813	-121.485	36.827	-121.506	18.8	5.7
1.5	3	90	36.827	-121.506	36.842	-121.528	17.5	5.6
1.5	3	90	36.842	-121.528	36.857	-121.548	16.1	5.7
1.5	3	90	36.857	-121.548	36.873	-121.568	7.2	5.7
1.5	3	90	36.873	-121.568	36.886	-121.591	5.3	5.7
1.5	3	90	36.886	-121.591	36.900	-121.614	10.4	5.7
1.5	3	90	36.900	-121.614	36.914	-121.635	9.3	5.7
1.5	3	90	36.914	-121.635	36.928	-121.657	0.0	6.2

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**Table B.1 – continued from previous page**

width (km)	depth (km)	Dip	South Lat.	South Lon.	North Lat.	North Lon.	Strike slip (mm)	Uncert. (mm)
1.5	3	90	36.928	-121.657	36.944	-121.677	0.0	6.5
1.5	3	90	36.944	-121.677	36.959	-121.697	0.0	6.7
1.5	3	90	36.959	-121.697	36.975	-121.716	0.0	7.7
1.5	3	90	36.975	-121.716	36.991	-121.736	0.0	7.7
1.5	3	90	36.991	-121.736	37.007	-121.756	0.0	7.1
1.5	3	90	37.007	-121.756	37.022	-121.777	0.0	7.9
1.5	4.5	90	36.650	-121.252	36.665	-121.272	19.9	7.7
1.5	4.5	90	36.665	-121.272	36.681	-121.293	19.6	7.2
1.5	4.5	90	36.681	-121.293	36.696	-121.314	17.4	7.2
1.5	4.5	90	36.696	-121.314	36.711	-121.334	12.0	7.2
1.5	4.5	90	36.711	-121.334	36.727	-121.354	5.9	7.3
1.5	4.5	90	36.727	-121.354	36.742	-121.375	4.5	7.7
1.5	4.5	90	36.742	-121.375	36.757	-121.396	8.9	7.6
1.5	4.5	90	36.757	-121.396	36.772	-121.418	7.1	7.3
1.5	4.5	90	36.772	-121.418	36.786	-121.439	1.4	7.3
1.5	4.5	90	36.786	-121.439	36.800	-121.461	1.4	7.2
1.5	4.5	90	36.800	-121.461	36.814	-121.483	6.7	7.2
1.5	4.5	90	36.814	-121.483	36.829	-121.504	14.3	7.1
1.5	4.5	90	36.829	-121.504	36.843	-121.526	12.4	7.1
1.5	4.5	90	36.843	-121.526	36.857	-121.548	5.6	7.1
1.5	4.5	90	36.857	-121.548	36.871	-121.570	0.0	6.8
1.5	4.5	90	36.871	-121.570	36.885	-121.592	4.6	6.9
1.5	4.5	90	36.885	-121.592	36.900	-121.614	11.4	6.9
1.5	4.5	90	36.900	-121.614	36.914	-121.635	7.8	7.0
1.5	4.5	90	36.914	-121.635	36.928	-121.657	0.0	7.5
1.5	4.5	90	36.928	-121.657	36.943	-121.678	0.0	8.1
1.5	4.5	90	36.943	-121.678	36.958	-121.699	0.0	8.4
1.5	4.5	90	36.958	-121.699	36.972	-121.720	0.0	8.7
1.5	4.5	90	36.972	-121.720	36.987	-121.741	0.0	8.7
1.5	4.5	90	36.987	-121.741	37.002	-121.762	0.0	8.6
1.5	4.5	90	37.002	-121.762	37.016	-121.783	0.0	9.2
1.5	6	90	36.650	-121.252	36.665	-121.272	23.4	9.1
1.5	6	90	36.665	-121.272	36.681	-121.293	21.8	8.0
1.5	6	90	36.681	-121.293	36.696	-121.314	16.9	7.6
1.5	6	90	36.696	-121.314	36.711	-121.334	10.8	7.6
1.5	6	90	36.711	-121.334	36.727	-121.354	6.7	7.8
1.5	6	90	36.727	-121.354	36.742	-121.375	7.9	8.1
1.5	6	90	36.742	-121.375	36.757	-121.396	12.1	8.1
1.5	6	90	36.757	-121.396	36.772	-121.418	10.8	7.9
1.5	6	90	36.772	-121.418	36.786	-121.439	5.4	7.7
1.5	6	90	36.786	-121.439	36.800	-121.461	3.6	7.8

Continued on next page

**Table B.1 – continued from previous page**

width (km)	depth (km)	Dip	South Lat.	South Lon.	North Lat.	North Lon.	Strike slip (mm)	Uncert. (mm)
1.5	6	90	36.800	-121.461	36.814	-121.483	8.1	7.8
1.5	6	90	36.814	-121.483	36.829	-121.504	14.1	7.6
1.5	6	90	36.829	-121.504	36.843	-121.526	12.0	7.6
1.5	6	90	36.843	-121.526	36.857	-121.548	4.0	7.5
1.5	6	90	36.857	-121.548	36.871	-121.570	0.0	7.2
1.5	6	90	36.871	-121.570	36.885	-121.592	4.2	7.2
1.5	6	90	36.885	-121.592	36.900	-121.614	9.4	7.4
1.5	6	90	36.900	-121.614	36.914	-121.635	5.6	7.8
1.5	6	90	36.914	-121.635	36.928	-121.657	0.0	8.5
1.5	6	90	36.928	-121.657	36.943	-121.678	0.0	9.1
1.5	6	90	36.943	-121.678	36.958	-121.699	0.0	9.5
1.5	6	90	36.958	-121.699	36.972	-121.720	0.0	9.7
1.5	6	90	36.972	-121.720	36.987	-121.741	0.0	9.6
1.5	6	90	36.987	-121.741	37.002	-121.762	0.0	9.8
1.5	6	90	37.002	-121.762	37.016	-121.783	0.0	10.2
1.5	7.5	90	36.650	-121.252	36.665	-121.272	26.4	11.5
1.5	7.5	90	36.665	-121.272	36.681	-121.293	24.3	10.1
1.5	7.5	90	36.681	-121.293	36.696	-121.314	18.8	9.3
1.5	7.5	90	36.696	-121.314	36.711	-121.334	13.3	9.2
1.5	7.5	90	36.711	-121.334	36.727	-121.354	11.2	9.4
1.5	7.5	90	36.727	-121.354	36.742	-121.375	13.7	9.6
1.5	7.5	90	36.742	-121.375	36.757	-121.396	17.7	9.7
1.5	7.5	90	36.757	-121.396	36.772	-121.418	16.9	9.5
1.5	7.5	90	36.772	-121.418	36.786	-121.439	13.2	9.3
1.5	7.5	90	36.786	-121.439	36.800	-121.461	11.8	9.3
1.5	7.5	90	36.800	-121.461	36.814	-121.483	15.0	9.3
1.5	7.5	90	36.814	-121.483	36.829	-121.504	18.8	9.2
1.5	7.5	90	36.829	-121.504	36.843	-121.526	16.1	9.1
1.5	7.5	90	36.843	-121.526	36.857	-121.548	8.2	9.0
1.5	7.5	90	36.857	-121.548	36.871	-121.570	3.4	8.8
1.5	7.5	90	36.871	-121.570	36.885	-121.592	5.3	8.9
1.5	7.5	90	36.885	-121.592	36.900	-121.614	7.6	9.1
1.5	7.5	90	36.900	-121.614	36.914	-121.635	3.9	9.4
1.5	7.5	90	36.914	-121.635	36.928	-121.657	0.0	9.3
1.5	7.5	90	36.928	-121.657	36.943	-121.678	0.0	8.2
1.5	7.5	90	36.943	-121.678	36.958	-121.699	0.0	8.2
1.5	7.5	90	36.958	-121.699	36.972	-121.720	0.0	8.4
1.5	7.5	90	36.972	-121.720	36.987	-121.741	0.0	8.4
1.5	7.5	90	36.987	-121.741	37.002	-121.762	0.0	8.5
1.5	7.5	90	37.002	-121.762	37.016	-121.783	0.0	8.5
1.5	9	90	36.650	-121.252	36.665	-121.272	27.0	13.2

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**Table B.1 – continued from previous page**

width (km)	depth (km)	Dip	South Lat.	South Lon.	North Lat.	North Lon.	Strike slip (mm)	Uncert. (mm)
1.5	9	90	36.665	-121.272	36.681	-121.293	25.2	12.4
1.5	9	90	36.681	-121.293	36.696	-121.314	20.4	11.4
1.5	9	90	36.696	-121.314	36.711	-121.334	16.0	11.2
1.5	9	90	36.711	-121.334	36.727	-121.354	14.8	11.3
1.5	9	90	36.727	-121.354	36.742	-121.375	17.3	11.5
1.5	9	90	36.742	-121.375	36.757	-121.396	20.4	11.5
1.5	9	90	36.757	-121.396	36.772	-121.418	20.2	11.4
1.5	9	90	36.772	-121.418	36.786	-121.439	18.2	11.2
1.5	9	90	36.786	-121.439	36.800	-121.461	17.6	11.1
1.5	9	90	36.800	-121.461	36.814	-121.483	19.8	11.1
1.5	9	90	36.814	-121.483	36.829	-121.504	21.6	11.0
1.5	9	90	36.829	-121.504	36.843	-121.526	18.8	11.0
1.5	9	90	36.843	-121.526	36.857	-121.548	12.2	10.9
1.5	9	90	36.857	-121.548	36.871	-121.570	7.3	10.8
1.5	9	90	36.871	-121.570	36.885	-121.592	6.8	10.8
1.5	9	90	36.885	-121.592	36.900	-121.614	6.6	10.9
1.5	9	90	36.900	-121.614	36.914	-121.635	3.2	10.8
1.5	9	90	36.914	-121.635	36.928	-121.657	0.0	8.8
1.5	9	90	36.928	-121.657	36.943	-121.678	0.0	9.7
1.5	9	90	36.943	-121.678	36.958	-121.699	0.0	9.4
1.5	9	90	36.958	-121.699	36.972	-121.720	0.0	8.9
1.5	9	90	36.972	-121.720	36.987	-121.741	0.0	9.0
1.5	9	90	36.987	-121.741	37.002	-121.762	0.0	9.6
1.5	9	90	37.002	-121.762	37.016	-121.783	0.0	10.0
1.5	10.5	90	36.928	-121.657	36.943	-121.678	0.0	13.6
1.5	10.5	90	36.943	-121.678	36.958	-121.699	0.0	12.6
1.5	10.5	90	36.958	-121.699	36.972	-121.720	0.0	11.3
1.5	10.5	90	36.972	-121.720	36.987	-121.741	0.0	11.3
1.5	10.5	90	36.987	-121.741	37.002	-121.762	0.0	12.5
1.5	10.5	90	37.002	-121.762	37.016	-121.783	0.0	13.5
1.5	12	90	36.928	-121.657	36.943	-121.678	0.0	16.8
1.5	12	90	36.943	-121.678	36.958	-121.699	0.0	15.4
1.5	12	90	36.958	-121.699	36.972	-121.720	0.0	13.6
1.5	12	90	36.972	-121.720	36.987	-121.741	0.0	13.4
1.5	12	90	36.987	-121.741	37.002	-121.762	0.0	15.1
1.5	12	90	37.002	-121.762	37.016	-121.783	0.0	16.4
1.5	13.5	90	36.928	-121.657	36.943	-121.678	0.0	19.9
1.5	13.5	90	36.943	-121.678	36.958	-121.699	0.0	18.5
1.5	13.5	90	36.958	-121.699	36.972	-121.720	0.0	16.6
1.5	13.5	90	36.972	-121.720	36.987	-121.741	0.0	16.4
1.5	13.5	90	36.987	-121.741	37.002	-121.762	0.0	18.1

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**Table B.1 – continued from previous page**

width (km)	depth (km)	Dip	South Lat.	South Lon.	North Lat.	North Lon.	Strike slip (mm)	Uncert. (mm)
1.5	13.5	90	37.002	-121.762	37.016	-121.783	0.0	19.4
1.5	15	90	36.928	-121.657	36.943	-121.678	0.0	21.3
1.5	15	90	36.943	-121.678	36.958	-121.699	0.0	20.4
1.5	15	90	36.958	-121.699	36.972	-121.720	0.0	18.6
1.5	15	90	36.972	-121.720	36.987	-121.741	0.0	18.4
1.5	15	90	36.987	-121.741	37.002	-121.762	0.0	20.0
1.5	15	90	37.002	-121.762	37.016	-121.783	0.0	20.9
2991	3000	90	37.165	-121.359	37.590	-121.592	2.5	1.2
2982	3000	90	37.590	-121.592	37.836	-121.809	2.5	1.2
9	9	90	25.000	-110.740	36.650	-121.253	19.9	1.4
10	10	90	36.905	-121.500	37.125	-121.880	2.1	0.6
2990	3000	90	36.905	-121.500	37.125	-121.880	0.0	1.8

Table B.2: Parameters determined from fitting of GPS time-series in Chapter 3. Dashes (-) indicate those model parameters were not solved for. Values with no uncertainty given were applied *a priori*. For stations that included tau in their model parameters, uncertainties were estimated using a Monte Carlo simulation. Noise randomly selected from a normal distribution, based on the uncertainties in the daily GPS locations, was added to the data, which was then re-inverted. The uncertainties given are one standard deviation of the set of results. For stations that did not include  $\tau$  in their model parameters, the uncertainties posted are the linear uncertainties from the inversion. INT: Interseismic rates, SS: San Simeon coseismic displacements, PK: Parkfield coseismic displacements, PS: Postseismic amplitudes. For the USGS campaign stations, a single offset representing both the San Simeon and Parkfield earthquakes was solved for because there were no observations between the two events; the offset is listed under SS coseismic. Station HTR1 was determined to be an outlier and was not included in the inversions.

Station Name	Lon.	Lat.	Cp.	INT (mm/yr)	$\pm$	SS (mm)	$\pm$	PK (mm)	$\pm$	PS (mm)	$\pm$	$\tau$ (yr)	$\pm$
SCIGN Continuous GPS Stations													
CAND	-120.434	35.939	N	-18.5	1.6	-10.4	0.6	-46.5	1.2	-34.7	1.2	0.11	0.01
			E	17.3	1.9	-11.4	0.7	32.0	1.8	23.5	1.4	0.15	0.03
CARH	-120.431	35.888	N	-7.1	1.6	-9.9	0.6	0.2	1.0	45.2	1.9	0.32	0.03
			E	3.3	1.9	-11.0	0.7	7.3	1.3	-41.5	1.4	0.19	0.01
CRBT	-120.751	35.792	N	-7.0	1.5	-53.1	0.6	-2.1	0.7	$2.5 \times 10^8$	$1.8 \times 10^9$	$2.0 \times 10^7$	$1.4 \times 10^8$
			E	-1.3	1.8	-39.5	0.8	-8.1	0.9	9178.2	$5.4 \times 10^8$	-1721.0	$9.0 \times 10^7$
HOGS	-120.480	35.867	N	-6.7	1.7	-11.9	0.8	49.1	1.0	37.7	9.0	0.67	0.26
			E	5.0	2.5	-14.0	1.9	-32.6	1.3	-23.1	1.6	0.17	0.02
HUNT	-120.402	35.881	N	-17.6	1.5	-8.6	0.6	-43.1	1.1	-37.0	1.2	0.11	0.01
			E	13.8	1.9	-10.5	0.7	48.5	1.5	33.0	1.3	0.17	0.02
LAND	-120.473	35.900	N	-6.9	1.6	-11.5	0.6	49.7	0.9	41.6	3.4	0.45	0.08
			E	5.3	1.9	-13.9	0.8	-45.2	1.2	-31.0	1.3	0.17	0.02

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Table B.2 – continued from previous page

Station Name	Lon.	Lat.	Cp.	INT (mm/yr)	±	SS (mm)	±	PK (mm)	±	PS (mm)	±	$\tau$ (yr)	±
LOWS	-120.594	35.829	N	-6.1	1.6	-19.9	0.6	8.0	0.7	$2.5 \times 10^8$	$1.7 \times 10^9$	$1.2 \times 10^7$	$8.4 \times 10^7$
			E	-1.1	1.9	-17.5	0.8	-19.8	0.8	1.7	$1.4 \times 10^8$	-0.9	$1.5 \times 10^7$
MASW	-120.443	35.833	N	-8.2	1.6	-9.0	0.6	49.4	1.0	37.4	4.1	0.50	0.11
			E	5.3	1.8	-12.5	0.8	-28.2	1.4	-17.3	1.4	0.18	0.04
MIDA	-120.459	35.922	N	-18.4	1.7	-11.9	0.7	-56.4	1.3	-47.6	1.4	0.14	0.01
			E	16.9	1.9	-13.9	0.8	31.5	2.1	37.4	1.9	0.13	0.02
MNMC	-120.434	35.970	N	-20.2	1.7	-10.1	0.6	-39.5	1.1	-26.2	1.1	0.10	0.01
			E	19.3	1.9	-11.9	0.7	11.2	2.2	13.3	1.8	0.07	0.02
PKDB	-120.542	35.945	N	-6.2	1.7	-14.8	0.7	16.7	0.7	161.3	$1.1 \times 10^8$	4.63	$3.4 \times 10^6$
			E	3.6	1.9	-14.8	1.0	-50.4	1.2	-28.9	1.4	0.18	0.02
POMM	-120.478	35.920	N	-6.3	1.6	-13.0	0.6	27.0	0.9	51.8	$1.3 \times 10^7$	1.09	$3.9 \times 10^5$
			E	7.2	1.8	-14.4	0.7	-50.7	1.2	-40.5	1.3	0.18	0.01
TBLP	-120.360	35.917	N	-26.0	1.6	-4.8	0.6	-22.1	1.2	-16.3	1.2	0.08	0.01
			E	14.7	1.9	-9.3	0.8	33.0	1.4	20.9	1.4	0.13	0.02
RNCH	-120.525	35.900	N	-6.8	1.6	-14.9	0.7	25.6	1.0	25.7	2.5	0.36	0.08
			E	0.5	1.9	-12.6	0.7	-41.5	1.0	-25.2	3.0	0.39	0.11
PBO Continuous Stations													
P067	-121.003	35.552	N	19.0	1.6	-	-	-2.8	0.6	-	-	-	-
			E	1.8	1.9	-	-	-1.5	0.7	-	-	-	-
P295	-120.842	35.697	N	3.4	1.7	-	-	-4.1	1.5	-	-	-	-
			E	-2.9	2.0	-	-	-4.0	2.0	-	-	-	-
P526	-120.870	35.636	N	-6.1	1.7	-	-	-3.9	0.6	-	-	-	-
			E	-12.0	2.0	-	-	-1.0	0.8	-	-	-	-
P278	-121.061	35.711	N	-0.5	0.0	-	-	-	-	-4.5	0.7	0.17	-
			E	1.5	0.0	-	-	-	-	-4.9	0.8	0.17	-

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Table B.2 – continued from previous page

Station Name	Lon.	Lat.	Cp.	INT (mm/yr)	±	SS (mm)	±	PK (mm)	±	PS (mm)	±	$\tau$ (yr)	±
P282	-120.345	35.838	N	12.4	0.0	-	-	-	-	-13.1	1.8	0.17	-
			E	-12.6	0.0	-	-	-	-	28.1	2.1	0.17	-
P283	-120.285	35.807	N	12.8	0.0	-	-	-	-	0.2	1.6	0.17	-
			E	-13.4	0.0	-	-	-	-	27.0	1.9	0.17	-
P539	-120.182	35.703	N	11.7	0.0	-	-	-	-	1.1	2.1	0.17	-
			E	-13.0	0.0	-	-	-	-	-3.8	2.5	0.17	-
P576	-120.970	35.670	N	-0.2	0.0	-	-	-	-	1.1	1.6	0.17	-
			E	1.3	0.0	-	-	-	-	11.0	2.2	0.17	-
UC Berkeley Campaign Stations													
0508	-120.799	35.855	N	0.7	-	-56.5	3.5	-2.0	2.1	-	-	-	-
			E	0.7	-	-22.2	4.6	-8.7	2.6	-	-	-	-
0510	-120.816	36.188	N	0.7	-	-14.7	2.9	0.1	1.8	-	-	-	-
			E	-0.9	-	0.1	3.8	-7.2	2.2	-	-	-	-
05QJ	-120.878	35.948	N	-0.1	-	-39.3	3.8	-2.7	1.9	-	-	-	-
			E	0.8	-	-4.1	4.7	-9.9	2.3	-	-	-	-
05SK	-120.691	36.178	N	19.7	-	-21.1	3.6	-0.7	2.2	-	-	-	-
			E	-22.4	-	-20.8	4.6	-6.7	2.9	-	-	-	-
05SH	-120.973	36.153	N	-0.7	-	-22.4	3.4	-2.3	2.0	-	-	-	-
			E	0.6	-	-2.8	4.8	4.1	2.6	-	-	-	-
USGS Campaign Stations													
BENC	-120.351	35.746	N	7.8	-	27.4	1.8	-	-	27.3	2.4	0.17	-
			E	-7.9	-	-9.2	2.4	-	-	-4.9	3.0	0.17	-
BONN	-120.264	35.878	N	16.4	-	-26.0	2.8	-	-	5.8	2.4	0.17	-
			E	-16.3	-	17.9	4.1	-	-	16.9	3.1	0.17	-

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Table B.2 – continued from previous page

Station Name	Lon.	Lat.	Cp.	INT (mm/yr)	±	SS (mm)	±	PK (mm)	±	PS (mm)	±	$\tau$ (yr)	±
CBAR	-120.265	35.756	N	11.3	-	-18.0	2.1	-	-	-10.7	2.3	0.17	-
			E	-12.1	-	25.8	2.8	-	-	19.6	2.9	0.17	-
CTWD	-120.222	35.788	N	13.9	-	-26.6	2.0	-	-	0.1	2.6	0.17	-
			E	-14.5	-	26.5	2.7	-	-	16.9	3.4	0.17	-
GO42	-120.350	35.831	N	11.8	-	-43.7	2.4	-	-	-27.2	2.2	0.17	-
			E	-12.0	-	47.1	3.4	-	-	35.8	2.8	0.17	-
HTR1	-120.178	35.686	N	11.2	-	-22.5	3.9	-	-	72.6	21.0	0.17	-
			E	-12.6	-	13.7	5.3	-	-	-13.0	28.1	0.17	-
MITH	-120.596	36.079	N	19.5	-	-34.8	1.9	-	-	-17.2	3.3	0.17	-
			E	-21.3	-	-8.7	2.6	-	-	5.8	4.3	0.17	-
RH32	-120.261	35.605	N	6.4	-	-6.2	1.8	-	-	-6.1	3.3	0.17	-
			E	-6.7	-	-1.8	2.4	-	-	6.7	4.2	0.17	-
SHR2	-120.682	36.030	N	1.4	-	-15.6	2.3	-	-	6.8	3.8	0.17	-
			E	-0.5	-	-16.1	3.0	-	-	-21.3	4.9	0.17	-
TWR2	-120.018	35.488	N	9.5	-	-8.3	2.0	-	-	-6.3	3.5	0.17	-
			E	-11.3	-	-4.4	2.7	-	-	-0.5	4.5	0.17	-
WATH	-120.273	35.708	N	9.1	-	0.0	0.5	-	-	10.8	2.6	0.17	-
			E	-9.8	-	0.0	0.6	-	-	-0.2	3.3	0.17	-
WD42	-120.469	35.763	N	4.9	-	11.4	4.8	-	-	4.6	2.9	0.17	-
			E	-3.1	-	11.9	11.5	-	-	10.0	3.7	0.17	-



Table B.3: Results of time-series fitting to USGS creepmeter displacements in Chapter 3. See Table B.2 for description of uncertainties. INT: Interseismic, SS: San Simeon, PK: Parkfield, PS: Postseismic.

Station Name	Lon.	Lat.	INT (mm/yr)	$\pm$	SS (mm)	$\pm$	PK (mm)	$\pm$	PS (mm)	$\pm$	$\tau$ (yr)	$\pm$
XMM1	-120.504	35.958	19.1	1.3	-1.1	0.8	71.5	1.4	117.0	1.5	0.19	0.007
XMD1	-120.487	35.943	25.1	1.1	-5.8	0.7	21.7	3.8	104.3	3.4	0.04	0.002
XVA1	-120.463	35.922	14.7	1.4	-2.4	0.9	54.6	4.5	103.7	3.6	0.08	0.008
XTA1	-120.428	35.889	15.3	1.1	-3.6	0.6	34.7	1.3	108.7	1.3	0.15	0.005
WKR1	-120.393	35.859	1.6	1.1	6.4	0.5	26.9	0.8	120.1	1.2	0.19	0.004
CRR1	-120.365	35.835	7.1	1.1	4.8	0.5	28.5	0.9	88.8	1.1	0.16	0.005
XGH1	-120.352	35.822	1.3	0.9	-0.5	0.4	18.0	0.8	45.1	1.0	0.10	0.005

Table B.4: Coseismic GPS displacements from *Rolandone et al.* [Submitted] for San Simeon earthquake, used in Chapter 4.

Station Name	Lon.	Lat.	East (mm)	North (mm)	$\pm$ East (mm)	$\pm$ North (mm)
Continuous Stations						
CRBT	-120.751	35.791	-39.57	-47.04	3.39	2.9
LOWS	-120.594	35.828	-20.9	-16.87	3.54	3.08
PKDB	-120.542	35.945	-10.97	-9.66	3.53	3.15
MNMC	-120.434	35.969	-11.9	-7.46	3.29	2.85
MASW	-120.443	35.833	-14.25	-6.95	3.18	2.61
RNCH	-120.525	35.9	-15.03	-7.16	3.36	2.84
TBLP	-120.36	35.917	-9.5	-4.85	3.51	3.11
MIDA	-120.458	35.921	-13.71	-10.54	4.11	4.14
HUNT	-120.402	35.881	-11.61	-7.09	3.42	2.93
USLO	-120.661	35.311	-2.69	5.98	3.5	2.98
CAND	-120.434	35.939	-12.05	-7.86	3.45	2.92
CARH	-120.431	35.888	-13.66	-7.6	3.35	2.84
LAND	-120.473	35.899	-14.84	-9.88	3.26	2.68
POMM	-120.478	35.919	-15.07	-10.12	3.42	2.9
MEE1	-120.759	36.187	-6.26	-10.08	3.61	3.16
MEE2	-120.767	36.181	-6.44	-10.13	3.52	3.13
QCYN	-121.137	36.161	-3.26	-5.46	3.89	3.53
GR8V	-120.416	36.399	-6.76	-3.49	3.89	3.57
Campaign Stations						
508	-120.799	35.855	-26.79	-49.4	3.4	3.15
05QJ	-120.878	35.948	-10.16	-32.24	3.57	3.43
05RH	-120.965	36.049	-2.08	-19.77	3.02	2.49
05SH	-120.973	36.153	-1.12	-18.85	3.48	2.8
510	-120.816	36.188	-5.5	-12.61	3.26	2.67
05SK	-120.691	36.178	-17.39	-13.55	3.87	3.17
1009	-121.284	35.664	13.81	-8.76	9.12	8.28
2068	-121.043	35.529	95.76	143.14	11.48	9.88
2076	-121.134	35.605	92.01	67.13	11.57	11.29
2069	-120.706	35.565	-31.45	-26.51	36.91	19.21
3106	-120.52	35.535	-20.16	-16.12	3.72	3.52
3107	-120.612	35.667	-33.73	-19.96	3.5	3.15
3126	-120.725	35.774	-68.16	-42.51	4.25	3.64
ALMO	-120.453	35.552	7.69	-3.11	2.61	3.22
BHRM	-120.832	35.359	3.84	28.02	4.38	1.72
TESS	-120.697	35.386	9.78	3.14	2.35	2.11
PNT2	-120.951	35.448	21.56	96.99	3.29	3.06

Table B.5: Postseismic GPS displacements used in Chapter 4. Displacements are the difference between the average position for the first four day after the earthquake and the average position between July 2004 and the Parkfield earthquake. Uncertainties are from the variances in the beginning and ending average positions.

Station Name	Lon.	Lat.	East (mm)	North (mm)	$\pm$ East (mm)	$\pm$ North (mm)
CRBT	-120.7508	35.7916	-6.1079	-12.2501	4.0184	2.5838
LOWS	-120.5943	35.8287	-3.7919	-7.3157	3.6913	2.7411
2069	-120.7062	35.5653	7.0708	-4.544	4.6511	4.0254
1009	-121.2845	35.6646	-3.6936	-2.5498	5.3301	1.65
2076	-121.1345	35.6052	11.3454	13.737	7.0579	5.0009
2068	-121.0426	35.5294	2.3554	24.9448	5.9441	5.8622
MNMC	-120.4341	35.9695	-3.179	-4.3504	4.841	3.6839
ORES	-120.28	34.74	0	0	0	0